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Abstract: Riparian buffer strips (RBS) may protect surface water and groundwater in agricultural settings, although their effectiveness, observed in field-scale studies, may not extend to a watershed scale. Hydrologically-controlled leaching plots have often shown RBS to be effective at buffering nutrients and pesticides, but uncontrolled field studies have sometimes suggested limited effectiveness. The limited RBS effectiveness may be explained by the spatiotemporal hydrological heterogeneity near non-irrigated fields. This hypothesis was tested in conventional corn and soy fields in the St. Lawrence Lowlands of southern Quebec (Canada), where spring melt brings heavy and rapid runoff, while summer months are hot and dry. One field with a mineral soil (Saint-Roch-de-l'Achigan) and another with an organic-rich soil (Boisbriand) were equipped with passive runoff collectors, suction cup lysimeters, and piezometers placed before and after a 3 m-wide RBS, and monitored from 2011 to 2014. Soil topography of the RBS was mapped to a 1 cm vertical precision and a 50 cm sampling grid. On average, surface runoff intersects the RBS perpendicularly, but is subject to substantial local heterogeneity. Groundwater saturates the root zones, but flows little at the time of snowmelt. Groundwater flow is not consistently perpendicular to the RBS, and may reverse, flowing from stream to field under low water flow regimes with stream-aquifer connectivity, thus affecting RBS effectiveness calculations. Groundwater flow direction can be influenced by stratigraphy, local soil hydraulic properties, and historical modification of the agricultural stream beds. Understanding the spatiotemporal heterogeneity of surface and groundwater flows is essential to correctly assess the effectiveness of RBS in intercepting agro-chemical pollution. The implicit assumption that water flows across vegetated RBS, from the field to the stream, should always be verified.

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Highlights

- Riparian Buffer Strips (RBS) efficiency statistics differ in uncontrolled plots.
- Surface runoff may deviate from perpendicular flow assumptions across RBS plots.
- Pooling pollutants concentrations at field margin may buffer local heterogeneities.
- Greater microbasins may not yield greater runoff volumes across the RBS.
- Groundwater flow reversal in summer may influence perceived RBS effectiveness.

1 Hydrological Heterogeneity in Agricultural Riparian Buffer Strips

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† Abbreviations: Riparian buffer strips (RBS); Herbaceous vegetation treatment (CX); *Salix miyabeana* SX64 at 33 333 stumps/ha (3X); *Salix miyabeana* SX64 at 55 556 stems/ha (5X); Saint-Roch-de-l'Achigan (SR); Boisbriand (BB); saturated hydraulic conductivity (K_{sat}); Ministère de l'Agriculture, des pêcheries et de l'alimentation [Ministry of Agriculture, fisheries and food] MAPAQ; Degree-days of growth ($^{\circ}\text{C}\cdot\text{d}$); edge-of-field (CF); middle of the buffer strip (CC); close to the river (CR); in the field (CS); high density polyethylene (HDPE); polyvinyl chloride (PVC); Global positioning system (GPS); differential Global positioning system (dGPS); Digital elevation model (DEM); Angle of incidence (θ_{CF} and θ_{CR}) measured in degrees ($^{\circ}$); deviation from a perpendicular transect ($\theta_{\perp CF}$ and $\theta_{\perp CR}$); Identity (ID); Triangulated irregular network (TIN).

12 Abstract

13 Riparian buffer strips (RBS) may protect surface water and groundwater in agricultural settings,
14 although their effectiveness, observed in field-scale studies, may not extend to a watershed
15 scale. Hydrologically-controlled leaching plots have often shown RBS to be effective at
16 buffering nutrients and pesticides, but uncontrolled field studies have sometimes suggested
17 limited effectiveness. The limited RBS effectiveness may be explained by the spatiotemporal
18 hydrological heterogeneity near non-irrigated fields. This hypothesis was tested in conventional
19 corn and soy fields in the St. Lawrence Lowlands of southern Quebec (Canada), where spring
20 melt brings heavy and rapid runoff, while summer months are hot and dry. One field with a
21 mineral soil (Saint-Roch-de-l'Achigan) and another with an organic-rich soil (Boisbriand) were
22 equipped with passive runoff collectors, suction cup lysimeters, and piezometers placed before
23 and after a 3 m-wide RBS, and monitored from 2011 to 2014. Soil topography of the RBS was
24 mapped to a 1 cm vertical precision and a 50 cm sampling grid. On average, surface runoff
25 intersects the RBS perpendicularly, but is subject to substantial local heterogeneity.
26 Groundwater saturates the root zones, but flows little at the time of snowmelt. Groundwater
27 flow is not consistently perpendicular to the RBS, and may reverse, flowing from stream to field
28 under low water flow regimes with stream-aquifer connectivity, thus affecting RBS
29 effectiveness calculations. Groundwater flow direction can be influenced by stratigraphy, local
30 soil hydraulic properties, and historical modification of the agricultural stream beds.
31 Understanding the spatiotemporal heterogeneity of surface and groundwater flows is essential
32 to correctly assess the effectiveness of RBS in intercepting agro-chemical pollution. The

33 implicit assumption that water flows across vegetated RBS, from the field to the stream, should

34 always be verified.

35 Keywords

36 Riparian buffer strips; surface water; groundwater; agricultural watersheds; runoff;

37 spatiotemporal heterogeneity; microbasins

1 1. Introduction

2 Riparian buffer strips (RBS) are one of several best management practices for the protection of
3 surface water (Moore et al. 2008; Bentrup 2008), and are recommended in agricultural settings
4 around the world to mitigate non-point source pollution (Hickey and Doran 2004; Smethurst et
5 al. 2009). However, narrow RBS are not always effective (Mayer et al. 2006), as demonstrated
6 in a recent study of RBS bordering corn and soy fields in southern Québec (Canada). There,
7 site, season, and depth were all found to influence the effectiveness of total phosphorus and
8 nitrate removal, while ammonium and dissolved phosphate removal was generally ineffective
9 (Hénault-Ethier et al. 2016, Submitted-c). Glyphosate, the most common active ingredient in
10 herbicides around the world (Health Canada 2011; EPA 2011; Eurostat and European
11 Commission 2007), was also not consistently removed by the RBS (Hénault-Ethier et al. 2016,
12 Submitted-b). In runoff, neither glyphosate nor aminomethyl phosphonic acid (AMPA), its main
13 degradate, were significantly removed by the RBS, although soil analyses (0-20 cm) suggest
14 some buffering of the solid-bound herbicide. These reports of limited RBS effectiveness are not
15 uncommon in the literature, and several explanations have been proposed.

16 From a hydrological perspective, RBS effectiveness is influenced by precipitation, flow
17 convergence, infiltration rate, water storage capacity, topography, and vegetation cover
18 (Polyakov et al. 2005). Wider RBS often appear to more effectively remove nutrients than
19 narrow RBS (Mayer et al. 2006; Vought et al. 1994). However, narrow RBS are common in
20 several regions (Dagenais 2015), including in the province of Québec (Canada) (MDDEP

21 2005). These narrow RBS have been shown to improve water quality (Norris 1993; Wenger
22 1999), although their effectiveness is highly variable in differing environments (Hickey and
23 Doran 2004). Seasonality may not affect RBS effectiveness where annual climate fluctuations
24 are weaker, such as in many European settings (Sabater et al. 2003), but are known to affect
25 RBS effectiveness at northern latitudes, such as in Québec (Gasser et al. 2013; Hénault-Ethier
26 et al. 2016, Submitted-b; Hénault-Ethier et al. 2016, Submitted-c).

27 RBS vegetation may enhance infiltration (Dosskey et al. 2010), deposition of sediment
28 (Polyakov et al. 2005), and soil-bound agro-chemicals (Krutz et al. 2005). Because grasses
29 disperse convergent overland flows (Lowrance et al. 1997; Dosskey et al. 2010), grassy RBS
30 may buffer surface runoff more effectively than forested RBS (Lyons et al. 2000). Shrubby
31 vegetation promotes evapotranspiration (Allen et al. 1998; Dosskey et al. 2010), and
32 herbaceous vegetation may therefore be as effective as shrubby vegetation in intercepting
33 nutrients or glyphosate (Hénault-Ethier et al. 2016, Submitted-c; Mayer et al. 2007; Hénault-
34 Ethier et al. 2016, Submitted-b). However, RBS efficiency related to vegetation cover may be
35 inconsistent across sites (Dosskey 2001; Dosskey et al. 2010; Correll 1996; Lyons et al. 2000;
36 Hénault-Ethier et al. 2016, Submitted-c). Vegetation type, density, and spacing can influence
37 soil porosity (Dosskey et al. 2010) and sediment interception by the RBS (Polyakov et al.
38 2005), but is not always the case (Hénault-Ethier et al. 2016, Submitted-b; Hénault-Ethier et al.
39 2016, Submitted-c); other factors influencing RBS effectiveness must be identified.

40 Subsurface drainage may contribute to the direct exporting of nutrients (i.e., P, King et al.,
41 2015), effectively bypassing vegetated buffer strips (Osborne and Kovacic 1993). Tile drainage

42 can be present over 5 to 100% of temperate and boreal zones of the Northern Hemisphere
43 (McCorvie and Lant 1993; Zucker and Brown 1998; King et al. 2015; Harker et al. 2004;
44 Herzon and Helenius 2008).

