Higgs-mediated FCNC in Supersymmetric Models with Large $\tan \beta$.

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Abstract

In the supersymmetric models with nontrivial flavour structure in the soft-breaking sector the exchange of neutral Higgses mediates $\Delta F = 2$ transitions. This mechanism is studied for $\Delta S, \Delta B = 2$ processes and for a generic form of the soft-breaking terms. We find that Higgs-mediated FCNC amplitudes increase very rapidly with $\tan \beta$ and can exceed SUSY box contribution by up to two orders of magnitude when $\tan \beta \sim m_t/m_b$. 

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1 Introduction

The supersymmetric version of Standard Model around the electroweak scale has been a subject of very elaborate theoretical investigations during the past two decades [1]. In this article we study Flavour Changing Neutral Current (FCNC) processes associated with Higgs exchange as the consequence of the supersymmetric threshold corrections to the Yukawa interaction in a generic SUSY model.

The interest to the supersymmetric models with large $\tan \beta$ comes from the simple idea to attribute the huge difference between the observed masses $m_b$ and $m_t$ to the possible hierarchy in the Higgs vevs, $v_u/v_d \sim m_t/m_b$. This means that the Yukawa couplings of the top and bottom quarks can be comparable or even equal, $y_b = y_t$. Supersymmetric models with large $\tan \beta$ predict significant contributions to the low energy observables [2] such as the anomalous magnetic moment of the muon, $b \to s \gamma$ branching ratio and other processes with linear dependence of $\tan \beta$. The radiative corrections $\Delta m_{d,s,b}$ to the masses of the Down-type quarks are also significant and may account for up to 100% of the observable masses [3].

As to the potential influence of the soft-breaking sector on the mass splitting in neutral $K$ and $B$ mesons, it is commonly attributed to the box diagram with the superpartners inside and nontrivial flavour structure of the scalar quark mass matrices [4, 5]. The box diagrams provide very important but not the only source of the non-SM contributions to the $\Delta F = 2$ amplitudes. Another class of contributions is related with the supersymmetric corrections to the Higgs potential. These corrections change the familiar Yukawa interaction generated by the superpotential making it similar to generic two-Higgs doublet type of interaction with the presence of $H_u^*$ and $H_d^*$ fields. It is clear that this two-doublet model will possess FCNC mediated by neutral Higgses if the squark sector has nontrivial flavour structure.

How large these Higgs-mediated FCNC amplitudes could be? This can be understood qualitatively in a SUSY theory with a generic form of squark mass matrices. In this case a simple estimate of $\Delta F = 2$ amplitudes in the Down quark sector induced by box diagrams gives $(\alpha_s)^2 m_{sq}^2$ times the flavour changing piece in the soft-breaking sector $\Delta m_{ij}^2/m_{sq}^2$, which we take here to be of the order 1. Here $m_{sq}$ is a typical soft-breaking mass. On the other hand, the tree level amplitudes mediated by Higgses behave as $y_b^2 m_{higgs}^{-2}$ times some power of mixing angles between the quark mass basis dictated by the superpotential and the physical basis which includes $v_u$ corrections. These angles can be estimated as
\[ \Delta m_b/m_b \sim (\alpha_s/3\pi)\mu m_{sq}^{-1}(v_u/v_d) \] and FCNC amplitudes mediated by Higgses are given by the expression:

\[
\text{FCNC}_{Higgs} \sim \frac{y_b^2}{m_{higgs}^2} \left[ \frac{\alpha_s \tan \beta \mu}{3\pi m_{sq}} \right]^n
\]

with \( n = 2 \) for \( \Delta B = 2 \) and \( n = 4 \) for \( \Delta S = 2 \) processes. To compare it with the box-induced FCNC amplitude we take \( \mu \sim m_{higgs} \sim m_{sq} \) and \( y_b \sim \tan \beta/60 \). As a result, we obtain the following relation between two FCNC mechanisms:

\[
\frac{\text{FCNC}_{Higgs}}{\text{FCNC}_{box}} \sim \left[ \frac{\tan \beta}{60\alpha_s} \right]^2 \left[ \frac{\alpha_s \tan \beta}{3\pi} \right]^n.
\]

If \( \tan \beta \) is sufficiently large, \( \text{FCNC}_{Higgs} \) provides the dominant contribution to \( \Delta F = 2 \) processes in the Down sector and the estimates of the critical values for \( \tan \beta \) are:

\[
(tan \beta)_{cr} \sim \sqrt{180\pi} \sim 25 \quad \text{and} \quad \frac{\text{FCNC}_{Higgs}}{\text{FCNC}_{box}} \sim \left[ \frac{\tan \beta}{25} \right]^4 \quad \text{for} \ \Delta B = 2,
\]

\[
(tan \beta)_{cr} \sim \left( 540\pi^2 \alpha_s^{-1} \right)^{1/3} \sim 35 \quad \text{and} \quad \frac{\text{FCNC}_{Higgs}}{\text{FCNC}_{box}} \sim \left[ \frac{\tan \beta}{35} \right]^6 \quad \text{for} \ \Delta S = 2.
\]

Although these critical values appear to be quite large, the strong growth of \( \text{FCNC}_{Higgs} \) with \( \tan \beta \) makes the Higgs-mediated amplitudes one to two orders of magnitude larger than the SUSY box contribution when \( \tan \beta \sim 60 \). The growth with \( \tan \beta \) stabilizes at some point and for \( \tan \beta \to \infty \) (purely radiative mechanism for \( M_d \) ) reaches a certain saturation limit.

