Joint Inversion of SPREE Receiver Functions and Surface Wave Dispersion
 Curves for 3-D Crustal and Upper Mantle Structure Beneath the U.S.
 Midcontinent Rift

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- 16 Key Points:
- The crustal depth increases to at least 50 km beneath the Mid-Continent Rift (MCR) of
 Wisconsin (WI) and Minnesota (MN).
- The Moho beneath the WI/MN MCR contains a thick layer 40-60 km deep (Vs = 4.1-4.6 km/s) that may be underplated mafic volcanic material.
- Beneath the WI/MN MCR, Vs is generally slower than average in the upper crust but faster than average in the lower crust / upper mantle.

23 Abstract

Broadband seismograms from the EarthScope Transportable Array and Superior Province 24 Rifting EarthScope Experiment (SPREE) deployments are used to map the crust and uppermost 25 mantle structures beneath the failed Midcontinent Rift (MCR) of Minnesota/Wisconsin, USA. 26 The results suggest the existence of a variable zone of mafic underplating that is up to 20 km 27 thick (40-60 deep). We jointly invert receiver functions and Rayleigh wave dispersion curves to 28 quantify the region's crustal and mantle shear-wave velocity structure. Basin sediment 29 30 thicknesses are mildly asymmetric about the rift axis, with thickest regions immediately beneath the rift. 3-D modeling shows anomalous lower crust and crust-mantle transitions beneath the 31 MCR. Sub-MCR crustal thicknesses are generally >50 km with lower crust Vs of 4.0-4.2 km/s. 32 Away from the MCR, the crust is typically ~40 km thick. Strong variations in apparent crustal 33 thickness are found along the MCR, increasing significantly in places. An additional layer of 34 35 shear velocities intermediate between typical lower crust and upper mantle velocities (4.1-4.6 km/s) exists beneath most of the MCR which is thickest beneath the rift axis and pinches out 36 37 away from the rift. This structure corroborates previous proposals of the presence of an underplated layer near the Moho. Results cannot distinguish between different mechanisms of 38 emplacement (e.g., mafic interfingering within a subsequently down-dropped lower crust vs. 39 development of a high-density pyroxenitic residuum at the top of the mantle). Also observed are 40 41 anomalously high (>4.7 km/s) sub-rift shear-wave velocities at ~70-90-km depths, suggesting the presence of cold, depleted upper mantle material. 42

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44 Plain Language Summary

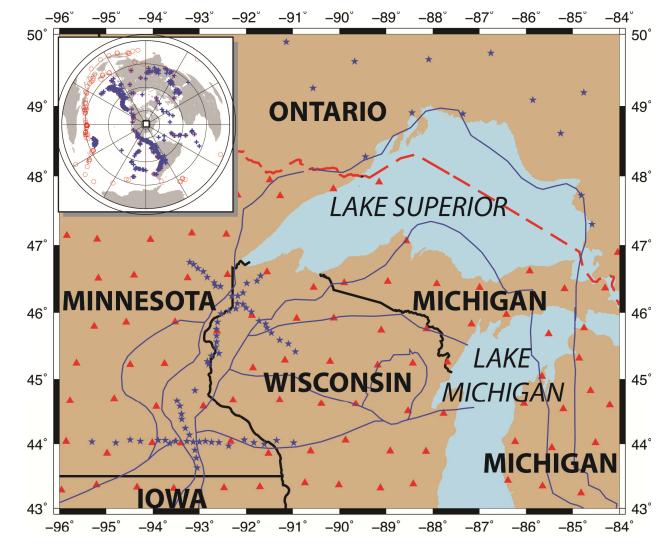
The Mid-Continent Rift is a failed continental rift that nearly split North America into two continental fragments 1.1 billion years ago. Unlike typical continental rift zones, which are characterized by stretched, thinned, and normal-faulted crust, the base of the mantle beneath the Mid-Continent Rift sits more than 10 km below the surrounding regions and has shown evidence of being infilled by a thick layer of erupted volcanic basalt that may be 15-20 km thick in places. In addition, the base of the crust beneath the rift appears to contain an underplated layer of mafic volcanic materials that is itself 15-20 km thick in places, extending to depths of up to 60 km. The

- 52 unique nature of this tectonic event left significant alterations to the lithosphere of North
- 53 America that retain a strong seismic signature, even 1.1 billion years after the event.

54 **1 Introduction**

The Superior Province Rifting EarthScope Experiment (SPREE, Figure 1; Van der Lee et 55 al., 2011) was designed to study the seismic structure of the crust and mantle lithosphere of the 56 Midcontinent Rift of North America (MCR) using several seismic methods including receiver 57 function analysis and surface wave tomography. Our study area includes the southern part of the 58 Archean Superior Craton (Canadian Shield) and the Paleoproterozoic Yavapai and Penokean 59 60 orogenic belts to its south. Cross-cutting these terranes is the Mesoproterozoic Midcontinent Rift system (e.g., Morgan, 1971; Green, 1983; Stein et al., 2015). The region's complex tectonics are 61 62 shown in Figure 2.

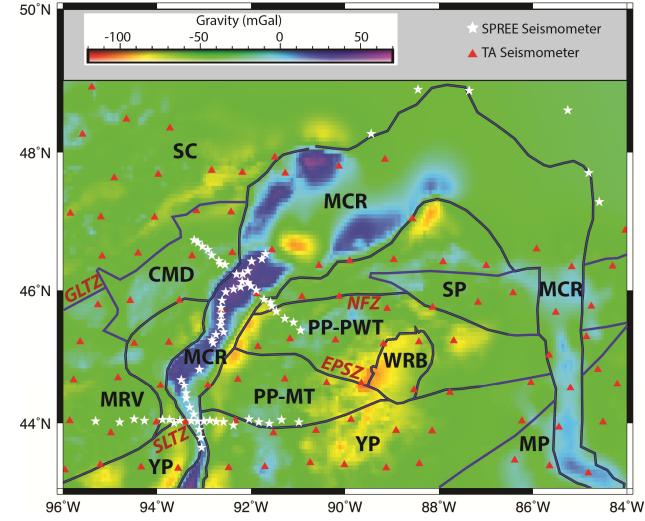


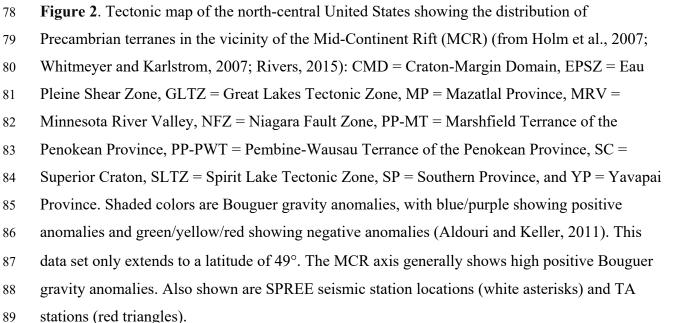




66 Figure 1. Study area, showing locations of the Superior Rifting EarthScope Experiment 67 (SPREE) stations (blue asterisks) and Transportable Array stations (red triangles). Blue lines are 68 69 boundaries of the Mid-Continent Rift and other tectonic domains, which are defined and referenced in the caption to Figure 2. Light blue areas are lakes. Black lines are state boundaries 70 and the red dashed line is the U.S. - Canada border. The upper left inset shows the locations of 71 earthquakes used for calculating P-wave receiver functions (red circles) and surface wave 72 dispersion measurements (blue crosses). The inset concentric circles represent distances from the 73 SPREE array in increments of 25°. 74

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A large volume of basalt syntectonically filled parts of the MCR, though its origin is unknown. A mantle plume hypothesis has been used to explain the existence of the flood basalts in the rift valley on both sides of the MCR (e.g., Nicholson et al., 1997; Hutchinson et al., 1990; Davis et al., 2022; Prasanna et al., 2022), although the lack of significant topographic relief has been used to argue against this (Campbell, 2001).

Stein et al. (2018) explain the development of the MCR as a result of deformational 95 processes during a reorganization of plate motions and the resulting evolution of plate margins, 96 97 suggesting that deformation caused decompression melting and leaky transform faults that resulted in a large volume (up to a million cubic kilometers) of volcanic basalt. This model 98 99 explains the asymmetric gravity anomaly and volcanic volumes of the MCR (Merino et al., 2013; Elling et al., 2022) as a consequence of the east and west arms being boundaries between a 100 101 microplate and two diverging major plates. The MCR's intersection with the Superior Craton is a 102 defining area within which to study rift inception and demise.

103 An alternative explanation of the development of the MCR is presented by Swanson-Hysell et al. (2023), who connect prolonged subduction of oceanic lithosphere beneath southeast 104 105 Laurentia in the Mesoproterozoic with the initiation of voluminous mafic magmatism of the MCR via a deep mantle water cycle. They proposed that dense hydrous minerals subducted to 106 the bottom of the upper mantle before dehydrating and initiating upwelling, decompression 107 melting, and intraplate magmatism. Subsequent collisional orogenic events, including the 108 109 Grenvillian orogeny, combined multiple Proterozoic terranes into the supercontinent Rodinia, 110 and these associated with the cessation of the rifting of the MCR.

The SPREE seismic deployment was designed to identify any crustal modifications across the MCR and to resolve the surrounding mantle structure. A goal of SPREE was to determine the role of the mantle in this major 1.1-billion-year-old rifting event and in the subsequent evolution of the dormant and deep MCR. In this study we present crustal thickness and shear velocity variations (Vs) obtained for the MCR from a joint inversion of receiver functions and surface waves.

Numerous geophysical studies (e.g., Van Schmus, 1992; Miller and Nicholson, 2013;
Foster et al., 2020) have examined the MCR by quantifying the contrast between the rift valley
and its surroundings. This contrast is seen in the composition, gravity, and magnetic signatures

of the crust and mantle (Van Schmus & Hinze, 1985; Stein et al., 2014, 2018; Zhang et al., 2016;

Elling et al., 2022). The prominent gravity anomalies shown in Figure 2 define the geographic extent of the MCR (Chandler & Lively, 2011). Volcanism formed dense basalt and gabbro deposits in the rift, which subsided and was filled by non-volcanic sediments. Subsequent compression uplifted the rift interior (Cannon et al., 1989; Stein et al., 2018). Although previous seismological research has provided significant information about the MCR, the nature of the crust and upper mantle velocity structures remains undetermined.