45 The spatial scale at which studies are carried out may also affect their resulting effectiveness.
46 Most studies relying on runoff plots and confined field experiments demonstrate some
47 effectiveness in filtering a variety of contaminants from runoff (Norris 1993). Counterintuitively,
48 agricultural catchment studies often find limited RBS effectiveness in controlling surface water
49 pollution, despite general success at the plot scale (Norris 1993; Verstraeten et al. 2006;
50 Stutter et al. 2012). For this reason, uncontrolled field studies, under existing agricultural
51 activity and natural precipitation regimes, and without hydrological boundaries between
52 individual plots, are necessary to truly appreciate the potential real-life effectiveness of RBS.
53 Since runoff and groundwater flow are often difficult to measure in uncontrolled settings (Krutz
54 et al. 2005), assessing RBS effectiveness in agricultural catchments requires greater
55 spatiotemporal characterization. Assessing hydrologic flowpaths together with chemical and
56 biological processes is essential to better understand riparian zone functioning (Hill 2000).

57 This study was performed to assess additional processes that control runoff and groundwater
58 flow, and which might explain low RBS effectiveness. The work was carried out on
59 experimental corn and soy fields in the St. Lawrence Lowlands of southern Québec (Canada),
60 where low nutrient (Hénault-Ethier et al. 2016, Submitted-c) and glyphosphate (Hénault-Ethier
61 et al. 2016, Submitted-b) retention of 3 m-wide RBS was recently observed. Factors which may
62 impact the hydrology and hydrogeology of the fields and associated RBS or the interception of

63 surface runoff and groundwater by the RBS were identified. The specific objective was to
64 assess the spatiotemporal hydrological heterogeneity, so as to determine whether it may have
65 affected the outcome of earlier RBS studies. Topographic, stratigraphic, and pedologic site
66 characterization was performed on the two sites (Boisbriand and Saint-Roch-de-l'Achigan), so
67 as to quantify the homogeneity of slopes, strata, and soil physical properties. The spatial
68 heterogeneity of surface runoff and the temporal variation of groundwater flow were assessed.
69 Finally, the potential of RBS runoff interception was estimated, and the contributing field areas
70 were measured to assess their edge-of-field runoff collection potential.

71 2 Methods

72 2.1 Experimental sites

73 The experimental design of both sites is a triplicate randomized block, with three treatments
74 each: herbaceous vegetation (CX), and *Salix miyabeana* (willow) SX64 at 33,333 (3X) and at
75 55,556 stems/ha (5X). The two experimental sites (Figure 1), where corn and soy were
76 alternately cropped from 2011-2013, border two first order streams. The Moïse-Dupras stream
77 in Saint-Roch-de-l'Achigan (SR: N45°50'48.3"; W73° 36'16.7"; alt. 46 m) flows towards the
78 L'Achigan River, 1.3 km downstream from the site. The Dumontier stream in Boisbriand (BB: N
79 45°36'39.8", W 73°51'40.3"; alt. 44 m) reaches the Des-Milles-Isles river 4.8 km downstream.
80 SR has a relatively flat topography, with a 3 m deep artificially dug drainage ditch, while BB
81 has a gently hilly topography (15 m difference in elevation from the top of the field, 100 m
82 inland, to the Dumontier stream). The Dumontier stream has been straightened since the

83 1930s, and flows through an ancient wetland, as documented by aerial photographs of the site
84 (Figure S1).

85 Meteorological data from the Sainte-Thérèse Ouest (6.8 km from BB) and L'Assomption
86 (13.8 km from SR) weather stations were used (Lepage and Bourgeois 2011). From 2010 to
87 2013, mean annual temperature was 7.5 °C and 7.0 °C, degree days of growth were 990 °C·d
88 and 989 °C·d, and annual precipitation was 1034 and 1121 mm for BB and SR respectively
89 (see Hénault-Ethier et al. 2016, Submitted-a).

90 2.2 Surface water and groundwater sampling

91 A total of 36 surface water collectors, 72 lysimeters, and 24 piezometers were installed on
92 either side of the RBS, at the field edges (CF acting as a reference) and close to the river (CR)
93 (Figure 1), and were sampled as described in Hénault-Ethier et al. (2016, Submitted-c).
94 Surface runoff was collected in high density polyethylene (HDPE) buckets, buried to three
95 quarters of their height in the ground. These were fitted with polyvinyl chloride (PVC) gutters to
96 shelter them from rain, extended from the soil surface, perpendicular to the buffer strip, over a
97 length of 60 cm, and were equipped with 2 mm nylon mesh to filter coarse particles. At the time
98 of sampling, the total volume of water collected was estimated *in situ*, measuring collected
99 water depth with a ruler. A statistical analysis was conducted to check whether the collected
100 runoff volume was significantly different between sites and/or was influenced by which side of
101 the RBS it was collected from (CF vs. CR). Soil water was collected in polyvinyl chloride
102 suction lysimeters (Soil Moisture Equipment Inc, 1900L, Santa Barbara, CA, USA) equipped

103 with ceramic cups buried at 35 or 70 cm depth. Groundwater levels were recorded manually
104 during every water sampling campaign from 2011-2014 (± 0.5 cm). The piezometers (3 m long
105 x 3.7 cm diameter PVC tubes, strainer 60 cm long with 0.5 cm holes) were installed in the
106 outer margin of each RBS field block, which comprised the three treatments described above.

107 2.3 Topography, slopes, and microbasins

108 The precise topography of the buffer strips and neighboring fields was obtained in July 2011
109 using a differential GPS (dGPS), with a base fixed near the center of the study area (Trimble,
110 R8GNN base and rover, Sunnyvale, California, USA). The vertical precision of the instrument
111 is approximately 1 cm (USGS (United States Geological Survey) 2013). The sites were
112 surveyed every 0.5 m to determine the exact positions of the water collecting devices, soil
113 cores, and buffer strip margins, and to account for important hydrological features (e.g.,
114 engineered passages to improve drainage from field to stream, or other obvious flow paths). A
115 coarser sampling interval (~15 m grid) was used over the proximal regions of the adjacent
116 fields to determine the area drained by each experimental buffer strip. Finally, regional digital
117 elevation models (DEMs) were used to confirm flow directions over the width of the whole field.
118 The regional DEM for BB was obtained from the database of the local watershed committee
119 (Louis Tremblay, Comité de Bassin Versant de la Rivière des Milles-Isles (COBAMIL),
120 personal communication), and was obtained for SR from the regional municipality geomatic
121 services office (Adam Pelletier, MRC Montcalm, personal communication). To improve results,
122 the regional 1:50 000 DEM was transformed into vector data (isolines), so it could be
123 interpolated.

124 DEMS at three spatial scales were created using ArcGIS (version 2.1.4, Esri, Redlands,
125 California, USA) (Figure S2,d-f) to visualize the terrain, understand where surface runoff would
126 flow, and estimate from what surface area of the field runoff was collected and would be
127 intercepted by the RBS. The finest, or *RBS*, scale (1:250; obtained with the 0.5 m sampling
128 grid using the dGPS) had a vertical precision of 1 cm, a horizontal precision of 10 cm, and
129 resolution of 10 cm. The intermediate, or *proximal*, scale (1:1000; obtained with the 15 m grid
130 sampling using the dGPS) had a vertical precision of 1 m, a horizontal precision of 10 m, and a
131 resolution of 1.5 m. Finally, the coarsest, or *field*, scale (1:30 000; obtained by resampling the
132 regional DEMs) had a vertical precision of 1 m, a horizontal precision of 10 m, and a resolution
133 of 1.5 m. Slopes within the RBS were calculated using the z-values of pairs of water sampling
134 equipment from the CF and the corresponding CR sides of the RBS. Slopes further in-field
135 were estimated by extending a 5 m transect from the CF sampling equipment into the field at a
136 90° angle relative to the stream, and extracting the corresponding point value from the DEM.
137 The homogeneity of slopes across sites and treatments was tested statistically with an
138 ANOVA on site (BB vs. SR), treatment (CX vs. 3X vs. 5X), and interaction. As for all statistical
139 analyses, when data did not conform to the normality assumption, non-parametric, rank-based
140 tests were conducted, using JMP 7 (SAS Institute, Cary, NC).

141 2.4 Surface runoff and basins

142 The *r.watershed* tool of GRASS GIS (version 6.0, Champaign, Illinois, USA) was used for
143 basin visualization and flow channel definition. The minimum basin size was set to allow for the

144 approximation of flow channels in as much details as possible without overcrowding the
145 visualization.

146 In a second phase, the smoothed DEM was used as the input in ArcHydro Basic Dendritic
147 Terrain Processing (version 2.0, ESRI, Redlands, California, USA). The correct positions of the
148 stream and drainage ditch were set in the model. The input DEM was reconditioned using the
149 AGREE method (Hellweger and Maidment 1997) in the ArcHydro extension (Version 2.0 beta),
150 using the “fill sinks” function to smooth the surface. Surface water flow directions were mapped
151 using the three resolutions of DEM created above (*RBS*, *proximal*, and *field*). Using the
152 proximal scale DEM, drainage line angles of incidence between the RBS and flow path
153 directions, and the topographical microbasins were calculated.

154 The drainage line angles of incidence ($^{\circ}$) with the RBS were estimated using a protractor on
155 both edges of the RBS (θ_{CF} and θ_{CR}), as illustrated in Figure 2. The corresponding deviation
156 from a perpendicular transect ($\theta_{\perp CF}$ and $\theta_{\perp CR}$) was then calculated to account for drainage
157 lines which change direction as they cross the RBS. The similarity of the incidence angles was
158 verified statistically with an ANOVA on side (CF vs. CR), treatment (CX vs. 3X vs. 5X) and
159 interaction.