These qualitative arguments make us believe that the problem of FCNC in the large \( \tan \beta \) regime deserves special consideration. In the next section we will construct \( \Delta F = 2 \) FCNC amplitudes mediated by Higgs exchange and put the limits on the flavour changing terms in the scalar quark mass matrices. This will be followed by the constraints on the minimal supergravity model, supersymmetric SO(10) GUT and supersymmetric left-right models imposed by the Higgs-mediated FCNC.

## 2 Higgs-mediated FCNC and the limits on the soft-breaking sector

The superpotential of the minimal supersymmetric standard model (MSSM),

\[
W = \epsilon_{ij}[-Q^i H_2^2 Y_u^{(0)} U + Q^i H_1^i Y_d^{(0)} D + L^i H_1^i Y_e^{(0)} E + \mu H_1^i H_2^j],
\]
contains the same number of free dimensionless parameters as the Yukawa sector of the standard model. All the interactions of the Higgs particles with fermions conserve flavour. For simplicity, we choose the basis where $Y_{d}^{(0)}$ is taken in the diagonal form, $Y_{d}^{(0)} = \text{diag}(y_{d}^{(0)}, y_{s}^{(0)}, y_{b}^{(0)})$.

The soft-breaking sector can influence flavour physics. Among different scalar masses, the soft-breaking sector has the squark mass terms

$$
\tilde{U}^{\dagger} M_{U}^{2} \tilde{U} + \tilde{D}^{\dagger} M_{D}^{2} \tilde{D} + \tilde{Q}^{\dagger} M_{Q}^{2} \tilde{Q};
$$

and the trilinear terms

$$
\epsilon_{ij} \left(-\tilde{Q}^{i} H_{2}^{j} A_{u} \tilde{U} + \tilde{Q}^{i} H_{1}^{j} A_{d} \tilde{D}\right) + H.c.;
$$

as the possible sources of flavour transitions.

Below the supersymmetric threshold the Yukawa interaction of the quarks with the Higgs fields has the generic form of the two-Higgs doublet model:

$$
-\mathcal{L}_{Y} = \overline{U} R Q_{L} H_{u} (Y_{u}^{(0)} + Y_{u}^{(1)}) + \overline{U} R Q_{L} H_{d}^{*} \mathcal{Y}_{u} + \overline{D} R Q_{L} H_{u} (Y_{d}^{(0)} + Y_{d}^{(1)})
$$

$$
+ \overline{D} R Q_{L} H_{d}^{*} \mathcal{Y}_{d} + h.c.,
$$

and $SU(2)$ indices are suppressed here.

The diagrams generating $\mathcal{Y}_{d}$ are shown in Fig. 1. The radiative corrections are of the order of the tree level contribution if $\tan \beta$ is large and $\mu \sim m_{sq} \sim m_{\lambda}$ [3]. As a result, the equality of Yukawa couplings for top and bottom quarks, $y_{b}^{(0)} = y_{t}^{(0)}$, does not fix $\tan \beta$, making it rather complicated function of $\mu$, $m_{sq}$, $m_{\lambda}$ and the relative phase between the tree-level contribution and the radiative correction piece [4]. It is clear that the same diagrams generate the corrections to the mixing angles if flavour can be changed on the squark line.

To find out whether Higgs particles mediate FCNC, we need to know the quark mass matrices,

$$
\sqrt{2} M_{u} = (Y_{u}^{(0)} + Y_{u}^{(1)}) v_{u} + \mathcal{Y}_{u} v_{d}
$$

$$
\sqrt{2} M_{d} = (Y_{d}^{(0)} + Y_{d}^{(1)}) v_{d} + \mathcal{Y}_{d} v_{u} \approx Y_{d}^{(0)} v_{d} + \mathcal{Y}_{d} v,
$$

and the decomposition of the neutral Higgs fields into the physical eigenstates [4]:

$$
H_{u}^{0} = v_{u} + H^{0} \sin \alpha + A^{0} \cos \alpha + i G^{0} \sin \beta
$$

$$
H_{d}^{0} = v_{d} + H^{0} \cos \alpha - A^{0} \sin \alpha + i G^{0} \sin \beta.
$$
In the formula for $M_d$ we have neglected the radiative correction piece proportional to $v_d$ and put $v_u$ equal to the SM Higgs vev $v$.