127 The resistivity structure of the MCR was explored using data from the long period EarthScope magnetotelluric (MT) transportable array. The resulting three-dimensional inversion 128 shows that the western arm has a highly resistive core straddled by conductive basins that consist 129 of two deep-seated east-west-trending anomalous changes. These MT features coincide with two 130 surface-mapped sutures, the Spirit Lake tectonic zone and the Niagara Fault (Yang et al., 2015) 131 132 (Figure 2). Merino et al. (2013) modeled gravity data collected across the two arms and found significant variations in the inferred volume of volcanics. The basalt volume seems to increase 133 134 toward the Lake Superior region, with more magmatism within the western arm compared to the eastern arm, although the increased uplift of the western limb, when compared to the eastern 135 limb, also affects the gravity signature. 136

Active-source seismic studies in the region, notably the 1986 Great Lakes International 137 Multidisciplinary Program on Crustal Evolution (GLIMPCE) reflection profiles across the MCR 138 139 in Lakes Superior and Michigan show the rift basin to be very wide there. The southern edge of 140 the basin seems to be bounded by a normal growth fault and flat-lying clastic layers with a total thickness of 12-14 km, with the Moho characterized by a reflection time of more than 11 s 141 (Behrendt et al., 1988). Using GLIMPCE data, large crustal thickening was identified beneath 142 the Lake Superior part of the MCR, where the crustal thickness reaches 55 km (Shay & Trehu, 143 1993; Hamilton & Mereu, 1993). The high-velocity, high-density bottom 20 km of the crust were 144 interpreted as a "volcanic root" of mafic material added to the bottom of the crust during rifting 145 (Tréhu et al., 1991). 146

The MCR crustal structure in central Iowa was previously analyzed using receiver
functions (e.g., French et al., 2009; Moidaki et al., 2013) from the Florida-to-Edmonton
Broadband Seismometer Array data (Wysession & Fischer, 2001). Moidaki et al. (2013) found

anomalously thick crust in the Iowa segment of the MCR, in comparison with the surrounding
regions. Shen et al. (2013b) found anomalously thick crust beneath the western arm. They also
observed that the Moho was sharp beneath the southern part of the MCR but was gradually
transitional in the northern part.

Zhang et al. (2016), using a P-wave receiver function analysis of teleseismic SPREE and 154 TA seismic data, concluded that the Moho in the area surrounding Lake Superior and away from 155 the rift zone is relatively flat with an average depth of 40 km. In addition, they found that the 156 157 entire portion of the western MCR arm that was sampled by SPREE is underlain by a similar sub-crustal mafic layer as found beneath Lake Superior, with a thickness between 10 and 15 km 158 that formed from crustal underplating during rifting. Pollitz and Mooney (2016), using TA 159 surface waves to study the crustal and shallow upper mantle of Central United States, proposed 160 that thermal lithospheric disturbances can have a lingering effect by lowering seismic velocities 161 162 for long periods of time, suggesting that low seismic velocities at depths of about 15 km are associated with failed rift systems, which are often coincident with shallow sedimentary basins. 163

Foster et al. (2020) used anisotropic Rayleigh wave tomography to study crust and upper 164 165 mantle structure across northern Ontario (Canada), the MCRZ and the Proterozoic terranes of the 166 central-northern USA. The resulting phase velocity maps showed systematic differences between the (seismically fastest) Superior craton and the (relatively slower) Proterozoic domains. 167 Superimposed on this trend was an arcuate low-velocity feature, most prominent at periods <80 168 169 seconds (depths of <120 km) whose position matched the outline of the MCRZ. This feature was 170 also correlated with a change in orientation and amplitude of azimuthal anisotropy. Boyd and Smithson (1993) found that the gneissic crustal terrane beneath the Minnesota River Valley sub-171 province had an average P-wave velocity of 6.8 km/s and thickness of 49 km, deeper than the 172 surrounding crust's thicknesses of 35–40 km. 173

Here, seismic records from the SPREE and EarthScope TA seismic stations are analyzed utilizing two seismic methods: (1) ambient noise tomography, in the style of Barmin et al. (2001), Bensen et al. (2007), Yang et al. (2007), and Lin et al. (2008); and (2) the Automated Surface Wave Measuring System (ASWMS) method for analyzing Rayleigh waves, developed by Jin and Gaherty (2015). Rayleigh surface wave dispersion measurements from both ambient noise and ASWMS are combined with receiver functions and jointly inverted using a Bayesian Monte Carlo formalism developed by Shen et al. (2013a,b,c). The addition of receiver functions has the benefit of improving the crustal thickness estimation (Shen et al., 2018), due to their sensitivity to seismic discontinuities.

183 The inversion scheme consists of first using the ambient noise and ASWMS results to invert for 2-D maps of surface wave phase and group velocities and then inverting for the 3-D 184 spatial shear-velocity structure. First, a series of 2-D phase or group velocity maps for different 185 periods are estimated using tomographic methods. At discrete 0.5-degree intervals of latitude and 186 187 longitude, group and phase velocities at discrete periods are obtained, creating a set of 1-D dispersion curves. These curves are combined with receiver functions at SPREE and TA stations 188 and inverted for 1-D vertical shear-velocity profiles beneath each location in the manner of Shen 189 et al. (2013b). The 1-D shear velocity profiles are then interpolated to construct a continuous 3-D 190 191 model, providing the basis for geologic interpretations.

The goal of this approach, combining the surface waves and receiver functions, is to 192 193 provide a higher degree of resolution in imaging the structure, particularly the deep structure, of one of the more enigmatic Precambrian tectonic features of the globe. As pointed out, significant 194 195 debates still occur as to the cause, mechanism, and process of the formation of the MCR. 196 Although the once-significant thermal anomalies associated with the rift formation are now gone, the hope is that there are remnant compositional features that are still seismically observable and 197 can provide constraints on the dynamic petrochemical processes involved. Receiver functions are 198 199 particularly good at identifying horizontal discontinuous boundaries, with good vertical 200 resolution, but can't say much about gradual changes in seismic velocities and have very limited lateral resolution. Surface waves do provide information about continuous vertical gradients in 201 seismic velocity and their inversion can provide reasonable horizontal variations, but their 202 resolution is limited to intermediate wavelengths and they do not do a good job resolving 203 discontinuities. Inverting the surface waves with the receiver functions achieves a greater 204 resolution that is able to resolve features such as a subcrustal underplated layer, limited in both 205 vertical and horizontal extent. 206

207 2 Geologic Setting and Tectonic History of the Mid-Continent Rift

An overview of the tectonic history of the MCR, including its overprint on the Archean Superior Province is given by Holm et al. (2007). The MCR cuts through a lithosphere composed 210 of numerous older accreted Archean and Proterozoic terranes (Figure 2). The oldest rocks found

211 in the region (present-day Minnesota and NW Ontario) are those of the southern Superior

212 Province, the world's largest and best-preserved Archean craton. Separate continental and

213 oceanic belts, the Wabigoon, Quetico, Wawa-Abitibi and Minnesota River Valley terranes, were

amalgamated by 2.6 Ga during the final cratonization process (e.g., Percival et al., 2012; Jirsa et

215 al., 2011).

Later in the Paleoproterozoic (1850 Ma), the 200-km-wide Penokean Orogeny formed 216 217 along the southern margin of the Archean Superior craton, a result of continental collision (e.g., Whitmeyer and Karlstrom, 2007). During the Penokean Orogeny, south of the Niagara fault (the 218 boundary between the Penokean Province and the Archean Superior margin, as shown in Figure 219 2), the Pembine-Wausau oceanic arc was amalgamated onto the southern margin of the Archean 220 Superior craton. Later, near the end of the Penokean orogeny, the Marshfield terrane was 221 222 accreted onto the Pembine-Wausau Terranes (Schulz & Cannon, 2007). The Penokean crust in Minnesota contains highly deformed metamorphic and plutonic rocks that formed during the 223 Yavapai and Mazatzal orogenies (Jirsa et al., 2011). South of the Penokean province, imprinted 224 on the Mazatlal basement, the rocks of the eastern and southern Granite-Rhyolite Provinces 225 (1340-1400 Ma) and the Central Plains province were emplaced (1600-1800 Ma) (Van Schmus 226 et al., 1987; Sims et al., 1989). 227

During the Mesoproterozoic (1.1 Ga), the MCR formed by crustal extension marked by 228 229 episodes of intensive magmatic upwelling. The outstretched arms of the MCR are filled with flood basalts and magmatic intrusions that are 10-25 km thick (Miller and Nicholson, 2013; Stein 230 et al., 2018), covered by clastic rocks that later accumulated within the rift grabens (Chandler et 231 al., 1989). Magnetic and gravitational potential field studies identify large anomalies associated 232 with the MCR. The gravity and magnetic highs (Figure 2) indicate layers of dense and 233 predominantly mafic volcanic rocks flanked and overlain by nearly flat-lying younger 234 sedimentary rocks. After uplift of the central portion of the rift and associated erosion of these 235 sedimentary rocks along the rift axis, the remaining marginal sedimentary rocks have relatively 236 low densities and are essentially non-magnetic, therefore negative gravity anomalies and 237 subdued magnetic signatures usually flank the strongly positive signatures (Allen et al., 1997; 238 Chandler et al., 1989; Elling et al., 2022). 239

Two competing mechanisms attempt to explain the formation of the MCR. Many igneous features of the MCR, such as melting of an upwelling enriched mantle and the emplacement of an enormous volume of relatively homogeneous magma within a restricted time interval, suggest that a hot plume was either responsible for the inception of the rifting (e.g., Nicholson et al., 1997; White, 1997; Vervoort et al., 2007) or influenced the location of the rifting during the period of continental extension that resulted from the Laurentia-Amazonia rifting to the southeast (Stein et al., 2014).