160 The area of the microbasins draining toward the surface water collectors in each experimental
161 buffer strip was computed in ArcGIS. Four methods were used to estimate the microbasin
162 drainage areas: *Basins* (catchment — surface drained by smaller arms of the drainage lines),
163 *Nearest Stream*, *Affiliated Basins* (BB only; includes several smaller basins — adjunct

164 catchment — and larger ramifications of the runoff)), and drainage points (SR only, manually
165 located points positioned on the drainage lines — e.g., passages engineered to favor drainage
166 from fields to stream — from which the software computes drainage surface). The
167 homogeneity of the drainage microbasins was verified statistically. The “basins” and “closest
168 streams” microbasin surface areas were tested with an ANOVA on site (BB vs. SR), treatment
169 (CX vs. 3X vs. 5X) and interaction. The “affiliated basins” in BB and passages engineered to
170 favor drainage, “drainage points” in SR, were log transformed to obtain data normality, and
171 analyzed for treatment effect with ANOVA.

172 2.5 Groundwater level and flow

173 To assess whether the lysimeters were installed in unsaturated soil, water table depths from
174 the RBS piezometers were interpolated in ArcGIS. A lysimeter was considered to be
175 submerged when the water table level was at least 10 cm above the ceramic porous cup
176 (accounting for z-measurement precision). In BB, a scenario for which the water table was
177 connected to the stream was tested in addition to the RBS interpolations, due to the presence
178 of a visible discharge zone in the eastern section of the RBS. Connectivity was introduced by
179 forcing stream water level in the groundwater interpolation. Water table depths near each water
180 sampling equipment were then tabulated. Groundwater flow direction was estimated based on
181 head differences ($z_{CF} - z_{CR}$). Groundwater flow was considered directional only if the difference
182 in elevation from the CF to CR sides of the RBS was greater than 20 cm (accounting for two
183 times z-measurement precision).

184 2.6 Stratigraphy

185 The buffer strips were established in a typical humisol in BB (derived from an ancient wetland)
186 and in a mineral sandy clay-loam sitting atop a clay bed in SR. Soil granulometry was
187 characterized at the surface and at 35 cm depth (Table 1), using the wet sifting method
188 adapted from CEAEQ (2010) on 1000 cm³ samples obtained from field and RBS push cores
189 (10 cm diameter) for each site. The BB neighboring field drainage ranges from good to
190 imperfect, while SR is imperfectly drained (Gagné et al. 2013). Because BB soil in the vicinity
191 of the RBS was very different from that of the rest of the field, *in situ* Guelph permeameter (Soil
192 Moisture, Model 2800K1, Santa Barbara, CA, USA) measurements of the saturated hydraulic
193 conductivity (K_{sat}) were conducted for the surface soils (0-10 cm). The K_{sat} of the other soil
194 types mapped within the limits of the BB and SR fields were obtained from the literature
195 (Gagné et al. 2013; MAPAQ 1990).

196 The stratigraphy was characterized for every 10 cm depth during the installation of the water
197 collecting devices in May 2011, and was completed with soil cores extracted using a 10 cm
198 diameter x 20 cm depth auger during water sampling campaigns from 2011-2013. Soil cores
199 were collected near the stream (CR), in the middle of the buffer strip (CC), next to the buffer on
200 the side of the field (CF), and in the field itself (CS), at a minimum distance of 1.5 m from the
201 water sampling equipment to minimize disturbance. Granulometric observations, compaction,
202 and color (Munsell Soil Color chart) were used to classify the collected samples. A 3D
203 representation of the sites was built using GMS (v10.0, Aquaveo™, Provo, Utah, USA). Each
204 borehole was assigned a soil ID, as well as a horizon ID at the contact between the layers.

205 Cross-sections were automatically generated and filled. The GPS data was used to generate a
206 Triangulated irregular network (TIN) with linear interpolation. Transects were manually
207 centered on the CF-CR axis, and centered mid-distance on each RBS, where the water
208 sampling equipment is located.

209 **3. Results and Discussion**

210 3.1 Topography

211 Slopes are important predictors of RBS effectiveness (Bentrop 2008). Slopes within the two
212 sites' RBS are greater than 0.5 - 2 %. In the vicinity of the RBS (up to 5 m into the field), slopes
213 in BB vary from 0 to 5%, while they vary from 0 to more than 15 % in SR. Approximately 50 %
214 of the terrain is nearly level (> 0.5 - 2 %) at both sites (Figure 3). The broader range of
215 estimated slopes in SR is caused by localized minor mounts and small depressions, the terrain
216 being much more level at the field scale than is BB. Topographic minima and maxima in SR
217 are 48.4 and 54.2 m respectively, with a sharp > 2 m drop from the riverside buffer (CR) edge
218 to the actual stream level. In BB, the minima and maxima are 35.7 and 41.9 m respectively, but
219 the major difference in elevation is within the field, the drop from the buffer edge nearest the
220 stream (CR) to the stream being less than 0.5 m. Overall, neither site ($p = 0.9400$) nor the RBS
221 vegetation treatment ($p = 0.0723$) had statistically different slopes. However, absolute slopes
222 (which can affect residence time, but are independent of slope direction) were significantly
223 lower in BB ($p = 0.0008^*$), and there was a significant interaction with the RBS vegetation
224 treatment ($p = 0.0032^*$). Within the RBS, we did not observe slopes of greater than 6 %, which

225 may fail to retain sediments (Polyakov et al. 2005) because they lead to higher overland flow
226 velocity while minimizing infiltration and particle deposition (Knies 2009). Because slopes were
227 relatively low and uniform across the sites, RBS sides, and treatments (despite some variability
228 in absolute slopes), slope may not have been a primary driver for the limited RBS effectiveness
229 in mitigating nutrient and glyphosate transport observed, and may not be a primary
230 determinant for the lack of difference observed between the treatments.

231 3.2 Surface runoff

232 Drainage lines at the *RBS*, *proximal*, and *field* scales (Figure S2, a-c) appear generally to be
233 consistent (Figure S3). The *proximal* and *field* scales in SR in particular are almost exactly
234 superimposed within the model limits. The *RBS* scale was modeled with 10X greater precision
235 (± 0.1 m) than the *proximal* scale, and there are likely several hydrologic flowpaths across the
236 RBS, and perhaps not only nearly-unique concentrated flow paths as suggested at the other
237 scales. The larger the scale, the more likely realistic values will be obtained due to the levelling
238 out of minor spatial heterogeneities, but also the more likely micro-site specific process
239 variability will be lost due to this same effect (Krutz et al. 2005). The narrow limits of the *RBS*
240 scale lead to several potential hydrologic flowpath artifacts (i.e., water appearing to drain from
241 the RBS to the field, contrary to the other modeled scales; small and unconnected drainage
242 lines intercepted by the RBS model limits). Furthermore, the finer drainage lines output at the
243 fine *RBS* scale are likely to change with time. Therefore, the fine *RBS* scale may not be very
244 instructive for the purpose of modeling runoff over the order of a few years necessary to

245 quantify nutrient ((Hénault-Ethier et al. 2016, Submitted-c)) and glyphosate ((Hénault-Ethier et
246 al. 2016, Submitted-b)) retention by the RBS.

247 While the runoff flowpaths obtained based on the regional DEMs suggested heavy flow
248 convergence within the RBS, this was not observed during rainy day field visits. Concentrated
249 flows may be observed in the majority of agricultural RBS, but not in them all (Dosskey et al.
250 2002). The *field* scale model, which had a lower vertical precision of 1 m and a resolution of
251 1.5 m, could not be entirely conditioned to calculate the extent of adjunct microbasins (those
252 basins which extend beyond the region encompassed in the proximal model) in BB. Hence, the
253 *proximal* scale, which relied on the dGPS data with 0.01 m vertical and 1 m horizontal
254 precision, and a 50 cm resolution, was judged best for the characterization of surface runoff
255 flowpaths across the RBS, and most of the microbasin surface area calculations described
256 below. At the *proximal* scale, the ephemeral cropland gullies visible may be somewhat more
257 permanent, though not necessarily to the extent of becoming severely eroded classic gullies
258 (Dabney et al. 2006). Runoff flow convergence induces more concentrated surface flows that
259 can overwhelm the RBS capacity (Polyakov et al. 2005; Michaud et al. 2005). These
260 ephemeral gullies are inherent to the topography and may become more permanent, classic
261 gullies under no-till practices (Dabney et al. 2006).

262 3.3 The influence of scale on agricultural RBS hydrology

263 Buffer strips may be studied from a multi-scale perspective (Wiens 1989), ranging from
264 laboratory studies, focusing on processes in controlled settings (e.g., Ausland (2014); Gomes

265 et al. (2015)), to watershed or catchment studies (e.g., Smethurst et al. 2009; Ratté-Fortin
266 2014; Uriarte et al. 2011; Terrado et al. 2014; Dosskey 2001), encompassing or smoothing out
267 local heterogeneities (Wiens 1989; Baker et al. 2001) to make real-life assessments or
268 predictions of overall effectiveness (Figure S2a-c; Norris 1993; Verstraeten et al. 2006;
269 Smethurst et al. 2009; Baker et al. 2001). The current study focused on the intermediate scale,
270 sometimes referred to as field scale (Lee et al. 2003), plot scale (Gasser et al. 2013) or along
271 transects (Munoz-Carpena et al. 1999; Osborne and Kovacic 1993). This scale allows the
272 transverse passage of water and diffuse pollutants through the RBS to be studied (Lee et al.
273 2004), and is predominant in the literature (Stutter et al. 2012; Dosskey 2001). The current
274 study suggests that even within an intermediate scale, scaling up (i.e., field scale) or down
275 (i.e., focusing solely on the RBS vicinity) may lead to different understanding of surface runoff
276 flowpaths.