The angles $\alpha$ and $\beta$ here are connected as follows:

$$\sin 2\alpha = -\sin 2\beta \frac{m_H^2 + m_A^2}{m_H^2 - m_H^2}; \quad \cos 2\alpha = -\cos 2\beta \frac{m_A^2 - m_Z^2}{m_H^2 - m_H^2}. \quad (11)$$

The presence or absence of Higgs-mediated FCNC in the Down quark sector depends on the particular forms of the matrices $M_d, Y_d^{(0)}, Y_d^{(1)}$ and $Y_d$. But even before analyzing possible forms of the mass matrices and Yukawa couplings, we are able to present four-fermion operators which can produce leading effects. These are operators which have the square of the initial Yukawa coupling of the $b^{(0)}$-quark, the eigenstate of the Yukawa coupling $Y_d^{(0)}$ from the superpotential:

$$- \mathcal{L}_f = \bar{b}_L^{(0)} b_R^{(0)} \bar{b}_R^{(0)} b_L^{(0)} |y_b^{(0)}|^2 \left( \frac{\cos^2 \alpha}{m_H^2} + \frac{\sin^2 \beta}{m_A^2} + \frac{\sin^2 \alpha}{m_h^2} \right)$$

$$+ \frac{1}{2} \left( \bar{b}_L^{(0)} b_R^{(0)} \bar{b}_R^{(0)} b_L^{(0)} |y_b^{(0)}|^2 \left( \frac{\cos^2 \alpha}{m_H^2} - \frac{\sin^2 \beta}{m_A^2} + \frac{\sin^2 \alpha}{m_h^2} \right) \right)$$

$$+ \frac{1}{2} \left( \bar{b}_R^{(0)} b_L^{(0)} \bar{b}_R^{(0)} b_L^{(0)} |y_b^{(0)}|^2 \left( \frac{\cos^2 \alpha}{m_H^2} - \frac{\sin^2 \beta}{m_A^2} + \frac{\sin^2 \alpha}{m_h^2} \right) \right) \quad (12)$$

In the large $\tan \beta$ regime, $\sin^2 \alpha \simeq \tan^{-2} \beta; m_H^2 \simeq m_A^2$ and therefore the relevant part of this interaction is:

$$- \mathcal{L}_f = \bar{b}_L^{(0)} b_R^{(0)} \bar{b}_R^{(0)} b_L^{(0)} |y_b^{(0)}|^2 \left( \frac{1}{m_H^2} \right)$$

$$+ \frac{1}{2} \left( \bar{b}_L^{(0)} b_R^{(0)} \bar{b}_R^{(0)} b_L^{(0)} \bar{b}_R^{(0)} b_R^{(0)} b_L^{(0)} \right) |y_b^{(0)}|^2 \left( \frac{1}{m_h^2 \tan^2 \beta} \right). \quad (13)$$

Here we have assumed that $m_H, m_A \gg m_Z, m_h$ and neglected further $1/ \tan^2 \beta$ and $m_h^2/m_H^2$ suppressed terms.

The diagonalization of the Down-quark mass matrix (9) by the bi-unitary transformation

$$\sqrt{2} M_d^{\text{diag}} = U^\dagger (Y_d^{(0)} v_d + Y_d^{(1)} v) V,$$  

sets the physical (mass) basis for the Down quarks. Matrix $V$ rotates left-handed fields and, therefore, renormalizes initial Kobayashi-Maskawa matrix $V_{KM}^{(0)}$ provided by the non-commutativity of $Y_u^{(0)}$ and $Y_d^{(0)}$ in the superpotential,

$$V_{KM} = V_{KM}^{(0)} V. \quad (15)$$
This by itself can be considered as a certain constraint on $V$ if we assume that no fine tuning occurs in the product of $V_{KM}^{(0)}$ and $V$ so that $|V_{31}| < |(V_{KM})_{td}|$, $|V_{32}| < |(V_{KM})_{ts}|$, etc. As to the right-handed rotation matrix $U$, it appears absolutely arbitrary and its mixing angles can be limited only through possible FCNC contributions associated with them. The same bi-unitary transformation brings the off-diagonal entries into the four-fermion interaction (14):

$$- \mathcal{L}_{4f} = \bar{D}_{Li}D_{Rj}D_{Rk}D_{Li}|y_b^{(0)}|^2V_{3i}^*U_{3j}U_{3k}^*V_{3l}^* \frac{2}{m_A^2}$$

$$+ \frac{1}{2}\bar{D}_{Li}D_{Rj}D_{Rk}D_{Rl}|y_b^{(0)}|^2V_{3i}^*U_{3j}V_{3k}^*V_{3l} \frac{1}{m_h^2 \tan^2 \beta}$$

$$+ \frac{1}{2}\bar{D}_{Ri}D_{Lj}D_{Rk}D_{Ll}|y_b^{(0)}|^2U_{3i}^*V_{3j}U_{3k}^*V_{3l} \frac{1}{m_h^2 \tan^2 \beta}.$$ 

(16)

This interaction allows us to calculate the Higgs exchange contribution to all $\Delta F = 2$ processes in the quark sector. To simplify things further we take $m_H^2$ and $m_A^2$ in the ballpark of 500 GeV, so that $m_Z^2 \ll m_H^2$, $m_A^2 \ll m_h^2 \tan^2 \beta$ and the first term in Eq. (16) is presumably the dominant. We neglect also possible interference of interaction (16) with supersymmetric and SM box diagrams. Performing standard QCD running of $\bar{q}_Lq_R\bar{q}_Rq_L$ operator from 500 GeV down to the scale of 5 GeV and 1 GeV and taking matrix elements in vacuum insertion approximation, we arrive at the following formulae for mass splitting in the neutral B-mesons, K-mesons and $\epsilon_K$ parameter:

$$\Delta m_B \simeq 1.5 \eta_B |y_b^{(0)}|^2 \frac{m_B f_B^2}{m_H^2} |V_{31}^* U_{33} V_{33}^*|,$$ 