247 The competing hypothesis suggests that the dynamics of the Grenvillian Orogeny, with the Grenville Province accreted onto the southeastern border of the North American craton, 248 caused the growth of the MCR (Gordon & Hempton, 1986; Cambray & Fujita, 1991). In this 249 mechanism, the MCR evolved out of the strike-slip faults that formed in either the foreland and 250 hinterland of the Grenvillian orogenic zones. Supporters of this mechanism contest the notion of 251 a mantle plume by noting the observed lack of a large pre-volcanism uplift that would have 252 resulted from the thermal anomaly of a plume head rising beneath the mid-continental 253 lithosphere (Campbell, 2001). 254

255 Several lines of data support the magmatic influence of a mantle plume. Gravity data support the magmatic underplating of the lower crust of the MCR beneath Lake Superior 256 (Behrendt et al., 1988; Hutchinson et al., 1990; Thomas & Teskey, 1994), possibly facilitated by 257 an anomalously hot mantle plume (Hutchinson et al., 1990; Davis and Green, 1997). Isotopic 258 259 data from exposed mafic rocks within the MCR display Nd and Pb isotopic compositions that 260 indicate being derived from a homogeneous, isotopically enriched mantle plume (Nicholson & Shirey, 1990; Shirey et al., 1994; Nicholson et al., 1997). Miller & Nicholson (2013) proposed 261 that an anomalously hot mantle plume removed a portion the Proterozoic crust and built an 262 extensional rift zone with the emplacement of a large volume of mineral-rich volcanics. Van der 263 Pluijm & Marshak (2004) suggested that heat from the plume caused partial melting through 264 asthenospheric decompression, producing a great volume of hot, low-viscosity magma that led to 265 extensive thermal and igneous activity, one of the thickest sequences of igneous rocks in the 266 world. The complete rift contains an estimated 2.0×10^6 km³ of igneous material, mainly tholeiitic 267 flood basalts, with an equivalent amount of intrusive underplated material beneath the rift 268 (Ojakangas et al., 2001; Zhang et al., 2016; Stein et al., 2018). 269

Compilation of U-Pb zircon dating of volcanic igneous rocks from various areas along the MCRZ identified phases of magmatic evolution accompanied by two normal and two reverse magnetic polarities (Middleton et al., 2004). The MCR magmatism continued for 23 My, during the period from 1109 to 1086 Ma (Ojakangas et al., 2001). Miller and Nicholson (2013) detailed six stages of magmatism and tectonism during 1115-1040 Ma involving plume-induced magmatism, crustal underplating, thermal collapse of the plume, and a transition to compressional stresses across the rift system.

Following the cessation of volcanism, spreading ceased across the MCR and an ocean basin never formed. Eventually compression, likely related to the Grenvillian Orogeny, inverted the graben-bounding normal faults into reverse faults. This compression caused about 30 km of horizontal crustal shortening across the MCR (Cannon et al., 1991; Cannon, 1994).

281 Crustal thicknesses along the MCR varied both temporally and spatially. During the early doming period, Allen et al. (1995) estimated that the crust was locally thinned to roughly a third 282 of its original thickness, based on migrated seismic reflection profiles. Later volcanic episodes 283 thickened the rift by about 20 km, causing both the density and seismic velocity of the 284 285 underplated lower crust to increase in response to the mantle-derived intrusions and crustal 286 underplating (Stein et al., 2015). Eventually these sequences were followed by the deposition of about 10 km of post-volcanic clastic sedimentary strata (Dickas, 1986; Allen et al., 1995; Dickas 287 and Mudrey, 1997). The region has been minimally altered, with the exception of surface 288 geomorphism such as that resulting from glacial activities, over the past billion years. 289

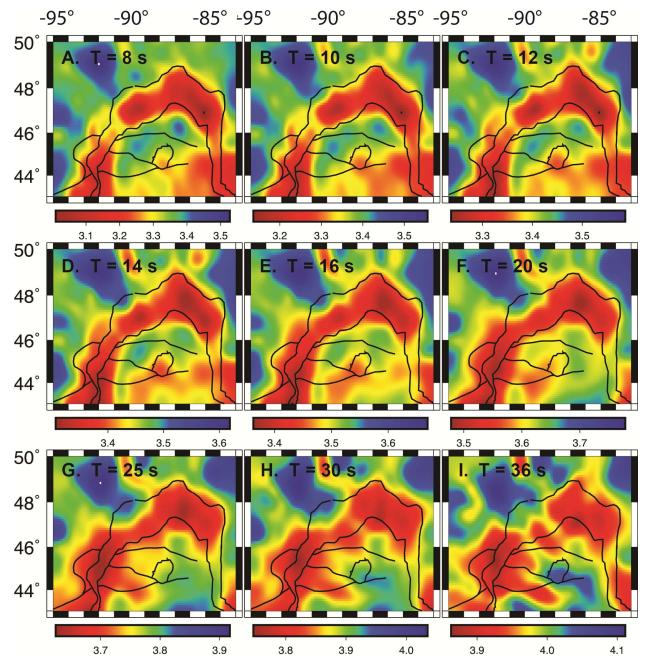
290 **3 Tomographic Inversion: Data and Methods**

291 3.1 Data

We use seismograms from two temporary deployments of seismometers forming two arrays across and around the MCR (Figure 1). The SPREE Flexible Array (FA, supported by the USArray Array Operations Facility) operated for more than two years between April, 2011, and October, 2013 (Van der Lee et al., 2011; Wolin et al., 2015; Ola et al., 2016; Bollman et al., 2019). The SPREE data set used here consists of 79 broadband seismic stations, with nominal interstation spacing of 30 km, in Minnesota, Wisconsin, and Ontario. The spatial distribution of stations was designed for detailed mapping of the MCR, so distances between stations across and along the rift were shortened. The stations across the MCR form two X-shaped arrays. Of the

300 SPREE stations used in our analyses, 26 are along the strike of the MCRZ, 20 are part of the

- 301 northern perpendicular line, 20 are part of the southern perpendicular line, and 13 were deployed
- in Ontario, Canada, north of Lake Superior within the Superior Craton (Figure 2).
- 303 The USArray Transportable Array (TA) (IRIS, 2003) was a temporary deployment of
- 304 seismometers with a nominal spacing of 70 km that traversed the contiguous United States
- during 2007-2015. TA stations provide data across a much wider region than the SPREE
- deployment, although at a wider spacing (Figure 1). We used 88 TA stations that were deployed
- during the time of the SPREE deployment and were within the general region of study.
- 308



310 **Figure 3.** Surface-wave phase velocity maps at periods of 8, 10, 12, 14, 16, 20, 25, 30, and 36 s

311 derived using ambient noise tomography. Black lines show the MCR and other tectonic features

as labeled in Figure 2. Note that the velocity scales change for different periods. The MCR

313 shows generally slower phase velocities than surrounding regions.

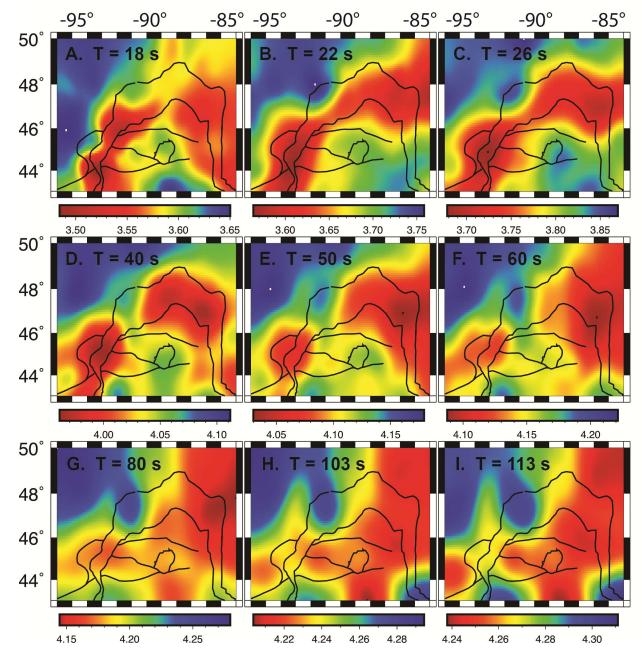


Figure 4. Surface-wave phase velocity maps at periods of 18, 22, 26, 40, 50, 60, 80, 103, and

317 113 s, derived using the ASWMS method for Rayleigh waves from teleseismic earthquakes.

- Black lines show the MCR and other tectonic features as labeled in Figure 2. Note that the
- velocity scales change for the different periods. Similar to Figure 3, the MCR shows generally
- slower phase velocities at shorter periods (up to T = 60 s), but not at longer periods.
- 321

322 3.2 Surface Wave Methods

Rayleigh wave dispersion was measured using two methods at different period ranges. For shorter periods, between 8 and 36 s, phase and group velocities (Bensen et al., 2007; Yang et al., 2007; Lin et al., 2008) were obtained using ambient noise techniques (phase velocities are shown in Figure 3), and for longer periods we obtained phase velocities using the Automated Surface-Wave Phase-Velocity Measuring System (ASWMS) (Jin and Gaherty, 2015). The locations of the earthquakes used for the interstation surface wave phase velocity measurements were shown as blue crosses in Figure 1.

The ambient noise method is, at this point, well established, using a cross-correlation of 330 331 long pre-processed time series to create empirical interstation Green's functions. We used time records from April, 2011, through October, 2013, which were initially broken into 1-day 332 333 segments. Earthquake signals and other known types of interference were removed by timedomain normalization, where the reciprocdal of the mean of the absolute value of the waveform 334 in a moving 80-s time window is used to weight the data value at the center of the window. 335 These weights are determines using a band from 15 s to 50 s, good for identifying earthquakes, 336 337 but are then applied to the unfiltered data, which are then bandpass filtered between 5 and 100 s. 338 Cross-correlation is then done separately between each pair of stations, and the Rayleigh wave phase velocity dispersion curves are obtained from the symmetric component of each interstation 339 cross-correlogram using an automated frequency-time analysis (Shen et a., 2013b; Benson et al., 340 341 2007).