277 3.4 Interception of runoff across the RBS

278 The spatial variability in surface runoff can be evaluated when considering all the field runoff
279 intercepted by the RBS (Figure 4). There was no significant difference in overall incidence
280 angle (θ ; ANOVA by RBS side (i.e., field or stream side of the buffer) or treatment), and
281 although there is local variability in each parcel relative to the perpendicular transects across
282 the buffer strip (θ_{\perp}), there was no significant difference related to side or treatment (testing for
283 ranks on paired data). This means that, overall, the incoming runoff crosses the buffer strip
284 perpendicularly ($\sim 90^{\circ}$), but at a local scale, incoming (CF) and exiting (CR) surface flows may
285 enter and exit the buffer test parcels at various angles. This is critical for the statistical analysis

286 of RBS potential nutrient (Hénault-Ethier et al. 2016, Submitted-c) and glyphosate (Hénault-
287 Ethier et al. 2016, Submitted-b) retention effectiveness.

288 3.5 Size of microbasins draining towards the RBS

289 On average, microbasins were smaller in BB than in SR ($p < 0.0001^*$ for both “basins” and
290 “closest streams” models; Table S1 and Figure S4). Although the “stream” model did not
291 reveal different surface areas between treatments ($p = 0.3897$), the “closest stream” model
292 revealed that the area drained was statistically larger for the RBS composed of 5 rows of
293 willows (5X), than for the RBS with 3 rows of willows (3X). The herbaceous treatment (CX) was
294 statistically indistinguishable from both willow treatments ($p = 0.0073^*$), and there was a
295 significant interaction between treatment and site ($p = 0.0102^*$). While calculations based on
296 the drainage points superimposed on the rock chute (a common erosion protection structure
297 found at the edges of fields) in SR yielded similar results ($CX = 5X \geq 3X$; $p = 0.0009^*$), affiliated
298 basins in BB were statistically larger in 3X and smaller in 5X (CX indistinguishable; $p =$
299 0.0408^*). Although the surface collectors were not installed specifically where the hydrological
300 model suggests concentrated runoff, because the model was built only after the installation of
301 the sampling equipment, the surface runoff collectors were nevertheless effective in
302 intercepting the water that flowed through.

303 A single method for calculating microbasins may not be broadly applicable. For instance, the
304 affiliated basins model, which enabled the calculation of the area draining into the RBS using
305 the field model’s less precise data in a few areas where the proximal model was too narrow to

306 fully capture the whole surface area of the microbasin, was only applicable in BB, and drainage
307 points on rock at the nearest chutes, engineered erosion control systems in place, could only
308 be positioned in SR. Finally, some automatically generated hydrological microbasins may be
309 relatively small (1-72 % smaller) compared to what would be expected if the closest modeled
310 runoff path effectively intercepted the collector. However, there was not always a runoff stream
311 located in the realistic vicinity of the runoff collector, and hence, three SR parcels could not be
312 attributed a surface area under the “closest stream” model. This inherently limits the
313 subsequent use of these modeled data to interpret the potential effectiveness of the RBS to
314 filter aqueous fluxes of nutrients and glyphosate.

315 3.6 Runoff volumes intercepted and the effect of source area

316 Because of between-site differences in topography, stratigraphy, microbasin sizes, and K_{sat} ,
317 runoff volumes were analyzed independently for each site. The 2011 runoff volumes (recorded
318 on eight occasions; Figure 5) were unaffected by RBS side ($p = 0.7204$) and treatment ($p =$
319 0.3320) in BB. However, the RBS significantly reduced runoff volumes in SR (side: $p =$
320 0.0110^*), even though it remained unaffected by treatment ($p = 0.7005$). Except for a very
321 weak, although significant relationship between runoff volume collected from the edge-of-field
322 and microbasins size obtained from the “closest stream” model in BB ($r^2 = 0.10$, $p < 0.0001^*$,
323 $n = 162$; Figure 6), no other significant relationships were found between runoff volumes and
324 slopes of microbasins size models (data not shown). Runoff volume was not linearly related to
325 any source area measurement model, except in BB, where the “nearest stream” microbasin
326 model was significantly and linearly related to collected runoff volume.

327 Several other studies found a direct relationship between runoff volume and source area
328 (Herron and Hairsine 1998; Dosskey et al. 2002; Polyakov et al. 2005). Two explanations may
329 underlie the absence of such a relationship in this experiment. First, experiments under
330 uncontrolled field conditions, where surface water flow is not restricted by partitions between
331 parcels or via the interception of all runoff and infiltrated water with transverse ditches, will lead
332 to more variable water capture. This may eventually affect how potential RBS effectiveness is
333 interpreted between uncontrolled versus controlled conditions. For instance, the effective area
334 of an RBS, through which water actually flows, may be only a fraction of the total RBS area
335 (i.e., the entire vegetated surface adjacent to the stream), especially if concentrated runoff
336 occurs (Dosskey et al. 2002). Secondly, although various models to calculate source area (i.e.,
337 the size of the microbasin draining toward the RBS or water samplers) were tested, based on
338 the most precise and relevant scales, these estimates remain strongly dependent on the
339 accuracy of the dGPS measurements and constructed topographic models. Hence, it cannot
340 be ruled out that the lack of predictive power for runoff volume collection based on source
341 surface area could be due to model assumptions. Assuming that the whole (gross) RBS area
342 (54 m²) contributed to runoff interception, our source area to RBS area ratio varied from 0 -
343 17.8 based on the “closest stream” model. However, if we only consider the 60 cm gutter as
344 effectively intercepting runoff which flows across the 3 m width RBS (effective area of 1.8 m),
345 then our source- to RBS-area ratio (effective area) varies between 0 and 958. The majority of
346 previous studies that varied the source-:RBS-area ratio in a controlled manner (range 5:1 –
347 45:1) found that the ratio did not significantly influence the potential RBS effectiveness,
348 because of variability in the infiltration rates across studies (Krutz et al. 2005). In RBS of

349 uniform width, some zones with a larger source-area (Herron and Hairsine 1998; Dosskey et
350 al. 2002; Polyakov et al. 2005) due to converging flow paths end up insufficiently protected
351 (Dosskey et al. 2002; Polyakov et al. 2005), arguing in favor of precision RBS with varying
352 width, optimized for actual terrain characteristics (Polyakov et al. 2005). Therefore, it was
353 hoped that studying this ratio within an apparently uniform field where the source area varies
354 naturally due to topography would control for the across-site variability of earlier studies, and
355 allow for better discernment of the source area effect, but was not found to be the case. In this
356 study, source area, or microbasins, draining toward the RBS or water samplers were taken into
357 account to address the fact that some of the water from the larger field watersheds (~10.1 ha in
358 BB and ~8.3 ha in SR) was draining toward ditches rather than toward the RBS.

359 3.7 Implications of spatiotemporal hydrologic flux heterogeneity in the evaluation of potential 360 RBS effectiveness in nutrient and glyphosate retention

361 The common assumption that most runoff reaches a buffer, enters the buffer, and flows
362 through it perpendicularly, except for a portion that infiltrates, appears erroneous, based on our
363 observations and previous ones of Dabney and Vieira (2013). It has been demonstrated here
364 that over the proximal or field scales, modeled runoff incidence does enter and exit the RBS at
365 a near perpendicular angle. However, within each parcel the runoff incidence angle deviates
366 widely from the expected perpendicular flow. This appears critical to truly appreciating the
367 potential effectiveness of the RBS presented in two earlier articles on nutrients (Hénault-Ethier
368 et al. 2016, Submitted-c) and on glyphosate (Hénault-Ethier et al. 2016, Submitted-b).

369 The observations made in the current work suggest a specific potential effectiveness
370 calculation to avoid the confounding effect of local heterogeneities. The movement of surface
371 water in the field influences the ability to collect runoff in the surface sampling equipment. This
372 is especially critical at a local scale, where sampling equipment in front of the buffer strip may
373 receive more or less water than the equipment on the other side of the buffer, due to local
374 topography/hydrology and not to specific buffer strip treatments. However, because the mean
375 incidence angle is perpendicular to the buffer strips at the regional field scale, pooling data
376 from in front of the buffer strip should minimize the confounding effects of local heterogeneities.
377 Pairing proximal stations before and after the buffer strip, as was initially intended in field data
378 collection design, did not appear pertinent after analyzing the modeled trajectory of the surface
379 runoff. Therefore, scaling up to analyze mean pollutant loads in front of and behind the buffer
380 strip should minimize concentration variability, which would have otherwise been exaggerated
381 in a paired statistical design.

382 3.8 Paired or unpaired statistical designs

383 RBS effectiveness is commonly calculated as the difference between inflowing and outflowing
384 volumes or concentrations, expressed as a percentage of the inflow value (McKergow et al.
385 2006; Sabater et al. 2003; Hook 2003). This formula is sometimes normalized per meter width
386 of RBS to allow for inter-site comparisons (Sabater et al. 2003). When partitioned runoff plots
387 are used (Dosskey et al. 2007; Patty et al. 1997; Duchemin and Hogue 2009; Schmitt et al.
388 1999), sheets of metal physically separate the parcels and all the runoff from the source area
389 (minus any infiltrated water) is assumed to be intercepted by the RBS. In hydrologically

390 isolated experimental plots, paired statistical designs, where RBS inputs are considered as a
391 ratio of RBS outputs for each parcel, make sense, as the water must flow across the RBS,
392 within the hydrological boundaries used in the experimental setup. However, no hydrological
393 partitions between parcels were used in this experiment (i.e., an uncontrolled setup was
394 instead implemented).