(17)

$$\Delta m_K = \eta_K |y_b^{(0)}|^2 \frac{m_K f_K^2}{m_H^2} \left( \frac{m_K}{m_s + m_d} \right)^2 |V_{31}^* U_{32} V_{32}^*|,$$ 

(18)

$$\epsilon_K = \eta_K |y_b^{(0)}|^2 \frac{m_K f_K^2}{\sqrt{2} \Delta m_K m_H^2} \left( \frac{m_K}{m_s + m_d} \right)^2 \text{Im} (V_{31}^* U_{32} V_{32}^*).$$ 

(19)

Here $\eta_K \simeq 5$ and $\eta_B \simeq 2.5$ are the QCD renormalization coefficients and the Yukawa coupling $y_b^{(0)}$ is taken at the scale of 500 GeV (At one-loop accuracy, the operator $(\bar{q}_L q_R)(\bar{q}_R q_L)$ does not mix with other possible operators). The decay constant are normalized in such a way that $f_K = 160$ MeV and $f_B = 200$ MeV. The comparison with experimental data yields the constraints on the combination of the off-diagonal elements of $V$ and $U$, Higgs mass and Yukawa coupling $y_b^{(0)}$. These constraints are summarized in Table 1.
If \( y_b^{(0)} \sim y_t^{(0)} \simeq 1 \) (large \( \tan \beta \) regime), it implies very strong constraints on the off-diagonal elements of \( V \) and \( U \). These constraints are 'oblique', i.e. the specifics of the soft-breaking sector in different supersymmetric models enters only through \( V_{13}, U_{23}^* \), etc. They are strongly violated if we take \( |y_b^{(0)}| \simeq 1, |V_{31}| \sim |U_{31}| \sim |V_{32}| \sim |U_{32}| \sim |V_{10}| \). It shows that unlike the eigenvalues of the mass matrices, the mixing angles should not receive more than 10% renormalization from the threshold corrections if both \( V \) and \( U \) matrices are nontrivial. The numbers on the r.h.s. in Table 1 coincide with the numbers quoted usually as the limits on the "superweak interaction" [8]. Moreover, the tightest constraint from \( \epsilon_K \) suggests the possibility of having nearly real \( V_{KM} \) with CP-violation in the Kaon sector coming from the small phases of the order \( 10^{-2} \) in \( V \) and \( U \).

For the generic form of the soft-breaking sector, the limits from Table 1 can be converted into the limits on the off-diagonal entries in the Down quark mass matrices \( M_Q^2 \) and \( M_D^2 \). Treating these entries as the mass insertions on the scalar quark lines and taking also \( (M_Q^2)_{ii} \sim (M_D^2)_{ii} \sim m_{\chi}^2 = m^2 \), we are able to calculate \( V_{ji} \) and \( U_{ij} \),

\[
V_{3i} = \frac{1}{3} \frac{\alpha_s v}{3 \pi (v_d + (\mu/m)(\alpha_s/3\pi)v)m} \frac{\mu (M_Q^2)_{3i}}{m^2} \quad (20)
\]

\[
U_{3i} = \frac{1}{3} \frac{\alpha_s v}{3 \pi (v_d + (\mu/m)(\alpha_s/3\pi)v)m} \frac{\mu (M_D^2)_{3i}}{m^2}
\]

Here we keep only gluino exchange contribution and neglect diagrams with charginos and neutralinos. The combination \( v_d + (\mu/m)(\alpha_s/3\pi)v \) is the 33 element of the mass matrix \( \sqrt{2}M_d \), and its moduli squared corresponds to the observable \( 2m_b^2 \) at the scale \( m \). This allows us to modify Eq. (20) so that the combinations of the mixing angles, relevant for \( \Delta B = 2 \) and \( \Delta S = 2 \) processes, look as follows:

\[
|V_{31}U_{31}| = \left| y_b^{(0)} \frac{\alpha_s}{3 \pi y_{SM}} m \right|^2 \frac{(M_Q^2)_{31} (M_D^2)_{31}}{m^2}
\]

\[
V_{31}^* U_{32} V_{32}^* U_{31} = \left| y_b^{(0)} \frac{\alpha_s}{3 \pi y_{SM}} \right|^4 \frac{(M_Q^2)_{31} (M_D^2)_{31} (M_Q^2)_{32} (M_D^2)_{32}}{m^2}
\]