ASWMS is a MATLAB-based package (Jin and Gaherty, 2015) that uses the generalized 342 seismological data functionals of Gee and Jordan (1992), which we used here to obtain phase 343 velocities between 18 and 113 s (Figure 4). We start with broad-band seismograms at one 344 stations containing all seismic phases that may be of interest and use a complementary 345 seismogram from a nearby stations, relative to which all of the phase delays and amplitude 346 347 anomalies are measured. Cross-corelations are carried out for all station pairs, with any biases between apparent phase velocity and structural phase velocity corrected by adding amplitude 348 349 measurements into an inversion using an approximation to the Helmholtz equation (Wielandt, 1993; Lin and Ritzwoller, 2011). 350

351

Path-dependent dispersion curves were used to provide regional resolution within the

tomographic inversion. We applied the tomography technique of Barmin et al. (2001) to obtain 352 tomographic maps of phase and group velocity dispersion for the MCR region. Ambient-noise 353 dispersion measurements from regional records yield the dispersion at periods of less than 20 354 seconds, which is usually difficult to obtain from either teleseismic or regional earthquakes. For 355 periods longer than 36 s, regional surface-wave tomography maps were obtained using 356 teleseismic earthquakes with the ASWMS Helmholtz tomography method (Jin & Gaherty, 357 2015). These methods use complementary data sets: the ASWMS method uses only records from 358 earthquakes and the ambient noise method uses the full seismic records minus the earthquake 359 signals. 360

Phase velocity values at periods in the range of 18 s to 36 s were determined using a 361 combination of both the ambient noise and ASWMS methods. For the overlapping range of 362 periods, we gave preference to the noise results at shorter periods and increasingly shift the 363 364 preference to the ASWMS values as the period increases. The Monte Carlo method we used requires uncertainty estimates. However, these are not produced by the ambient noise 365 366 tomographic technique, so we followed the method of Moschetti et al. (2010) and scaled the Rayleigh wave phase uncertainties by the relative errors in the interstation ambient noise 367 dispersion measurements. This resulted in an uncertainty of 0.0217 km/s for the ambient noise 368 results for all periods less than 24 s, which was 50% higher than the value used by Moschetti et 369 al. (2010). At a period of 24 s this scaling provided an uncertainty of 0.0145 km/s (Moschetti et 370 al., 2010), for periods of 24 - 36 s we scaled the uncertainty of 0.0145 according to the period, 371 and for periods above 36 s we chose an uncertainty that was 75% of the average of the reported 372 ASWMS standard deviations across all nodes. 373

At all periods, for both methods, surface wave phase velocities are generally slower 374 beneath the MCRZ than for regions away from the rift (Figures 3 and 4). These slow phase 375 velocities reflect syn-tectonic and post-tectonic sedimentary clastic rocks in the rift basin. The 376 seismic velocities within the shallow basins are so low that they dominate phase velocities even 377 at longer periods where sensitivities are greater for deeper structures that are anomalously fast. 378 379 Although inversions of phase and group velocities show that there are indeed depths where seismic velocities are anomalously fast, the phase velocities at periods most sensitive to them (~ 380 18 - 33 s) still show anomalously low values. 381

382 3.3 Receiver Functions

The drawback of surface wave dispersion measurements is their limited sensitivity to sharp velocity contrasts such as the Moho. To remedy this shortcoming, teleseismic Ps receiver functions (RF) from TA and SPREE stations were included in the inversion. The RF computations were performed following the approached described by Shen et al. (2013b,c), which used USArray TA data but not the SPREE data. The receiver functions calculated here used the same algorithms used by Shen et al. (2013b,c) but incorporating both the TA and SPREE data.

390 This method uses a harmonic-stripping process to reduce the azimuthal dependence of the receiver functions. A harmonic function, $H(\theta, t) = A_0(t) + A_1(t) \sin [\theta + \theta I(t)] + A_2(t) \sin [2\theta + \theta I(t)]$ 391 $\theta_2(t)$], is fit to the azimutally dependent raw receiver functions at each station and at each time t, 392 where A_0 is the azimuthally independent receiver function and A_i (with i = 1,2) are the amplitudes 393 of the harmonic components as a function of the azimuth θ . This procedures approximately 394 removes the azimuthal dependence from receiver functions to retrieve the azimuthally 395 396 independent receiver function A_0 . Dipping crustal interfaces and contamination from azimuthal anisotropy primarily produce signals with π and 2π periodicities (Shen et al. 2013b), so this 397 harmonic stripping method produces a reliable estimate of the receiver functions that would have 398 results from a horizontally layered and isotropic medium. Our method of generating receiver 399 functions, as with Shen et al. (2013b), uses only Ps converted waves, and we select all 400 teleseismic events with $m_b \ge 5.1$ and all stations within a $30^\circ - 90^\circ$ distance range. 401

The difference from Shen et al. (2013b) is that we have included all of the new data from 402 the SPREE seismic deployment of more than 80 stations. This data set is the same as the one 403 404 used by the receiver function study of Zhang et al. (2016), which did not incorporate surface waves. Zhang et al. (2016) also used Ps conversions, as opposed to some other studies such as 405 406 Chichester et al. (2018), which used Sp conversions, but processed the Pd data using a different 407 algorithm. Both our and the Zhang et al. (2016) studies use a time-domain iterative deconvolution method in the manner of Ligorria and Ammon (1999), but Zhang et al. (2016) 408 does not use a harmonic stripping method and uses an H- κ stacking method from Zhu and 409 Kanamori (2000) and a waveform fitting method of Van der Meide et al. (2003). Having the 410 same receiver function data set used and analyzed in different ways provides important scientific 411

reducdancy checks on the geophysical structures revealed, and the similarities and differencesbetween the results are discussion in Section 4.

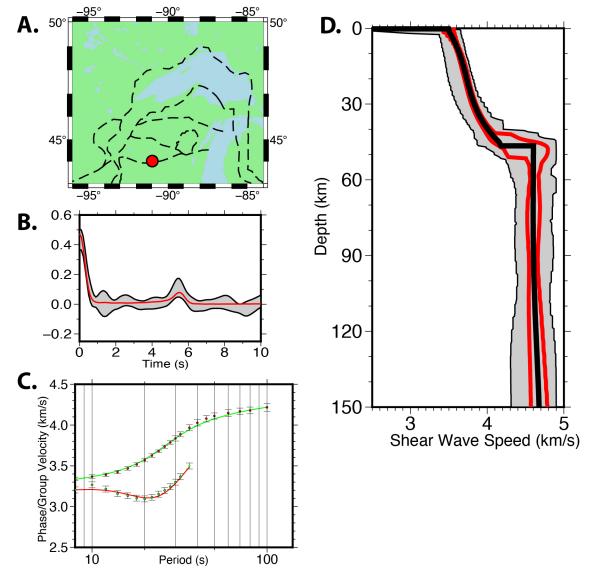
414 3.4

3.4 Bayesian Monte-Carlo Inversion Method

We carried out simultaneous joint inversions incorporating the three forms of data (phase 415 416 velocities, group velocities, and receiver functions) using a Bayesian Monte Carlo inversion method. Inversion of surface wave dispersion measurements for a 3-D shear-wave velocity 417 model was carried out in two stages. In the first, tomographic maps obtained by the imaging 418 methods were used to extract local phase and group velocity dispersion curves at grid nodes at 419 420 the locations of the TA and SPREE stations for which receiver functions were available. In the second stage, we used Monte Carlo methods to infer a 3-D shear-velocity model for the crust and 421 upper mantle structure beneath the MCR and surrounding terranes. 422

The inversion methods that we used are discussed in Shen et al. (2013a,b,c). For our 423 study, we used a total of 512 nodes, with 167 nodes at the locations of the 79 SPREE stations 424 and 88 TA stations. Group and phase velocities and receiver functions were calculated for each 425 of these nodes. In addition, 345 nodes were established along a rectilinear 23 x 15 grid spanning 426 latitudes of 43°N to 50°N and longitudes of 84.5°W to 95.5°W, with 0.5° increments. Group and 427 phase velocities were identified for each of these grid nodes from the regional tomographic 428 dispersion maps that we calculated using the Barmin et al. (2001) method. The subsequent 429 inversion for velocity structure incorporates the two different sets of nodes, with and without 430 receiver functions. The inversion is carried out independently for each node, with no lateral 431 regularization with surrounding nodes. Figure 5 illustrates the method at one node. 432

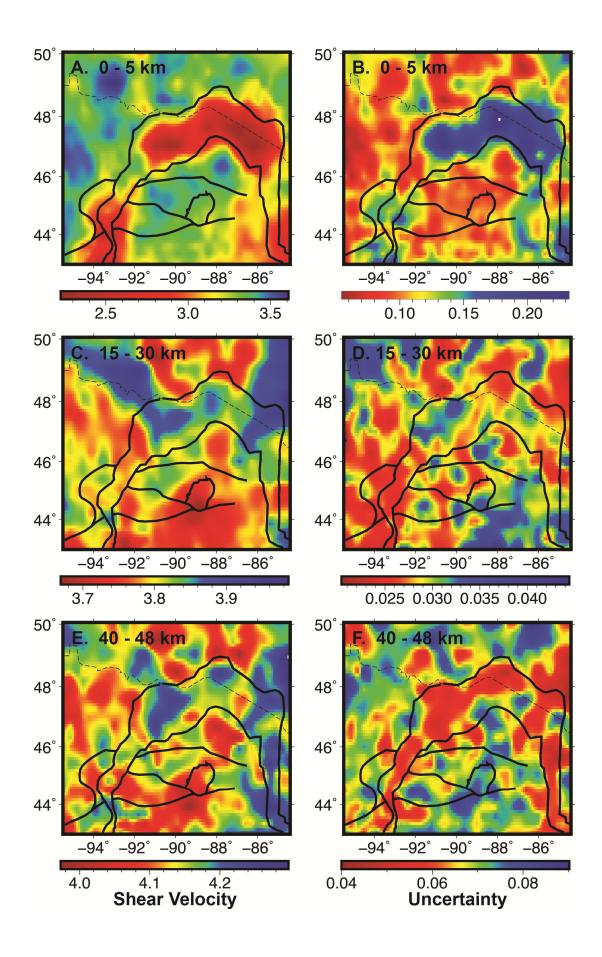
Once the one-dimensional velocity profiles are determined at all of the geographic nodes, the smothed velocity maps are generated using a simple kriging interpolation (Schultz et al., 1999; Shen et al, 2013a). For each depth, at each grid node, we search for stations within a 1degree radius. The averaged velocity value is determined with a weighting function where the value of each station within the 1-degree radius is weighed according to $[(1 + d_i)\sigma_i]^{-1}$, where d_i is the station-node distance (in degrees) and σ_i is the uncertainty of the model at that depth and station. The results are shown in the following section.