395 RBS pollutant removal effectiveness is also often measured in a way which appears more akin
396 to an unpaired statistical design, by measuring pollutant loads in the presence versus in the
397 absence of an RBS, in parallel as opposed to linear plots (Lee et al. 2003; Munoz-Carpena et
398 al. 1999; Noij et al. 2012; Uusi-Kämpä and Ylärinta 1996; Duchemin and Hogue 2009).

399 Where surrogate runoff is applied (Dosskey et al. 2007; Schmitt et al. 1999), RBS input
400 concentrations can be estimated from the tank mix. However, in uncontrolled settings with
401 natural rainfall, the inflow and outflow concentrations may not be homogeneous. It is therefore
402 suggested that averaging edge-of-field or inflow concentrations over the whole field region may
403 compensate for small-scale heterogeneity leading to unrepresentatively high or low
404 concentrations in the inflow, which does not necessarily migrate from the field to the stream
405 perpendicularly to the RBS. This is somewhat similar to the approach of McKergow et al.
406 (2006), who reported aggregate concentrations and loads rather than individual plot values, so
407 as to minimize the spatial variability among multiple RBS plots. Unlike the current work,
408 however, McKergow et al. (2006) used a paired statistical design. Therefore, to interpret RBS
409 efficiency in uncontrolled field plots, it appears best to average inflowing and outflowing
410 concentrations at the field scale, rather than using a statistical design based solely on

411 geographic proximity pairing (i.e., linear plot paired design). This justified the statistical
412 approach used to measure the RBS effectiveness in mitigating nutrient and glyphosate runoff
413 (Hénault-Ethier et al. 2016, Submitted-b; Hénault-Ethier et al. 2016, Submitted-c).

414 3.9 Groundwater flow and water table height

415 Though surface soil appeared to be homogeneous at both sites, below surface soil strata
416 varied slightly between parcels. A total of nine soil types were observed across both sites (see
417 Figure S5 for 3D stratigraphic rendering). In BB, black histosol, brown histosol, peat, marl,
418 rocks (till), and clay were observed from the surface to the core bottom. In SR, sandy loam,
419 clean sand lentils, and clay with traces of iron oxides (FeOX) were observed from top to
420 bottom. While black, brown histosol, and peat are mapped differently, they represent arbitrary
421 stages on a continuum of organic soil pedogenesis, with black histosol being the most humified
422 form. Therefore, apparent changes between stratigraphic layers in 3D representation represent
423 a transition of peat oxidation stage rather than abrupt physico-chemical changes. On the other
424 hand, rocks (likely washed till) found near the F-F', E-E' and to a lesser extent east of the C-C'
425 transects, may have more important impacts on groundwater movement, which may be
426 explained by the historic position of the stream (Figure S1). Organic-rich soil generally
427 surrounds the 30 cm lysimeters, while marl and/or clay surrounds the 70 cm lysimeters.

428 As expected, groundwater levels are higher in the spring than in the summer months (Figure
429 7). Our observations suggest groundwater-surface water connectivity at BB, visible through a
430 resurgence zone in the eastern region of the study area. Groundwater generally moves from

431 the fields to the streams, except for in driest periods in BB, although, again, not necessarily at
432 an angle perpendicular to the RBS. During periods of snowmelt in SR, water in the saturated
433 soils did not appear to flow in half of the sampling zones, as demonstrated by the lack of a
434 gradient from the CF to CR sides. Furthermore, the variability of groundwater flow may be
435 influenced by stratigraphy and localized soil physico-chemistry (Figure S5), as well as historical
436 modifications of agricultural stream beds (Figure S1).Spatiotemporal heterogeneity of the
437 groundwater flow therefore needs to be taken into consideration in the interpretation of
438 potential RBS effectiveness in removing nutrients ((Hénault-Ethier et al. 2016, Submitted-c))
439 and glyphosate (Hénault-Ethier et al. 2016, Submitted-b).

440 3.10 Implications of spatiotemporal groundwater heterogeneity in the evaluation of potential 441 RBS effectiveness in nutrient and glyphosate retention

442 In humid climates, where aquifers are connected to rivers, groundwater generally flows
443 laterally towards streams (Winter et al. 1998). Although some substrates permit faster water
444 movement, groundwater flow is generally slower than surface runoff (Winter et al. 1998;
445 Dosskey et al. 2010). As different soil layers with different hydraulic properties can dictate how
446 the water migrates horizontally and vertically, this in turn influences pollutant residence time,
447 interaction with the root zone, interaction with organic-rich or microbiologically-active horizons,
448 and subsurface leaching, which all affect the effectiveness of the RBS in mitigating
449 underground diffuse pollution (Polyakov et al. 2005). For instance, a high water table alone is
450 not sufficient to predict denitrification in a RBS (Vidon and Hill 2004), but pairing with elevated
451 dissolved organic carbon measurements improves denitrification prediction potential in micro-

452 anaerobic hot spots (Burt et al. 1999; Hill 1996; Pabich et al. 2001). Groundwater sometimes
453 seeps to the surface, leading to rapid flow across the RBS that does not allow for effective
454 water treatment (Bentrup 2008). Alternately, for deeply incised streams, groundwater may be
455 too deep for the RBS vegetation to significantly intercept it (Bentrup 2008). To correctly assess
456 the effectiveness of an RBS, historic land disturbances, restricting soil layers, preferential
457 groundwater flow paths, and other features that control diffusion and infiltration of dissolved or
458 particulate aqueous pollutants should be considered (Polyakov et al. 2005). From this study, it
459 appears important to adequately assess groundwater flow direction and depth in the evaluation
460 of RBS effectiveness in filtering nutrient and glyphosate. Contrary to statistical assumptions in
461 the calculation of RBS effectiveness, relying on RBS inputs versus outputs, the groundwater
462 flow reversal observed in the low water table summer months at BB, where the stream was
463 connected to groundwater, may have affected the perceived ineffectiveness of the RBS.

464 Bank storage (i.e., underground flow from stream to field) may also occur due to temporary
465 flood peaks or intense evapotranspiration by streamside vegetation (Winter et al. 1998). When
466 the water table below the fields and RBS is low due to low precipitation or intense
467 evapotranspiration, an underground source emerging from a confined aquifer or an intense
468 precipitation pulse may lead to flow reversal. This was taken into account in earlier studies on
469 potential RBS effectiveness (Hénault-Ethier et al. 2016, Submitted-b; Hénault-Ethier et al.
470 2016, Submitted-c). Furthermore, historical straightening of streams may alter the normal
471 hydrogeology, such that groundwater may continue to flow in its natural course if the substrate
472 is more conductive there, despite what is apparent from the aboveground superficial

473 modifications of the stream bed (see Figure S1). As preferential groundwater flow channels
474 may cause the observed subaqueous springs (Winter et al. 1998), this is a plausible
475 explanation for the groundwater flow reversal post-glyphosate application in BB.

476 4. Conclusions

477 The objective of this study was to explore whether surface and groundwater hydrology could
478 explain the limited effectiveness of narrow RBS in mitigating the nutrient and pesticide runoff
479 witnessed in previous studies. It appears critical to always assess whether water flows across
480 the RBS in such a way that vegetation can intercept surface runoff and groundwater. The
481 assumption that water flows from the fields to the streams in a nearly perpendicular fashion
482 was not confirmed everywhere. However, when averaging all runoff streams at the proximal
483 field scale, the runoff streams generally appear to cross the RBS perpendicularly. Therefore,
484 pooling the results of localized water samples used to quantify agro-chemical concentrations
485 may help to buffer the small-scale heterogeneity of surface runoff. Furthermore, the *a priori*
486 assumption that a larger source area (microbasin) would lead to greater surface runoff
487 volumes crossing the RBS at any given point was not confirmed. We nevertheless caution the
488 use of microbasin area estimates in uncontrolled field studies, using various estimation
489 methodologies to constrain inherent inter-site heterogeneities in uncontrolled fields. The
490 current study suggests that even at an intermediate scale, scaling up (field scale) or down
491 (immediate RBS vicinity) may alter our understanding of surface runoff flowpaths, which may in
492 turn have potential implications for the calculation of perceived RBS effectiveness in mitigating
493 non-point source pollution.

494 Below surface runoff, phreatic waters may also deviate from the implicit assumption that water
495 should flow from field to stream. Phreatic waters may indeed flow from field to stream in a
496 nearly perpendicular fashion most of the time. However, soil saturation in the spring may lead
497 to subtle horizontal water movement, heterogeneous soil stratigraphy may lead to flows that
498 are not necessarily perpendicular to the RBS, and connectivity with regional aquifers may lead
499 to water flowing from the stream to the field in the driest summer months. Consideration of
500 groundwater flow direction thus appears to be critical to any evaluation of RBS effectiveness.

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521 Table 1: Granulometry and saturated hydraulic conductivity (K_{sat}) at Boisbriand and Saint-Roch-de-l'Achigan.