Here \( y_{SM} \) is the SM Yukawa coupling of the b-quark, \( y_{SM} (m) = \sqrt{2} m_b / v \). It compensates the smallness coming from the high power of the loop factor, \( \alpha_s / 3\pi \). We summarize the

| Table 1. |
|-----------------|-----------------|-----------------|
| \( \Delta m_B \) | \( \frac{(500 \text{ GeV})^2}{m_H} \) | \( y_b^{(0)} \) | \( |V_{31}^* U_{33} U_{33}^* V_{31}| \) | \( 1.1 \cdot 10^{-7} \) |
| \( \Delta m_K \) | \( \frac{(500 \text{ GeV})^2}{m_H} \) | \( y_b^{(0)} \) | \( |V_{31}^* U_{32} U_{32}^* V_{31}| \) | \( 1.2 \cdot 10^{-9} \) |
| \( \epsilon_K \) | \( \frac{(500 \text{ GeV})^2}{m_H} \) | \( y_b^{(0)} \) | \( \text{Im} (V_{31}^* U_{32} V_{32}^* U_{31}) \) | \( 3.5 \cdot 10^{-12} \) |
constraints on the \textit{SUSY} parameter space for the case of the generic form of the soft-breaking in Table 2.

Table 2.

| \Delta m_B | \left(\frac{500 \text{ GeV}}{m_H}\right)^2 | y_b^{(0)} |^4 | \frac{\mu}{m} |^2 | \frac{(M_Q^2)_{31}}{m^2} | \frac{(M_{31})_{31}}{m^2} | \frac{(M_D^2)_{31}}{m^2} | \frac{(M_{32})_{32}}{m^2} | < 1.4 \cdot 10^{-6} |
| \Delta m_K | \left(\frac{500 \text{ GeV}}{m_H}\right)^2 | y_b^{(0)} |^6 | \frac{\mu}{m} |^4 | \frac{(M_Q^2)_{31}}{m^2} | \frac{(M_{31})_{31}}{m^2} | \frac{(M_D^2)_{31}}{m^2} | \frac{(M_{32})_{32}}{m^2} | < 2.0 \cdot 10^{-7} |
| \epsilon_K | \left(\frac{500 \text{ GeV}}{m_H}\right)^2 | y_b^{(0)} |^6 | \text{Im} \left(\frac{\mu}{m} |^4 \frac{(M_Q^2)_{31}}{m^2} | \frac{(M_{31})_{31}}{m^2} | \frac{(M_D^2)_{31}}{m^2} | \frac{(M_{32})_{32}}{m^2} \right) | < 5.5 \cdot 10^{-10} |

We take \( m_\lambda \) as the real parameter and therefore we have to include possible phase of \( \mu \) in the \( \epsilon_K \)-constraint. These constraints look somehow relaxed as compared to those from Table 1. The reason for that is the presence of additional combinatorial \( 1/3 \) in Eqs. 2 as compared to the \( \alpha_s/(3\pi) \) factor, characterizing the renormalization of eigenvalues. This combinatorial factor arises from the \( n = 1 \) order of mass insertions in the squark line and leads to one order of magnitude suppression for \( \Delta m_B \) and two order of magnitudes for \( \Delta m_K \) and \( \epsilon_K \). The fourth and sixth power of \( y_b^{(0)} \) in Table 2 at low and intermediate \( \tan \beta \) correspond to the \( \tan^4 \beta \) and \( \tan^6 \beta \) growth of Higgs-mediated FCNC amplitudes claimed earlier in the Introduction.

The constraints on the soft-breaking terms quoted in Table 2 are very sensitive to the value of \( y_b^{(0)} \) and \( \mu/m \) ratio. Nevertheless, they provide valuable limits on the squark flavour sector in the case of large \( \tan \beta \), complementary and sometimes much stronger than those from the box diagram if \( y_b^{(0)} \sim \mu/m \sim 1 \) [5]. The exception are the limits on \((M_Q^2)_{12}, (M_D^2)_{12}\) entries in the squark mass matrices. The limits on these entries provided by Higgs exchange are relaxed by some power of the ratio \( y_s^{(0)}/y_b^{(0)} \) and we do not quote them here.

Many specific \textit{SUSY} models predict certain patterns for the squark mass matrices so that FCNC amplitudes can be calculated in more details and where the comparison with the box diagram contribution can be made.

\section{Constraints on the soft-breaking terms in different \textit{SUSY} models}

In what follows we consider the cases of Minimal supergravity model, \textit{SUSY LR} and \textit{SUSY SO(10)} models and so called ”effective supersymmetry”.

\textbf{1. Minimal supergravity model}

It is customary to assume, at the scale of the breaking, that the following, very restrictive conditions are fulfilled:

\[
M_Q^2 = m_Q^2 1; \quad M_D^2 = m_D^2 1; \quad M_U^2 = m_U^2 1 \quad \text{"degeneracy"} \tag{22}
\]