442 Figure 5. A demonstration of the method used for determining the 1-D vertical velocity structure for a given node. (a) The location of SPREE station SS88 (red circle); (b) The Ps 443 receiver function for that location, with the red line showing the best fit and the shaded gray 444 region showing the 1- σ rms uncertainties; (c) Rayleigh wave phase (green, top) and group (red, 445 bottom) dispersion curves for this node. Group velocities are from the ambient noise method. 446 447 Phase velocities are obtained through a combination of the ambient noise and ASWMS results using a weighting rubric described in Section 3.2; (d) The resulting vertical shear wave velocity 448 models, with the best model shown by the black line. The red lines show the $1-\sigma$ variations and 449 the gray shaded area shows the 2- σ variations of the Monte Carlo results. 450

452 **4 Results**

Figures 6 - 12 show the results of the tomographic inversion. Figure 6 shows shear 453 velocities and standard deviations of the posterior distribution at six depths (horizontal slices) 454 within the crust. Figure 7 shows the resulting crustal thicknesses, represented as Moho depths. 455 Enhanced views of regional variations in crustal thickness and shear velocity are shown for three 456 profiles in Figures 8-12. Figures 8-11 show two profiles, N-N' and S-S', that align with the 457 SPREE transects that cross the rift perpendicularly. The locations of these SPREE cross-rift 458 profiles are shown in Figure 6a. Figures 8 and 10 show the vertical crustal structures, which 459 delineate the sedimentary basin structures, for profiles N-N' and S-S', respectively. Figures 9 and 460 11 show vertical velocity structures extending down to mantle depths of 120 km, for profiles N-461 N' and S-S', respectively. Figure 12 is a four-panel spread showing the vertical velocity structure 462 along all four of the linear segments shown in Figure 6a. Figures 8-12 also include the Bouguer 463 gravity anomalies (Aldouri and Keller, 2011). In Figures 8-12, the red line indicates the Moho 464 depth obtained from the joint receiver function/surface wave inversion model and the gray 465 shaded areas at the top show the surface gravity anomaly (Aldouri and Keller, 2011). 466



- 469 Figure 6. Maps of the estimated shear velocity models and their uncertainties at three depths
- 470 within the crust and three depths within the mantle: (a, b) averaged over 0-5 km; (c, d) averaged
- 471 over mid-crustal depths (15-30 km); (e, f) averaged over lower crustal depths (40-48 km); (g, h)
- 472 70 km; (i, j) 90 km; and (l, m) 120 km. Panel 6a also shows the locations (N-N' and S-S') of the
- 473 two linear SPREE arrays perpendicular to the MCR, the "SN" and "SS" profiles, whose vertical
- velocity profiles are shown in Figures 8-11, as well as the locations of the four quasi-along-axis
- 475 profiles (BEG-M1-M2-M3-END) shown in Figure 12.

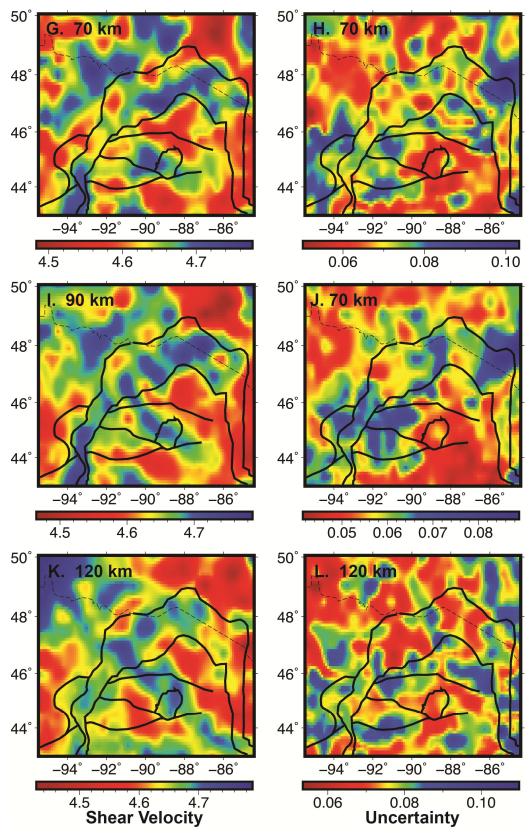
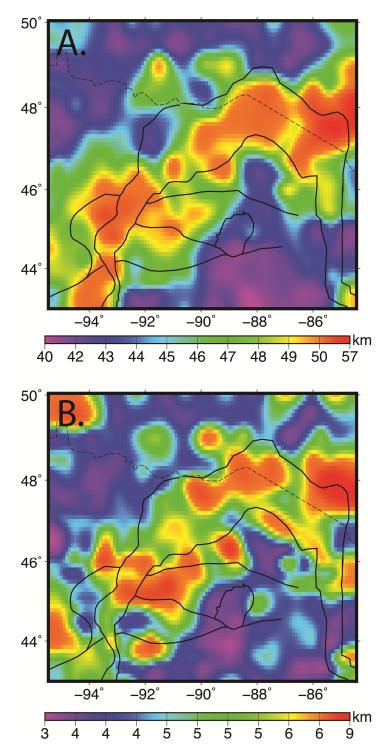


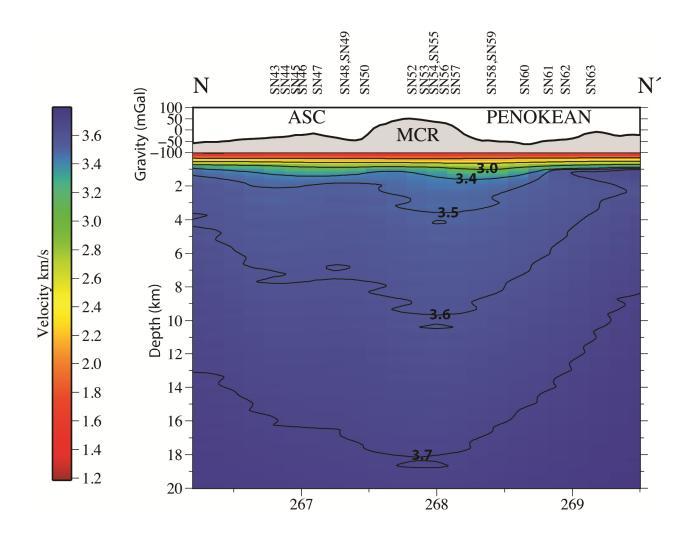


Figure 6. (Cont.)



480

Figure 7. (a) Map of crustal thickness (depth to Moho, in km) resulting from the joint inversion of receiver functions and Rayleigh wave dispersion curves across the grid of nodes. (b) Map of uncertainties, in km, of the crustal thickness. Crustal thicknesses are generally greatest along the MCR, typically reaching about 50 km. The highest values (upper right, NE of the MCR) also correspond to a region of the highest uncertainties and are not reliable.



487 Figure 8. Vertical shear-wave velocity profile to a depth of 20 km through the 3-D model along the northern SPREE N-N' transect shown in Figure 6a. The horizontal axis labels show the 488 longitudes across the profile; the total length is about 330 km. The top (grey) curve shows the 489 Bouguer gravity anomalies along the transect, in mgal (Aldouri and Keller, 2011). The location 490 of the MCR is delineated at the top, as well as the locations of the Archean Superior Craton 491 (ASC) and the Penokean orogenic rocks. Locations of the SPREE seismic stations are shown 492 along the top. The shallow low-velocity layer at the top of the profile is interpreted as the 493 remnant of the sedimentary and volcaniclastic rocks filling the MCR. 494

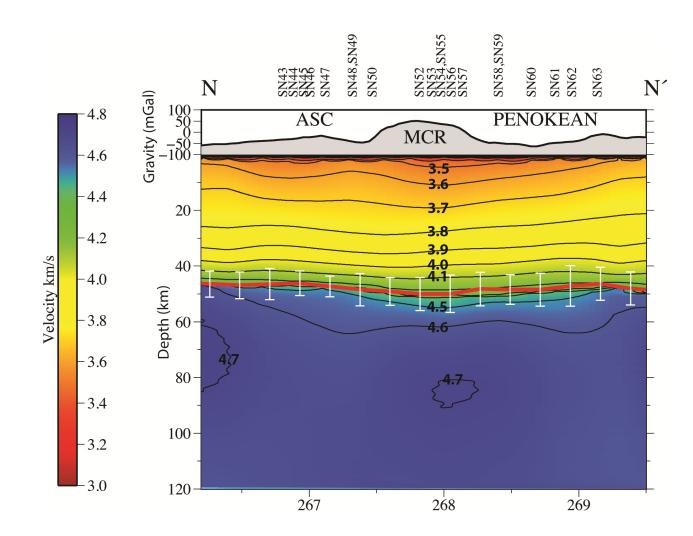


Figure 9. Similar to Figure 8, but extending to a depth of 120 km. The yellow line is the smoothed Moho depth, with the uncertainties from the inversion shown as vertical white lines. The top (grey) curve shows the Bouguer gravity anomalies along the transect, in mgal (Aldouri and Keller, 2011). The layer at the base of the crust with velocities between 4.1 and 4.6 km/s, interpreted as an underplated layer, is thickest beneath the MCR and narrows away from the rift.

504

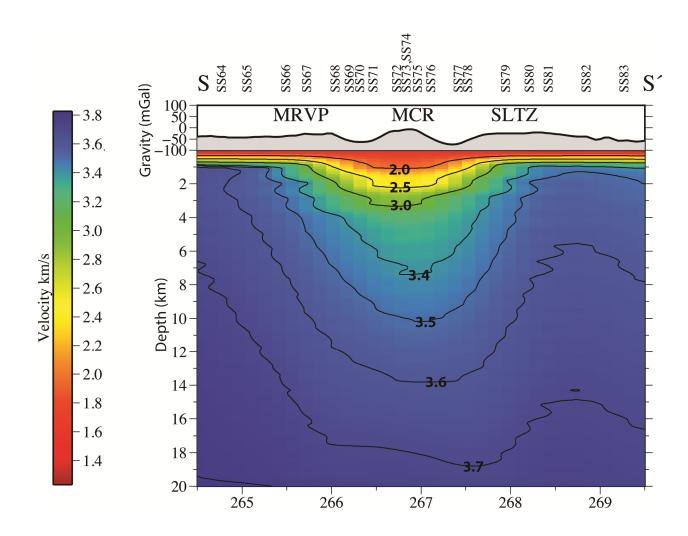


Figure 10. Vertical shear-wave velocity profile to a depth of 20 km, similar to Figure 8, but along the southern SPREE S-S' transect. The total horizontal length covered is 400 km. The top (grey) curve shows the Bouguer gravity anomalies along the transect, in mgal (Aldouri and Keller, 2011). Also shown are the locations of the MCR and two adjacent tectonic features, the Archean Minnesota River Valley Province (MRVP) and the Spirit Lake Tectonic Zone (SLTZ).