Parameter	Boisbriand				Saint-Roch-de-l'Achigan		
	Depth	Coarse sand	Fine sand	Silt and Clay	Coarse sand	Fine sand	Silt and Clay
	(cm)	< 2 mm	< 212 μ m	<63 μ m	< 2 mm	< 212 μ m	<63 μ m
Granulometry ¹	0	6.1	13.3	80.5	43.2	30.1	26.7
	35	6.4	13.9	79.7	37.2	33.3	29.4
Soil series		CF	Dalhousie ³	Châteauguay ³	Saint-Bernard ³	Achigan ³	Achigan ⁴
K_{sat} (cm/h)	0-10	0.03 to 4.02 ²	N/D ³	N/D ³	N/D ³	N/D ³	N/D ⁴
	0-30	N/D	0.53 ³	4.00 ³	8.00 ³	0.61 ³	1.30 ⁴
	30-40	N/D	0.12 ³	2.33 ³	4.28 ³	1.50 ³	1.31 ⁴
	>40	N/D	0.47 ³	2.00 ³	N/D ³	N/D ³	N/D ⁴

522 **Notes:** ¹ Granulometry was obtained by sifting across indicated diameter mesh, and a proportion of silt of 72.2% and 76.7% was observed, in
523 Boisbriand and Saint-Roch-de-l'Achigan respectively, in the smallest fraction using a sedigraph. ² K_{sat} was measured in Boisbriand using a Guelph
524 Permeameter, on the field-edge (CF) of the riparian buffer strip. Other K_{sat} values were obtained by soil series from the literature ³Gagné et al. (2013),
525 ⁴MAPAQ (1990).

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- 720
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Montréal, October 12th 2016

Object: Publication submission to Journal of Hydrology - **Hydrological Heterogeneity in Agricultural Riparian Buffer Strips**

Dear editor,

Our team is pleased to submit an original research paper on runoff and groundwater spatio-temporal heterogeneity which may impact the effectiveness of grassy or shrub willow buffer strips against nutrients or pesticides runoff from fields, for consideration as an original research article in *the Journal of Hydrology*. This publication concerns how we may measure the effectiveness of narrow riparian buffer strips which are mandatory in several regions of the world. It covers aspects of surface hydrology (runoff) and groundwater hydrology, attempting to interpret how spatio-temporal heterogeneities may affect the way riparian buffer strips effectiveness is calculated based on pollutant concentrations before or after vegetated buffer strips..

While riparian buffer strips in agricultural settings have been studied for decades, our paper involves a novel vegetation design and improved methodologies. We tested the potential efficiency of fast growing willows which could generate energetic biomass for the farmers. Instead of trying to increase the buffer strip efficiency by widening it, like several other studies have done in the past, we tested the possibility of increasing its efficiency by establishing a denser plantation. In addition, many studies rely on controls that have little to do with actual agricultural practices (i.e. bare soil, cultivated grass, intensive maintenance, etc.), here we focused on ruderal vegetation spontaneously colonizing riparian areas, using the minimal maintenance that a farmer would most likely practice (mowing once a year). Our study attempts to sensitize riparian buffer scientists to the importance of correctly assessing surface and groundwater flow direction when calculating effectiveness to remove non-point source pollution. While several riparian buffer strip studies are conducted in hydrologically disturbed or controlled experimental parcels (partitions, trenches, artificial runoff or rain, etc.), our experimental design was intended to best depict natural hydrological heterogeneities.

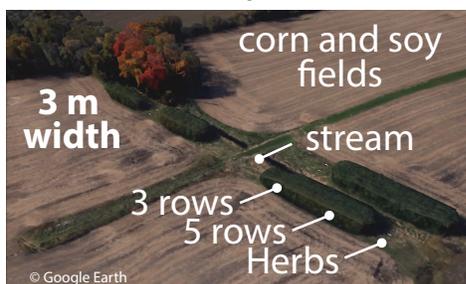
Depicting *real life* settings was essential for the current study, which aimed at testing a governmental policy in place in the province of Québec (Canada). Though our story takes place in Québec, Canada, the current research results are highly interesting to an international audience as farmers across the world need to dedicate efforts to water protection and because many jurisdictions settled on narrow buffer strips as a compromise between farmers losses of revenues and environmental protection, our biomass producing narrow buffer design represents an interesting approach.

Thank you for the consideration given to the current manuscript,

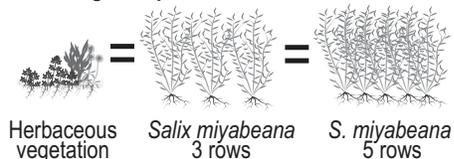
Louise Hénault-Ethier, PhD
Institut des Sciences de l'Environnement
Université du Québec à Montréal
Louisehenaultethier@hotmail.com
514-713-6839

Riparian buffer strips

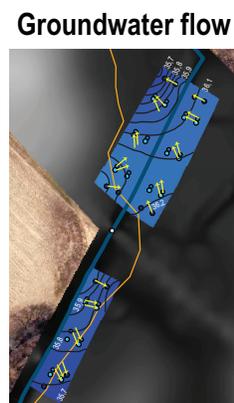
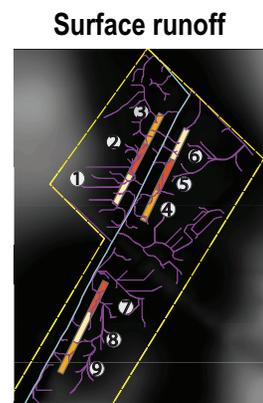
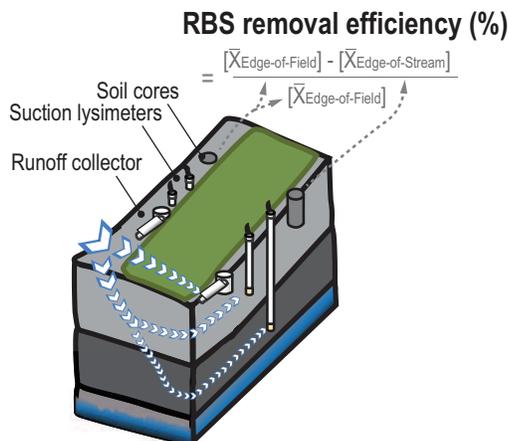
2 Experimental sites in Québec, Canada
 Saint-Roch: Dry, compact sandy loam
 Boisbriand: Humid, organic, nutrient rich