\[
A_u = A_u Y_u; \quad A_d = A_d Y_d \quad \text{"proportionality"}. \tag{23}
\]
These conditions, if held, ensure that the physics of flavour comes entirely from the superpotential. We would refer to this possibility as to the supergravity scenario. Further RG evolution of the soft-breaking parameters, from the scale of the SUSY breaking down to the electroweak scale, induces significant off-diagonal terms in \( M_Q^2 \) whereas \( M_D^2 \) and \( M_U^2 \) stay essentially flavour blind. As a result, no significant right-handed rotation angles can be generated at the threshold, i.e. \( |U_{ij}| \sim \delta_{ij} \). The left-handed squark mass matrix is nontrivial, though, and, as a result, 13 and 23 elements of the rotation matrix \( V \) are proportional to \( \sqrt{\lambda_d} \) and \( \sqrt{\lambda_s} \) times the characteristic splitting in left-handed sector induced by RG running, \( y_t^2 \lambda / m_b \). Thus, the Higgs exchange would induce the operator \( \tilde{d}_L \tilde{b}_R \tilde{d}_L \tilde{b}_R \) proportional to \( V_{ij}^2 \) which is relevant for the \( B \)-meson splitting. Unfortunately, in the case of the Higgs exchange this operator is suppressed by \( \tan^2 \beta \) (See Eq. (16)) and cannot compete with the box diagram. For the \( \Delta S = 2 \) processes the degree of suppression is even higher, since the Higgs mediation mechanism involves \( (y_s^{(0)}/y_b^{(0)})^2 \).

2. Effective supersymmetry

The departure from the strict conditions of degeneracy and proportionality may occur in several ways which usually implies significant SUSY contributions to FCNC amplitudes. Here we would skip the theoretical justification for certain choices of the soft-breaking parameters going directly to the phenomenological consequences related with Higgs-mediated FCNC.

We turn now to the ”effective supersymmetry” picture \( \mathbb{4} \) which has much less degrees of freedom and where flavour physics can be formulated in a more definitive way. In the squark mass matrices, diagonalized by unitary transformations \( U \) and \( V \),

\[
M_Q^2 = \tilde{V}^\dagger \begin{pmatrix} m_1^2 & 0 & 0 \\ 0 & m_2^2 & 0 \\ 0 & 0 & m_3^2 \end{pmatrix} \tilde{V}; \quad M_D^2 = \tilde{U}^\dagger \begin{pmatrix} m_1^2 & 0 & 0 \\ 0 & m_2^2 & 0 \\ 0 & 0 & m_3^2 \end{pmatrix} \tilde{U},
\]

(24)

the eigenvalues \( m_1^2, m_2^2, m_3^2 \) are taken to be in the multi-TeV scale and eventually decoupled from the rest of particles. At the same time, the squarks from the third generation are believed to be not heavier than 1 TeV and weakly coupled to the first and second generations of quarks to avoid the excessive fine-tuning in the radiative corrections to the Higgs potential and suppress FCNC contribution to the Kaon mixing. The advantage of this approach in our case is that the same loop integral stands for the renormalization of the Yukawa couplings and mixing angles. Moreover, it is easy to see that matrices \( \tilde{V} \) and \( \tilde{U} \) in Eq. (24) and \( V \) and \( U \) in Eq. (14) are closely related:

\[
|V_{31} \tilde{U}_{31}| = \left| y_b^{(0)} \frac{\alpha_s}{3\pi y_M} \frac{\mu}{m_3^3} \frac{F(m_\lambda/m_3)}{m_3} \right|^2 \left| \tilde{V}_{31} \tilde{U}_{31} \right| \simeq 0.7 \left( \frac{y_b^{(0)} \mu}{m_3^3} \right)^2 \frac{\left| \tilde{V}_{31} \tilde{U}_{31} \right|}{\tilde{V}_{31} \tilde{U}_{31}}
\]

\[
V_{31}^* U_{32} V_{32}^* U_{31}^* = \left| y_b^{(0)} \frac{\alpha_s}{3\pi y_M} \frac{F(m_\lambda/m_3)}{m_3^4} \left( \frac{\mu}{m_3^3} \right)^4 \tilde{V}_{31} \tilde{U}_{32} \tilde{V}_{32} \tilde{U}_{31}^* \right| \simeq 0.5 \left( \frac{y_b^{(0)} \mu}{m_3^3} \right)^4 \frac{\left| \tilde{V}_{31} \tilde{U}_{32} \tilde{V}_{32} \tilde{U}_{31}^* \right|}{\tilde{V}_{31} \tilde{U}_{32} \tilde{V}_{32} \tilde{U}_{31}^*},
\]

\[
F(x) = \frac{2x}{1 - x^2} + \frac{2x^3 \ln x^2}{(1 - x^2)^2},
\]

(25)
and \( F(1) = 1 \) is substituted in the first and second lines of Eq. (24). These formulae allows us to limit the mixing angles in \( \tilde{V} \) and \( \tilde{U} \) matrices; for \( F \approx 1 \) and \( y_b^{(0)} \approx 1 \) they are almost as strong as the constraint on \( V \) and \( U \) from Table 1. Numerically, it is important that the combinatorial suppression from Eq. (21), \( (1/3)^2 \) and \( (1/3)^4 \), does not apply here. Table 3 summarizes the limits on the parameter space of the model, provided by \( \Delta F = 2 \) amplitudes mediated by Higgses.