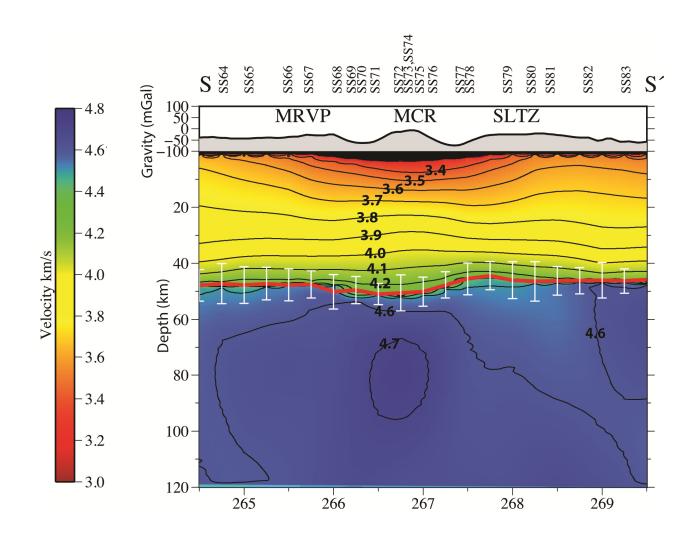


Figure 11. Similar to Figure 10, but now extending to 120 km in depth.

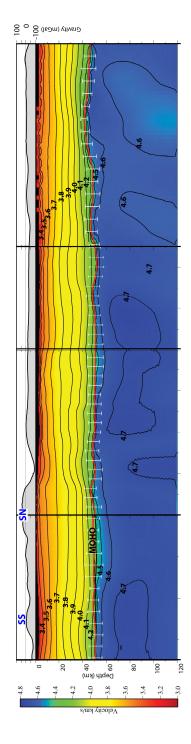


Figure 12. Similar to Figures 9 and 11, but along four transects that approximately parallel the rift (Figure 6a). Distances along the four linear profiles are 197 km, 231 km, 136 km, and 277 km, for a total length of 841 km. The locations where the southern ("SS") and northern ("SN") SPREE transects cross the profiles are labeled at the top.

523 4.1 Moho Depth

A smoothed map of Moho depths in Figure 7a shows variations in the crustal thickness of 524 the MCR and surrounding regions, with uncertainties shown in Figure 7b. The inversion 525 constrains the seismic velocities to vary continuously with depth. There is one exception to this, 526 and that is in the case of the Moho. For one depth within the inversion, the seismic velocities are 527 allowed to change discontinuously by any amount. The depth of the Moho discontinuity is one of 528 the free parameters of the inversion, and so it can vary from location to location in order to best 529 530 satisfy the combined RF and surface wave data. The uncertainties at each location are one standard deviation of the range in Moho depths for the 442 separate models retrieved from the 531 Monte Carlo forward modeling. We found crustal thicknesses for the study region that ranged 532 between 40 and 55 km, with the thickest along the MCR axis. This result agrees with the results 533 of Shen et al (2013c) and Zhang et al. (2016) and with models of the history and evolution of the 534 535 MCR characterized by early volcanism and subsequent massive sedimentation that built a thick crust beneath the rift valley. 536

Generally, the map shows two connected areas of significantly thicker crust along the 537 538 MCR. These anomalies, trending roughly SW - NE, separate two regions of relatively average crustal thickness. The average-thickness regions, part of the Archean craton and bounding 539 Paleoproterozoic orogenic belts, are located southeast and northwest of the rift and have a crustal 540 thickness of about 40 km. The anomalously thick crustal regions are within the rift valley. In the 541 Michigan Basin (the southeast part of the sampled region) crustal thicknesses were 39 - 43 km, 542 with uncertainties of ± 3 to ± 6 km. North and northwest of the MCR, the crustal thickness has 543 greater spatial variations ranging between 39 and 50 km, with uncertainties between ± 5 and ± 6.5 544 545 km.

Crustal thicknesses along the MCR are significantly greater than for surrounding regions, ranging in value from 50 - 55 km. Moho topography along the MCR varies spatially, with uncertainties between ± 7 and ± 10 km (Figure 7b). Variability in the MCR crustal thickness likely reflects the complexity of the rift evolution stages (Stein et al., 2015). Zhang et al. (2016) showed that both the bottom and top of the underplated layer can generate P-to-s conversions with variable observability, depending on the boundaries' topography and the back-azimuths of the teleseismic waves. It is possible that both boundaries were alternatingly selected by the 553 MCMC algorithm as representing the Moho. The high uncertainties in Moho depths beneath the

554 MCR are consistent with structural uncertainties about the crust-mantle transition. The deepest

555 Moho in our inversion, at a value of 55 km, is identified in the northeast of the sampled region.

556 Another region of anomalously thick crust away from the MCR is at the southeastern corner of

the sampled region. These values are not as well constrained, as they are at the edge of the study area and we have no receiver function data available for them.

559 Our inversion assumes a single "Moho" discontinuity. As discussed later, this may not be 560 entirely appropriate where a thick layer of underplated intrusive volcanic material may have 561 compositions and seismic velocities intermediate between the pre-rift crust and the mantle.

- 562 4.2 Shear Wave Velocity Structure from Joint Inversion
- 563

4.2.1 Velocities by Depth

In general, the images of the shear-velocity variations and their associated uncertainties in map views (Figure 6) and cross sections (Figures 8-12) show significant velocity variations that correlate with the location of the MCR.

In the shallow crust, between the surface and 5 km depth (Figure 6a), low velocities are associated with the sediments that overlie the volcanic rocks of the MCR. These velocities are variable along the rift axis, being observably slower in the northern part of the study (along M1-M2-M3) and in the south where profile S-S' crosses the MCR. However, this is less evident where profile N-N' crosses the MCR, which is consistent with along-rift variability in sedimentary layer thicknesses (Zhang et al., 2016).

Throughout the crust (Figures 6c and 6e) there are significant velocity variations. There is 573 a tendency for faster velocities to be found within the along-axis profile, but it is very weak and 574 not at all present along the N-N' profile. Within the upper mantle, however, for all three maps 575 (Figures 6g, 6i, and 6k) the MCR shows generally faster velocities for the BEG-M1-M2 576 segments (though not the eastern M2-M3 segment). The upper mantle velocities along the MCR 577 are typically about 4.7 km/s, noticeably higher than background velocities, which are typically 578 around 4.6 km/s. It is important to note that the MCR is not the only source of anomalous 579 580 structure. At deeper mantle depths (70, 90, and 120 km) there appears to be a NW-SE-trending

arm of anomalously fast velocities that cuts down across the Pembine-Wausau terrane, roughlyperpendicular to the MCR.

583

4.2.2 Velocities Across the Rift Axis

The velocity structures of the sedimentary basins for the northern (Figure 8) and southern 584 585 (Figure 10) transects are significantly different, in agreement with Zhang et al. (2016). In the northern transect (Figure 8), the sedimentary basin is only a little more than 1 km thick beneath 586 the rift axis (in Zhang et al. [2016], the discontinuity for the bottom of the sub-rift sediment layer 587 is at a depth of 1.5 km), and the thickest sediments occur at the edges of the central rift region. 588 589 Evidence of a horst structure here due to post-rifting compression has been previously documented (Cannon et al., 1991; Cannon, 1994), and much of the central basin sediments have 590 591 been uplifted along reverse faults and subsequently eroded. Along the southern transect, however (Figure 10), there appears to have been less uplift of the horst structure and the deepest 592 sediments (>3 km) are found along the rift axis. This agrees with Zhang et al. (2016), who found 593 the sub-rift discontinuity at the bottom of the sedimentary layer to be 3 km deep. Surface 594 sedimentary basins in the region of study, such as the Michigan Basin at the southeast corner of 595 the study area, show low seismic shear velocities. 596

Beneath the sedimentary layer, the two rift transects show slightly different patterns. In 597 the northern transect (Figure 9), crustal velocities beneath the rift are mostly slightly lower than 598 the surrounding regions, with isovels sloping upward in the direction away from the rift both 599 eastward and westward. Shear velocities have largely leveled off by a depth of about 40 km, 600 corresponding to the 4.0 km/s isovel. However, below the inversion-determined Moho 601 discontinuity there again are slow velocities beneath the rift, with a thick layer of intermediate-602 to-fast shear velocities (up to 4.6 km/s) extending to about 65 km at its deepest (across much of 603 the extended rift region). This layer of intermediate velocities, faster than the other lower crustal 604 velocities but slower than the average upper mantle velocities for this region, pinches out entirely 605 606 at the west end of the profile and nearly entirely at the east end of the profile. Directly beneath the rift axis, at a depth of about 80-95 km, a velocity reversal is found with velocities slightly 607 faster (>4.7 km/s) than those above or below. Nowhere within the crust beneath the rift axis are 608 fast velocities identified. 609

The situation is similar but slightly different along the southern east-west transect across 610 the MCR (Figure 11). There is a more pronounced low-velocity sedimentary basin beneath the 611 MCR axis. The isovels continue to dip toward the rift axis down to a depth of about 18 km, but 612 then the trend reverses. From a depth of 18 km to about 40 km velocities are faster directly 613 beneath the rift than for the surrounding two sides; the isovels slope upward toward the rift axis. 614 Along the west side of the profile, velocities increase away from the rift axis at depths of 18 - 30 615 km (the isovels slope upward toward the west). However, this feature ends at a depth of 30 km, 616 and from 30-40 km the fastest velocities are beneath the center of the rift. As with the northern 617 profile, this region is underlain by slower velocities, from 40 km to almost 55 km in depth. These 618 slower velocities beneath the rift axis (compared to the east and west extensions) occurs for a 619 slightly different range of velocities: 4.1 - 4.5 km/s. As with the northern transect, this sub-rift 620 layer of intermediate velocities is underlain by a region of fast (>4.7 km/s) velocities. The fast 621 velocities start at a shallower depth (~55 km), peak at about 80 km, and extend over a greater 622 depth range, with sub-rift velocities still faster than regions to the east and west to at least 120 623 km in depth. 624

625

4.2.3 Velocities Along the Rift Axis

Figure 12 depicts a vertical profile along the rift of shear velocities in the crust and upper 626 mantle, similar to the cross-rift profiles in Figures 8 and 10. Figure 6a shows the locations of the 627 four panels. The inversion generally aligns the Moho with the 4.4 km/s isovel, although the 628 Moho aligns with shear velocities as low as 4.2 km/s (just south of the M1 marker, which 629 represents the intersection with the northern east-west transect shown in Figure 10) and as high 630 as 4.6 km/s (in the middle of the M1-M2 segment). This is appropriate in light of previous 631 studies (Shen and Ritzwoller, 2016; Chichester et al., 2018) that found the normal Vs of the 632 uppermost mantle in the MCR region to be between 4.4 and 4.5 km/s. The inversion-generated 633 Moho depth along the rift axis is around 50 km for most of the profile (the three western 634 635 segments), but shallows in the eastern segment (M3-END) to a minimum of 40 km.