3 treatments with low efficiency to mitigate aqueous nutrients and herbicide

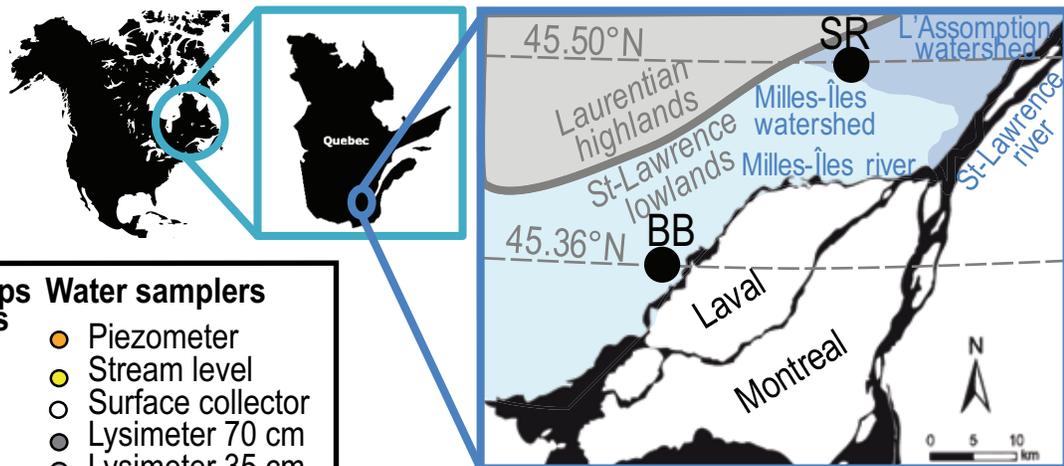


Surface and groundwater hydrology need consideration to assess efficiency

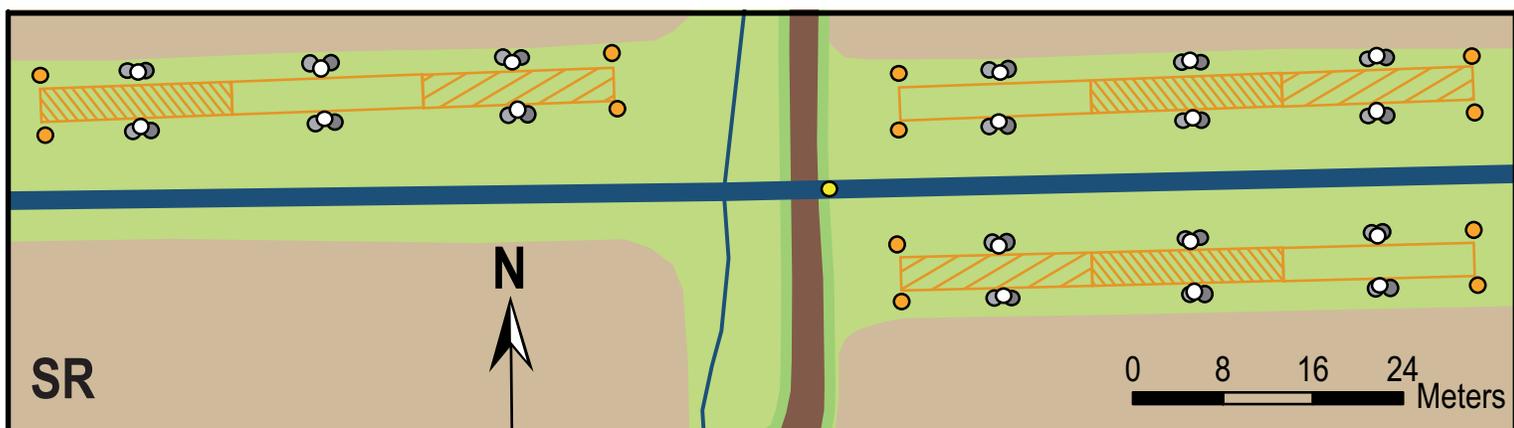
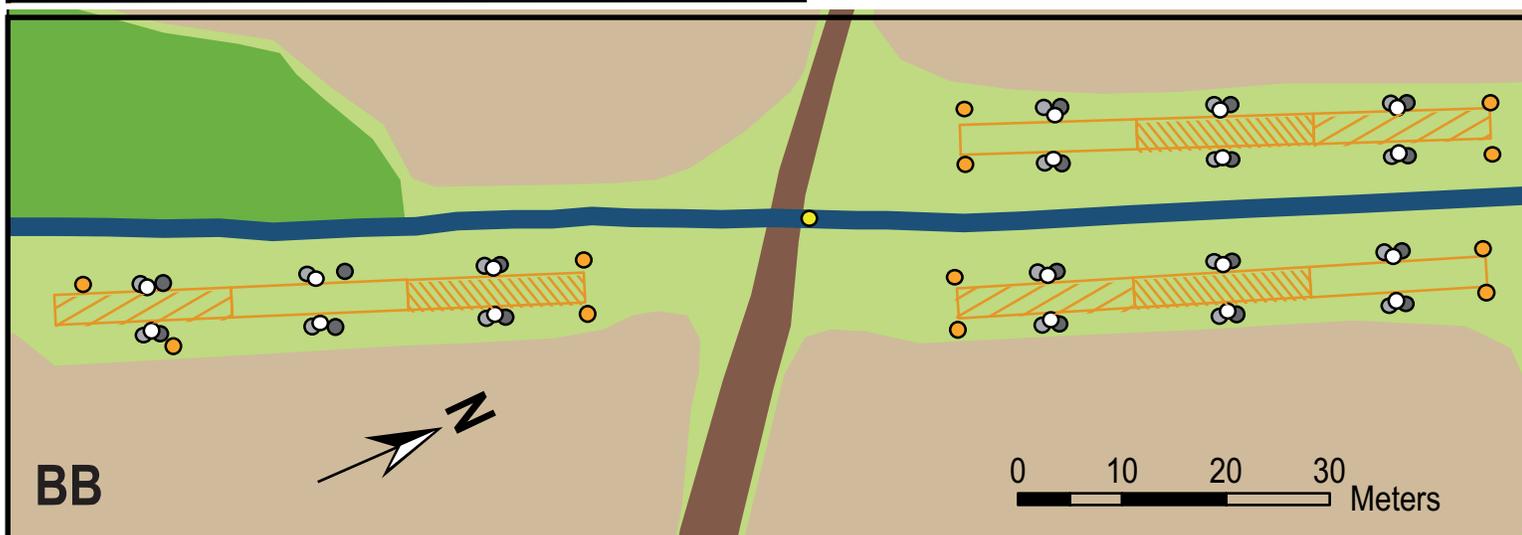
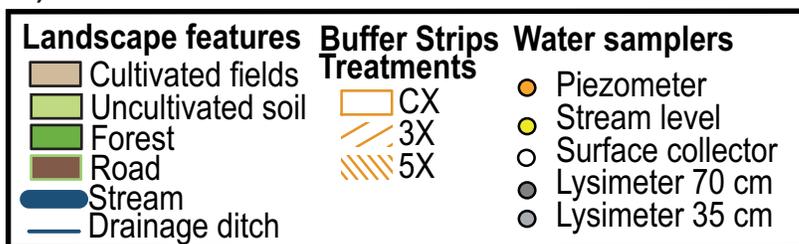