Table 3

| \( \Delta m_B \) | \( \left( \frac{500 \text{ GeV}}{m_H} \right)^2 |y_b^{(0)}|^4 \left| \frac{\mu}{m_3} \right|^2 |\tilde{V}_{31}\tilde{U}_{31}| < 1.6 \cdot 10^{-7} \) |
| \( \Delta m_K \) | \( \left( \frac{500 \text{ GeV}}{m_H} \right)^2 |y_b^{(0)}|^6 \left| \frac{\mu}{m_3} \right|^4 |\tilde{V}_{31}^*\tilde{U}_{32}\tilde{V}_{32}\tilde{U}_{31}^*| < 2.4 \cdot 10^{-9} \) |
| \( \epsilon_K \) | \( \left( \frac{500 \text{ GeV}}{m_H} \right)^2 |y_b^{(0)}|^6 \text{Im} \left( \left( \frac{\mu}{m_3} \right)^4 \tilde{V}_{31}^*\tilde{U}_{32}\tilde{V}_{32}\tilde{U}_{31}^* \right) < 7.0 \cdot 10^{-12} \) |

These constraints are taken at \( F \approx 1 \). In Fig. 2 we plot \( F \) and \( F^4 \) as a function of the ratio \( m_\lambda/m_3 \). It is interesting to note that Higgs-mediated amplitudes can probe the region of the parameter space where gluino is considerably heavier than stop and sbottom. All other observables related with dipole amplitudes drop off very fast with \( m_\lambda \) and do not produce significant constraints in this part of the parameter space. The comparison of the box diagram contribution to the \( B - \bar{B} \) mixing with the Higgs-mediated mechanism provides the value of the critical (\( \tan \beta \)) \(_{cr} \) above which the Higgs exchange dominates:

\[
(\tan \beta)_{cr} = 17
\]

The numbers from Table 3 suggest also that the observable value of \( \epsilon_K \) parameter in this model can be achieved through the small imaginary phases of \( \mu \) and/or \( \tilde{V}_{ij}, \tilde{U}_{ij} \).

3. Supersymmetric \( SO(10) \) and left-right models

Another example of SUSY theory that we would like to consider here are the supersymmetric \( SO(10) \) [10, 11] and the left-right models [12]. In \( SO(10) \) model, left- and right-handed quark superfields are unified at some scale \( \Lambda_{\text{GUT}} \) into the same multiplet. Therefore, above the scale of the unification the RG evolution of \( M_Q^2 \) and \( M_D^2 \) should be the same and these matrices will be equally affected by large Yukawa couplings of the third generation. Therefore, if \( M_Q^2 \) exibits non-trivial flavour structure, so does \( M_D^2 \). As a result, the squark degeneracy condition is violated both in the left- and right-handed sector and the characteristic splitting is proportional to \( y_t^2 \ln(\Lambda_{\text{Planck}}^2/\Lambda_{\text{GUT}}^2) \). In the basis where \( Y_d^{(0)} \) matrix is diagonal, the off-diagonal entries in the squark mass matrices can be related to the mixing angles of the rotation matrices between two basis given by diagonal \( Y_d^{(0)} \) and diagonal \( Y_u^{(0)} \). Further RG
evolution, from $\Lambda_{GUT}$ to the weak scale, deviates $M_D^2$ from $M_Q^2$ but the nontrivial part of $M_Q^2$ survives and generates very important phenomenological consequences. It is easy to see, though, that somehow milder assumption about left-right symmetry in the theory with $SU(2)_L \times SU(2)_R \times U(1)$ gauge group can be responsible for exactly the same phenomenology [14]. The role of $\Lambda_{GUT}$ in this case is played by $\Lambda_{LR}$, the scale where left-right symmetry gets spontaneously broken and heavy right-handed gauge bosons decouple.

To study the Higgs-mediated FCNC amplitudes we need to know the initial form of the Yukawa matrix $Y_d^{(0)}$ in the basis where $Y_u^{(0)}$ is diagonal. Two choices seem to be justified: hermitian $Y_d^{(0)}$ [13] or complex symmetric $Y_d^{(0)}$ [15]. Depending on this choice, right-handed mixing matrix is either exactly the same as KM matrix or equal to the transposed KM matrix times the diagonal matrix with two new physical phases in it. Now we are ready to calculate relevant combinations of mixing angles in terms of the KM matrix elements $V_{td}$ and $V_{ts}$:

$$|V_{31}U_{31}| = \left| y_b^{(0)} \frac{\alpha_s}{3\pi y_{SM}} \frac{\mu}{m_3} G(m_\lambda, m_3, m) \right|^2 |V_{td}|^2 \simeq 7 \cdot 10^{-5} \left( \frac{y_b^{(0)} \mu}{m_3} G(m_\lambda, m_3, m) \right)^2$$

$$|V_{31}^* U_{32} V_{32} U_{31}^*| = \left| y_b^{(0)} \frac{\alpha_s}{3\pi y_{SM}} \frac{\mu}{m_3} G(m_\lambda, m_3, m) \right|^4 |V_{td}|^2 |V_{ts}|^2$$

$$\simeq 8 \cdot 10^{-8} \left( \frac{y_b^{(0)} \mu}{m_3} G(m_\lambda, m_3, m) \right)^4,$$

where we take $V_{td} \simeq 0.01$ and $V_{ts} \simeq 0.04$. The invariant function $G(m_\lambda, m_3, m)$ still depends on many parameters: right-handed sbottom mass, left-handed sbottom mass, masses of squarks from first and second generations and gluino mass. Substituting (27) into the general limits from Table 1, we obtain the following constraints on the parameter space of the $SO(10)$ and left-right symmetric types of models:

| Table 4 |
|-----------------|-----------------|-----------------|
| $\Delta m_B$    | $(500 \text{ GeV})^2 \left| y_b^{(0)} \right|^4 \left( \frac{\mu}{m_3} G \right)^2 < 1.6 \cdot 10^{-3}$ |
| $\Delta m_K$    | $(500 \text{ GeV})^2 \left| y_b^{(0)} \right|^6 \left( \frac{\mu}{m_3} G \right)^4 < 1.5 \cdot 10^{-2}$ |
| $\epsilon_K$    | $(500 \text{ GeV})^2 \left| y_b^{(0)} \right|^6 \left( \frac{\mu}{m_3} G \right)^4 \sin(\phi_s - \phi_d) < 4.4 \cdot 10^{-4}$ |

The last row in Table 4 refers to the case of the complex symmetric mass matrices and $\phi_s, \phi_d$ are the new physical phases associated with the right-handed mixing matrix. The dependence of these phases is the same as in the case of the box-diagram [11]. The significance of these constraints depends very strongly on $G$. To illustrate this we take the
masses of the first and second generation of squarks to be equal to gluino mass and take also the same mass \( m_3 \) for the left- and right-handed sbottom. Then, the function \( G \) can be reexpressed in terms of the function \( F \), introduced earlier in Eq. (25),

\[
G(m_\lambda, m_3, m) = F(m_\lambda/m_3) - \frac{m_3}{m} F(m_\lambda/m) = F(m/m_3) - \frac{m_3}{m} \tag{28}
\]

In Fig. 3 we plot \( G \) and \( G^4 \) as a function of the ratio \( m/m_3 \). As in the previous case, there is a significant sensitivity to the part of the parameter space where gluino and first generation of squarks are significantly heavier than \( m_3 \). The comparison with the box diagram is also very much dependent of the \( m/m_3 \) ratio. If this ratio is large \( m/m_3 > 2 \), the critical value of \( \tan \beta \) is approximately the same as in the case of completely decoupled first and second generations of squarks (26).

4 Conclusions

We have considered in details FCNC processes mediated by Higgs particles in the supersymmetric models with large \( \tan \beta \). Large \( \tan \beta \) and \( \mu \sim m_{sq} \sim m_\lambda \) invoke significant renormalization of \( M_d \), mass matrix for the Down-type of quarks. It is evident that the mixing angles can also acquire additional contributions from the threshold corrections if flavour can be changed in the squark sector. When this renormalization occurs both in left- and right-handed rotation matrices, it generates flavour changing operators \( \bar{d}_L b_R \bar{d}_R b_L \) and \( \bar{d}_L s_R \bar{d}_R s_L \) mediated by heavy Higgs particles \( H \) and \( A \). The coefficients in front of these operators are further enhanced by RG evolution to the low-energy scale and, in the case of neutral kaons, by chiral enhancement factor in the matrix elements.

For the models with \( \tan \beta \sim O(1) \) the Higgs-mediated FCNC amplitudes are truly marginal because they appear at two or more loops. The \( SUSY \) contribution to FCNC in this case is given by box diagrams. However, Higgs-mediated FCNC amplitudes strongly depend on \( \tan \beta \); they grow as the fourth and sixth power of \( \tan \beta \) for \( \Delta B = 2 \) and \( \Delta S = 2 \) processes respectively. As a result, Higgs-mediated amplitudes can match \( SUSY \) box diagrams when \( \tan \beta \sim 20 \) and become the dominant mechanism for FCNC when \( \tan \beta \sim m_t/m_b \). In latter case, Higgs-mediated FCNC amplitudes provide significant constraints on the off-diagonal elements in the squark mass matrices. Very strong limits on the soft-breaking sector are formulated here in Tables 1 and 2. These limits are complementary to those provided by box diagrams (4). The constraints from Table 1 are oblique, i.e. all the details about the soft-breaking sector are confined in the rotation angles between the mass basis provided by superpotential and the physical mass basis which includes \( v_u \)-dependent radiative corrections.

The significance of this mechanism varies from one model to another and depends mainly on the nontrivial structure of the right-handed squark mass matrix. For the minimal supergravity scenario this matrix is trivial and Higgs exchange mechanism is not important for any value of \( \tan \beta \). Significant contributions to \( \Delta m_K, \Delta m_B \) and \( \epsilon_K \) can be induced in other types of \( SUSY \) models where right-handed squark mass matrices are similar to the left-handed ones.
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References


Figure captions

Figure 1. The diagrams generating $v_u$-dependent threshold corrections to $M_d$.

Figure 2. In the case of decoupled first and second generation of squarks, the invariant functions $F$ and $F^4$ are plotted against $m_\lambda/m_3$ ratio. The Higgs-mediated FCNC amplitudes are sensitive to large $m_\lambda$.

Figure 3. $SO(10)$ and left-right SUSY models. $G$ and $G^4$ are plotted as a function of $m/m_3$ ratio taking $m = m_\lambda = m_1 = m_2$. 