Velocities are relatively constant along the MCR axis for the western three segments (BEG-M1-M2-M3), but Figure 12 shows some variations. The 4.0 km/s isovel is generally at a depth of about 40 km. However, between the M1 and M2 markers (northeast of the intersection of the N-N' transect with the MCR) there is a region of anomalously low gravity that 640 corresponds to lower upper-crustal velocities, increased lower-crustal velocities, and increased

⁶⁴¹ upper mantle velocities. There is significant variability in the depth of the 4.6 km/s isovel, the

thickness between the 4.2 and 4.6 km/s isovels, and the distance between the Moho and 4.6 km/s

643 isovel. As discussed next, this may indicate variations in the thickness of a layer of underplated

644 intrusive igneous material.

The eastern arm of the MCR, represented by the M3-END panel, is significantly different from the rest of the MCR axis and more resembles non-MCR regional velocities. Gravity anomalies are close to zero, the Moho depth is close to a typical continental value of 40 km, and the upper mantle velocities are close to a typical stable-continental upper mantle value of 4.6 km/s. The latter is in contrast with the upper mantle velocities in the western three panels, which are closer to a speed of 4.7 km/s.

651 **5 Discussion**

652

5.1 Prevailing Models of the MCR

For more than thirty years, the predominant model for the MCR has been a thickened 653 crust containing a few kilometers of surface sediments, a thick upper crust containing a mix of 654 original continental materials and rift-fill mafic volcanics, and, beneath Lake Superior, an 655 656 underplated lower crust extending to depths of 50-60 km (Hutchinson et al., 1990). These structures appear to be the result of continental rifting (the 1.1 Ga Keweenawan rifting event) 657 coincident with a large volume of syntectonic volcanic activity, followed by compressional 658 faulting causing the uplift of a central horst along reverse faults and subsequent erosion of the 659 exposed basin sediments. 660

One of the first seismic lines across the MCR was the 1986 deep crustal marine 661 multichannel seismic reflection survey collected as part of the Great Lakes International 662 Multidisciplinary Program on Crustal Evolution (GLIMPCE). Seismic analyses identified 2 km 663 of sediments sitting atop a >30-km-thick segment of volcanics (Vp 5.2-7.2 km/s) underlain by up 664 to 20 km of underplated lower crust (Vp 7.0-7.3 km/s) down to a maximum sub-axis depth of 55 665 km (Shay and Trehu, 1993; Hamilton and Mereu, 1993). Combining the seismic analyses with 666 gravity data (Klasner et al., 1979; Thomas and Teskey, 1994) and petrological analyses of 667 exposed Keweenawan volcanics (Halls, 1969; Lippus, 1988), as well as the incorporation of 668

669 geophysical interpretations of other MCR seismic lines (Chandler et al., 1989) provided the basis

670 for models involving a large volume (up to 1.3 million km³) of hot spot-derived volcanic rift in-

fill as well as a dense (3.08 g/cm³) layer of underplated lowermost crust (Hutchinson et al.,

672 1990).

To a very large degree, our inversion results support this model. Most previous studies 673 were done with high-frequency local P waves, which have strong resolution for multiple 674 reflectors but limited depth penetration. Modeling surface waves for the shear velocity structure, 675 676 even in the same location, would be expected to resolve different features both because of the difference in frequencies and the different response to longitudinal and shear waves. For 677 example, mafic rift volcanics tend to have high Vp/Vs ratios (Thybo and Artemieva, 2013), so 678 crustal volcanics that appear as fast anomalies with P waves may not be observable with S waves 679 (Schulte-Pelkum et al., 2017). Surface wave studies using EarthScope TA data have not 680 681 generally found evidence of faster crustal shear velocities within the MCR footprint. Shen and Ritzwoller (2016) found no significant evidence of fast crustal shear velocities and Schmandt et 682 683 al. (2015) actually found generally lowered crustal shear velocities, which could result from the presence of high-density volcanic layers with otherwise typical shear moduli, or could possibly 684 also be a result of anisotropic anomalies within the crust. Our results support slightly elevated 685 crustal velocities beneath the rift, with no evidence of slow velocities. The lack of mid- and 686 upper-crustal seismic anomalies may be expected based upon the magmatic differentiation 687 process, whereby intruded volcanics may become increasingly felsic as they rise through the 688 crust and generate differential crustal melting (Miller and Nicholson, 2013). Past observations of 689 velocities along the MCR axis vary significantly, which may be expected based on an episodic 690 history of along-rift volcanic events including intruded batholiths and surrounding sill structures 691 (Thybo and Artemieva, 2013). Our results agree with this. 692

693

5.2 Observations of and Explanations for Crustal Underplating

One of the most noticeable variations we observe is in the thickness of the layer that spans seismic velocities from 4.1 to 4.6 km/s, which may be associated with a layer of underplating (Thybo and Artemieva, 2013). This is especially visible in Figure 12, where the distance between the 4.1 and 4.6 km/s isovels varies in thickness from less than 10 km to more than 20 km. Figure 12 also shows that although the Moho depth, which is a free parameter 699 separate from the velocities within the inversion (where the velocity is allowed to increase discontinuously), generally aligns with the 4.4-4.5 km isovels, its location varies between 4.2 and 700 701 4.6 km/s in order to best model the RF and surface wave data. In areas without the history of a magmatic event there is generally a large jump in seismic velocities across a relatively narrow 702 Moho depth range, from lower crust values of Vp < 7.0 km/s and Vs < 4.0 km/s (Holbrook et al., 703 1992; Rudnick and Fountain, 1995) to typical upper mantle velocities of Vp \cong 8.0 km/s and Vs 704 \cong 4.6 km/s. However, this is not the case for areas that have undergone magmatic underplating, 705 consistent with our results. 706

Various tectonic and igneous processes may lead to underplating within the continental 707 708 lithosphere (White and McKenzie, 1989; Thybo and Artemieva, 2013), which can theoretically take the form of magma ponding at the base of the continental crust but more commonly consists 709 710 of the emplacement of igneous sills and batholiths within the lowermost crust and/or uppermost mantle (Thybo and Artemieva, 2013). The emplaced lower crustal mafic rocks have anomalously 711 712 high densities, in part because of the fractionation of lighter materials that leave the lower crust and migrate to the upper crust. The depleted lower crustal intruded mafic material is typically 713 identified by higher densities, higher P- and S-wave velocities, and a high Vp/Vs ratio, when 714 compared to ultramafic rocks (Christensen, 1996). However, fewer Vs measurements of 715 underplated regions are available compared to Vp data, so the available Vp/Vs data set is very 716 limited. 717

However, an interpretation based on these characteristics is not unique because of the 718 possible presence of lower crustal granulite rocks that that have been metamorphosed into phases 719 such as eclogite or serpentinized peridotite (Thybo and Artemieva, 2013). There is inherent 720 721 uncertainty as to the process of emplacing an underplated layer in a continental rift. A common 722 hypothesis is that magma intrudes into the lower crust, increasing the crustal velocities. The mass of the extrusive volcanics that fill the rift depresses the crust, lowering the Moho. The 723 underplating could also occur through infiltration of the upper mantle, decreasing uppermost 724 725 mantle velocities. In this case, what is considered to be lower crust now extends into the top part of the former uppermost mantle and the new Moho is the boundary between the undisturbed and 726 volcanically infiltrated mantle. As a result of underplating, the seismic Moho may no longer 727 728 represent a compositional boundary at the base of a primitive continental crust but rather a

seismic and density boundary between rocks of differing metamorphic states (Mengel and Kern,1992).

The seismic velocities of underplated layers can be variable but are typically in the 7-8 731 732 km/s range for P velocities and 4-4.6 km/s range for S velocities, leading them to be called the 7.X or 4.X layers (Schulte-Pelkum et al., 2017). It is instructional to compare the depth and 733 thickness of the MCR underplated layer to those layers found in other parts of the world, in both 734 rifted and non-rifted tectonic settings. Underplated layers are usual for both areas of hot spot 735 736 volcanism (Olugboji and Park, 2016) and continental rifting, with the thickness of the layer generally much greater for less-stretched rifting than more-stretched areas (Collier et al., 1994). 737 Underplated layers at the base of the crust (or top of the upper mantle) have been identified 738 beneath the Hartford Basin (up to Vs 4.5 km/s, up to 15 km in thickness; Gao et al., 2020) Baltic 739 Shield (Vp 7.2-7.5 km/s, depth from 35 to 55 km; Korsman et al., 1999), Hatton Bank in the NE 740 741 Atlantic (Vp 7.3 km/s; Fowler et al., 1989), Ukrainian Shield (Vp 7.6 km/s, 10 km thick; Thybo et al., 2003), Oslo Graben (Vp 7.3-7.4 km/s; Thybo and Artemieva, 2013), Medicine Hat block 742 743 (Vp 7.5-7.9 km/s; Clowes et al., 2002), North China Craton (Vs 3.8-4.2 km/s, Vp/Vs > 1.78;Tian and He, 2021), and Laccadive Island (from the Reunion Hotspot) (Vs 4.25-4.4 km/s and 8 744 km thick, where the uppermost mantle is 4.6 km/s; Gupta et al., 2010). However, it is also 745 important to note that evidence of underplating is not always seen in analyses of Moho velocity 746 747 structure for rifted regions, such as for the continental rifting beneath the South Georgia basin (Marzen et al., 2019). 748

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5.3 Crustal Underplating Beneath the MCR

For the MCR, the combination of seismic and gravity anomalies of Lake Superior has 750 751 been interpreted as underplating in the lower crust up to 15 km thick (Behrendt et al., 1990; Hinze et al., 1992; Shay and Trehu, 1993; Hamilton and Mereu, 1993; Hutchinson et al., 1990, 752 1992; Zhang et al., 2016). Behrendt et al. (1990) and Hutchinson et al. (1992) proposed that the 753 754 high-velocity material that makes up the present-day lower crust was probably originally mantle material that now appears to have crustal properties because of the large volume of intruded 755 gabbroic rocks. Although there are often multiple seismic reflectors within the underplated layer, 756 757 its upper and lower boundaries usually display distinct seismic reflectors that are seen as both normal-incidence and wide-angle reflections (Thybo and Artemieva, 2013). 758

759 The shear velocities from our surface wave inversion provide a new form of support for the idea of sub-crustal underplating, given the higher spatial resolution provided by the co-760 deployment of SPREE and TA stations. Previous shear velocity studies of the Midwest U.S. did 761 not identify such a layer. Neither the iterative surface wave and multimode receiver function 762 shear velocity model of Schmandt et al. (2015) nor the joint surface wave / receiver function 763 inversion model of Shen and Ritzwoller (2016) show evidence of fast lowermost crustal 764 velocities. For both of these long-wavelength models, the lowermost crust has Vs < 4.2 km/s, 765 and in both models the MCR does not show anomalous lower crustal shear velocities. 766

Neither Schmandt et al. (2015) nor Shen and Ritzwoller (2016) found anomalous upper 767 mantle velocities beneath the MCR. In Shen and Ritzwoller (2016), average uppermost mantle 768 velocities (taken as the average over the top 8 km of the mantle beneath the Moho) under eastern 769 770 Iowa and the central Dakotas reach as high as 4.6 km/s, but in the footprint of the MCR across Minnesota, Wisconsin, and Iowa, the averaged uppermost mantle velocities are between 4.4 -771 4.5 km/s. We use the same inversion process and also observe that the uppermost mantle 772 velocities (in relation to the inverted Moho depth) are generally 4.4 - 4.5 km/s. However, this 773 may be an artifact of the inversion allowing only one large discontinuous increase in the region 774 of the Moho. It is possible that there are essentially two Moho-like boundaries, at the top and 775 bottom of the underplated layer. 776

777

5.4 Moho Structure Across the Northern Transect

Two recent studies focusing on the SPREE receiver functions found evidence for a 778 double-Moho: Zhang et al. (2016) (which we will refer to Z16) and Chichester et al. (2018) 779 (here referred to as C18). Both found multiple discontinuities at depths that varied along the 780 SPREE profiles. Along the northern cross-rift profile (SN), Z16's analysis of P-to-S (Ps) 781 conversions found a strong single Moho discontinuity away from the MCR at a depth of about 40 782 km. However, as this interface approached the MCR it deepened, weakened in resolution, and 783 split into two, with one set of positive (increasing velocity) discontinuities continuing to a depth 784 of about 55 km and another set of positive discontinuities emerging at a depth of around 37 km. 785 They interpreted this 18-km thick zone between the two discontinuities as the underplated zone 786 of intruded volcanics. 787

Also along the northern SPREE transect, C18, who used S-to-P (Sp) conversions, found no substantial evidence of topography on the Moho as it crossed the rift, varying only slightly between 34 and 38 km in depth. However, they observed a weakening of the conversion beneath the rift compared to the two flanks. The complete Sp data set did not show a deeper positive discontinuity, but when only phases from earthquakes in the 55-60° distance range were used, a second positive discontinuity was observed at a depth of 61 km, analogous to the 55-km Ps discontinuity observed by Z16.

Given the nature of our inversion, our results are in general agreement with both of these studies. We allow for only one Moho discontinuity, and in the northern transect, our inversion locates this positive discontinuity at a depth of 50 km, halfway between the two positive discontinuities found by Z16 and C18 at about 40 km and 60 km depth. Shen et al. (2013c), which used a similar inversion to ours but without the SPREE data, also found a Moho depth of 50 km where our northern transect crosses the MCR.

Adding surface waves to receiver functions provides the ability to invert for the actual 801 vertical velocity structures as well as discontinuity locations. We find evidence for the 802 underplated layer not in the location of the Moho but rather in the change in the vertical spread 803 of shear velocities across the profiles. Shear velocities generally vary by a small amount across 804 most of the vertical extent of the crust, increasing by only 0.5 km/s (from 3.5 - 4.0 km/s) over a 805 806 vertical range of 35 km (from 5 - 40 km depth). Away from the rift, there is a sudden increase across the Moho to the 4.6 km/s velocities at the top of the mantle. However, this jump vertically 807 808 broadens beneath the rift. For example, at the left side of Figure 9 the 4.2 and 4.6 km/s isovels 809 nearly merge, but the distance between them increases to ~15 km beneath the MCR. If the 4.0 810 km/s and 4.6 km/s isovels represent the top and bottom of an underplated zone (Holbrook et al., 1992; Schulte-Pelkum et al., 2017), then our velocities suggest an underplate about 20 km thick 811 (4.0 km/s at a depth of 40 km and 4.6 km/s at a depth of 60 km). Profile A-A' of Shen et al. 812 (2013c) is not at the exact same location as the northern SPREE transect (slightly to the south 813 and with a more W-E trend), but also shows shear velocity evidence of an underplated layer 814 about 20 km thick (40 km - 60 km in depth) under the MCR. 815

5.5 Moho Structure Across the Southern Transect

The southern W-E SPREE transect crosses the MCR in a location with different 817 characteristics than the northern transect. The northern transect crosses the MCR at a location 818 819 with a relative gravity high (+50 mgal, Figure 9). However, the gravity anomaly where the southern SPREE transect crosses the MCR, although still slightly higher than the adjacent areas, 820 is only at 0 mgal (Figure 11), largely reflecting the thicker surface sedimentary layer. The overall 821 velocity structure along the southern transect is also generally different than the northern 822 823 transect: velocities in the mid-crust are faster, and velocities at the top of the mantle are slower. Accordingly, the 4.5 km/s isovel may be a better indicator of the bottom of an underplate. Figure 824 11 shows that the vertical distance between the 4.0 and 4.5 km/s isovels increases from about 10 825 km at the west and east ends of the profile to about 20 km beneath the MCR, extending to a 826 depth of ~55 km, suggesting a thinner underplated layer than along the northern transect and one 827 828 that is slightly elevated.

829 This is still in general agreement with Z16 and C18. For the southern SPREE transect, Z16 finds a slightly more complex set of lower crustal discontinuities, but in general there is 830 831 again a strong positive Ps discontinuity at a depth of 43 ± 7 km away from the rift that shallows to ~35 km beneath the rift, with an additional positive Ps discontinuity that drops to ~60 km 832 depth at the center of the MCR. C18 finds an Sp discontinuity beneath the rift at 35-37 km depth, 833 in agreement with Z16 and our shallower 4.0 km/s isovel. However, unlike for Z16, C18 does 834 not see a deeper ~60-km-deep discontinuity using Sp data, perhaps reflecting the more complex 835 836 deep structures that we also identify beneath the southern transect.

837

5.6 Moho Structure Along the Rift Axis

Along the rift axis, Figure 7 of Z16 shows the receiver function discontinuities for 838 stations SM17-SM42 and evidence for a double-discontinuity underplated layer along the full 839 length. The discontinuities shallow toward the north but the distance between them stays about 840 841 10-20 km in thickness. The Z16 discontinuities are deepest for the rift stations near where the southern transect crosses the rift (between stations SM39 and SM40), between about 45 and 65 842 km depth. The thickness of the proposed underplated layer is still about 20 km in the region 843 surrounding where the northern transect crosses the rift (between stations SM21 and SM22), but 844 the range is now from ~33 to 50 km in depth. At the far north end of the rift, as covered by the 845

SPREE stations, approaching station SM17 the underplated layer is both narrowest and
shallowest. Our results generally agree with these values.

The four linear profiles in our Figure 12 do not exactly align with the rift and are 848 therefore not directly comparable to Figure 7 of Z16. These profiles cross the southern SPREE 849 transect at the "SS" label and cross the northern SPREE transect at the "SN" label (also the M1 850 location). The variations in isovel location are partly a function of distance from the MCR, so 851 direct determination of along-rift variations is not possible. Along the quasi-rift-parallel profiles 852 853 there is a significant low-velocity layer of a few kilometers at the surface, representing the riftfill sediments. These range from about 1.5 km in thickness (in the transect crossing at SN) to 854 more than 3 km (in the transect crossing at SS), which may result from a combination of rifting 855 history and post-rifting compressional uplift. There is a general tendency for the crustal and 856 upper mantle isovels to shallow toward the north of the profiles (from BEG to M3), and then 857 858 again going south down through the eastern arm of the MCR (from M3 to END).

5.7 Moho Structure Away From the Rift

Away from the rift, we observe a trend of crustal thinning toward the southeast and northwest, moving away from the rift. This supports the observations of Shen at al. (2013c) and proposals by multiple studies (e.g., Stein et al., 2011, 2016, 2018; Zhang et al., 2016) that the MCR represents a roughly >100-km-wide zone of crustal thickening by some combination of magmatic underplating in either (or both) of the lowermost crust or uppermost mantle during the magmatic phase and the depression of the crust by a combination of extrusive volcanic infill of the rift and reverse faulting during a later episode of compression and crustal shortening.

867 7 Conclusions

Analysis combining receiver function and surface wave dispersion data in the region of the U.S. Midcontinent Rift (MCR) resolves velocities that help provide a basis for the interpretation of the crustal and upper mantle structure along and near the MCR. In general, seismic velocities in the upper crust are slower beneath the MCR than away from it. Below the mid-crust (15-30 km depth) velocities in the mid-crust are generally faster beneath the MCR than in the areas surrounding it. The velocities in the lowermost crust are also generally faster beneath the MCR, opposite of what would be expected if the only structural anomaly deep beneath the

MCR was a deepening of the crust. We find a deepening of the Moho beneath the MCR to 875 depths of at least 50 km, from a regional average of about 40 km. Hence we might expect crustal 876 velocities along the rift to be lower than the upper mantle velocities at this depth away from the 877 rift. However, we instead find a thick layer, from about 40-60 km in depth, of transitional 878 velocities between 4.1 - 4.6 km/s, a velocity range expected for underplated mafic volcanic 879 material with velocities intermediate between typical lower crust and upper mantle material. 880 These results are consistent with, but provide much greater spatial coverage and velocity 881 resolution, than earlier studies of the MCR and rifts elsewhere. Future study directions should 882 including inverting the surface wave and receiver function data with explicit parameterizations 883 for discontinuous velocity increases, in essence a double-Moho, at both the top and bottom of 884 this underplated layer. We also find anomalously high shear-wave velocities 70-90 km below the 885 rift, suggesting the presence of depleted upper mantle material. 886

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899 **Open Research**

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- 905 These data can be accessed at DOI 10.7914/SN/TA. The data from the SPREE Flexible Array
- 906 (http://www.usarray.org/researchers/obs/flexible/deployments/1116 SPREE/) can be obtained at
- 907 <u>http://www.usarray.org/researchers/data</u> with the network code SPREE-XI.

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