Figure

a) Localisation



b) Instrumentation



c) Landscape



Figure 2

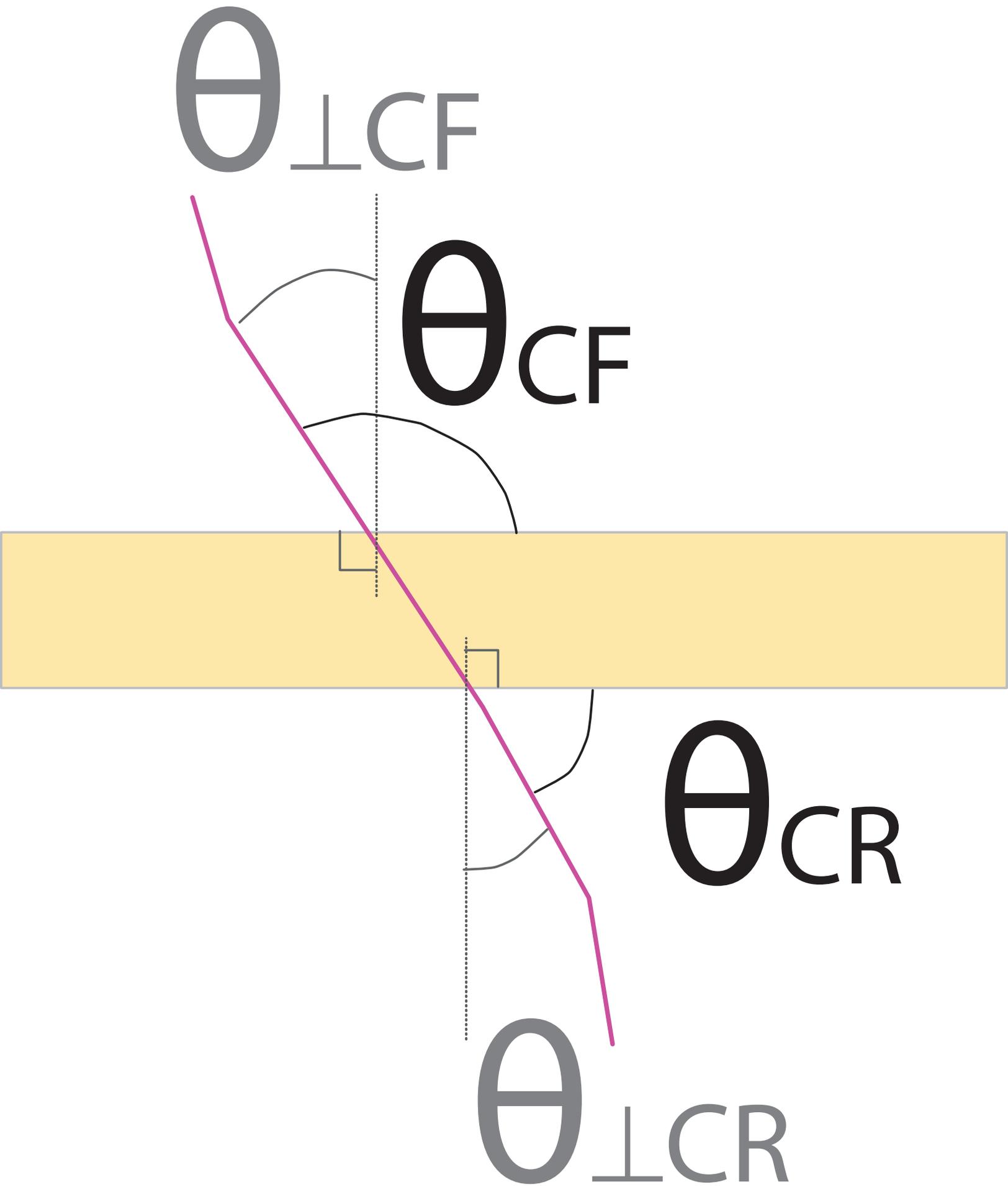


Figure 3

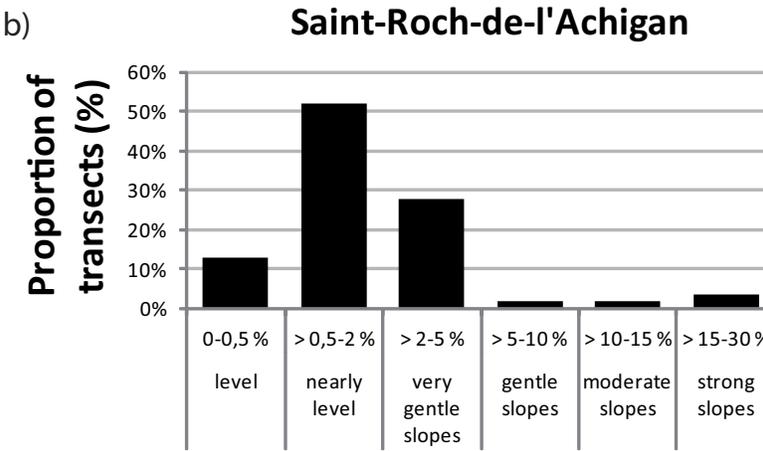
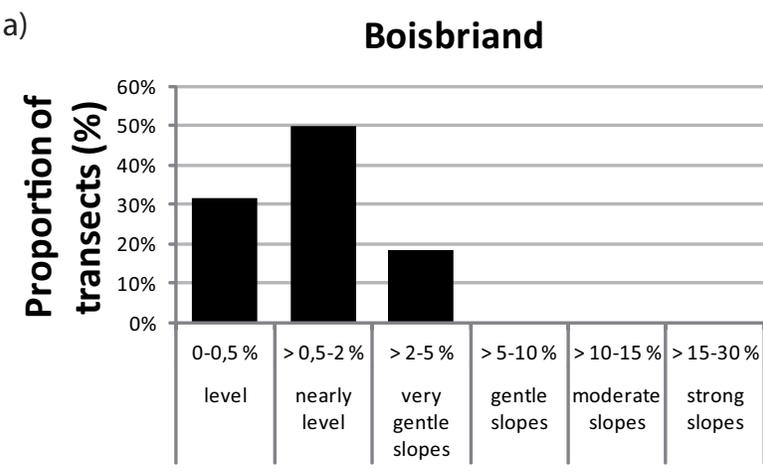
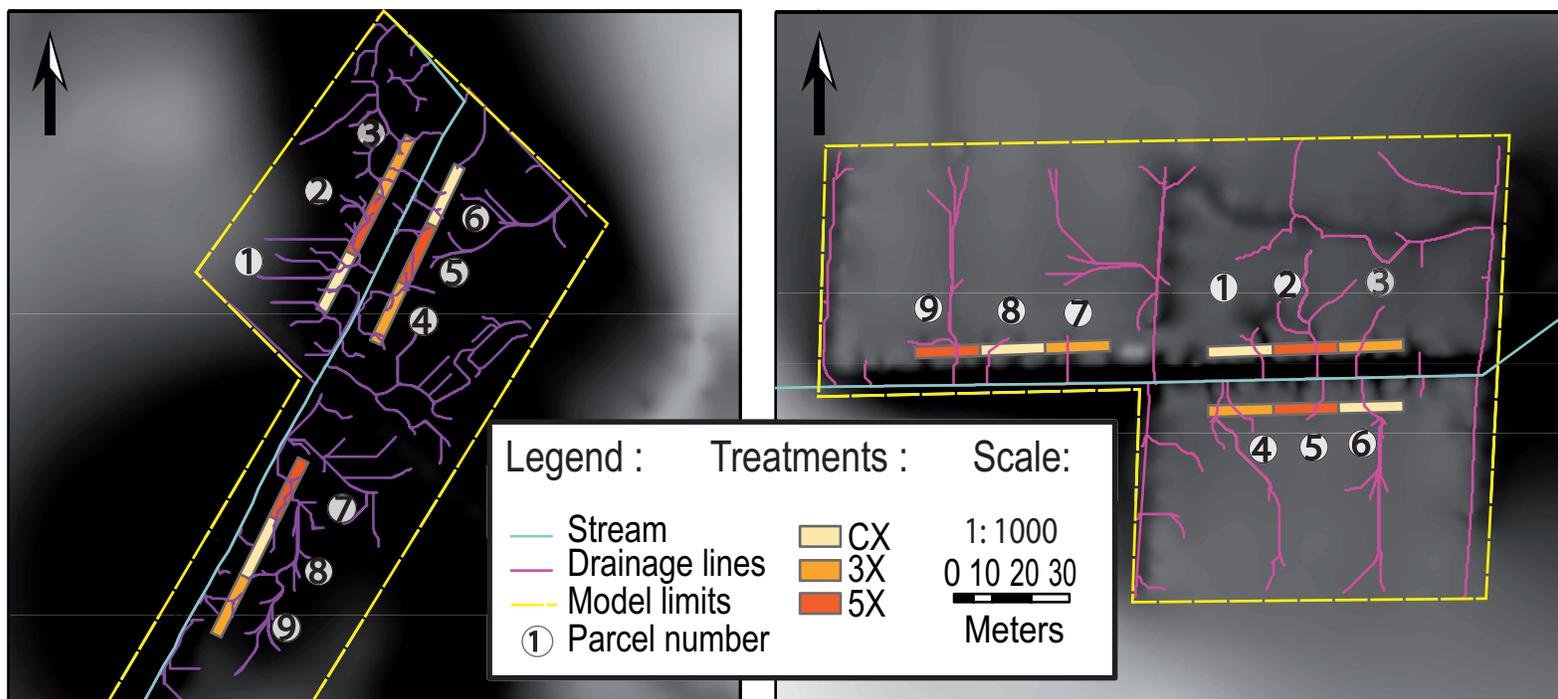


Figure 4



Boisbriand

Saint-Roch-de-l'Achigan

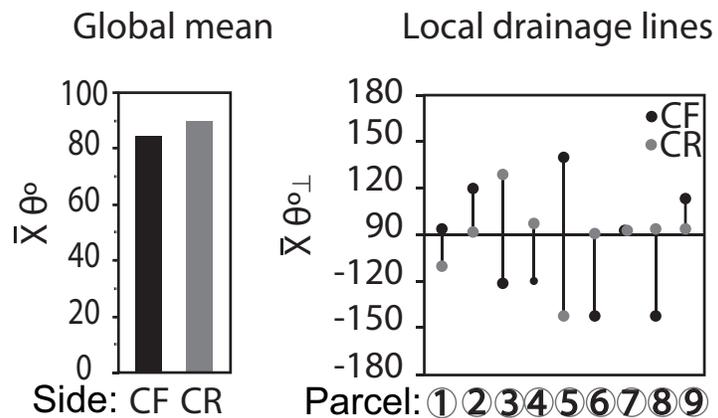
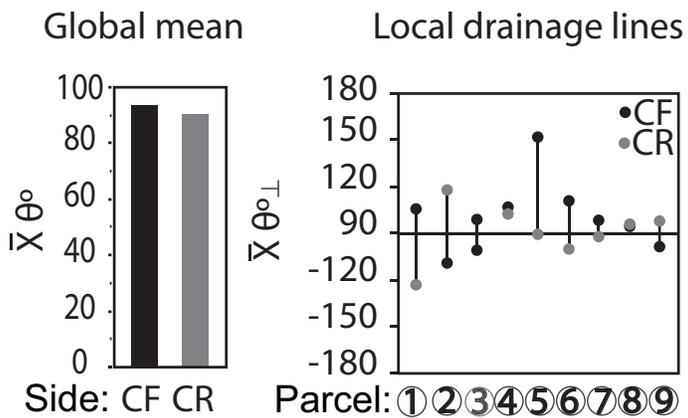


Figure 5

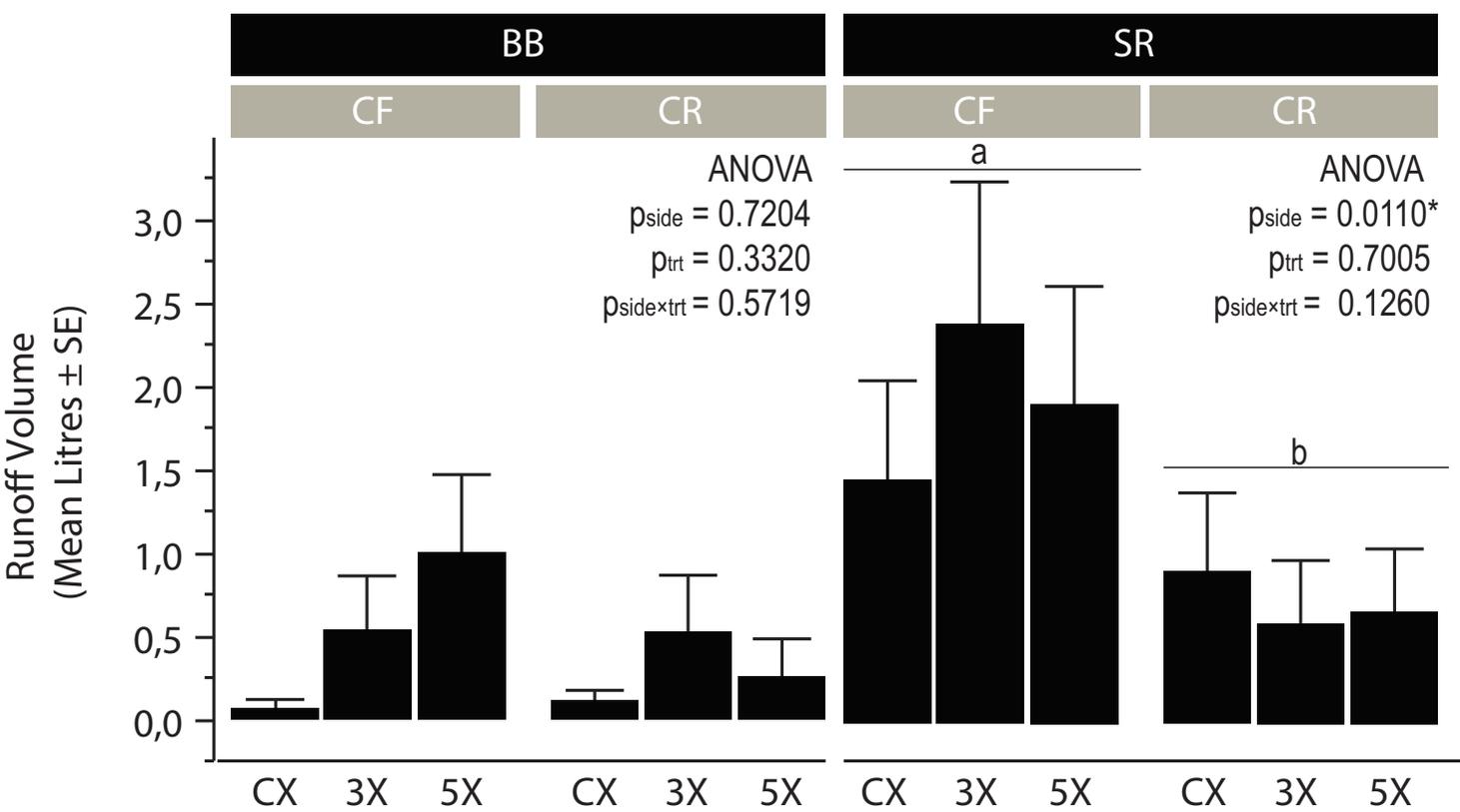


Figure 6

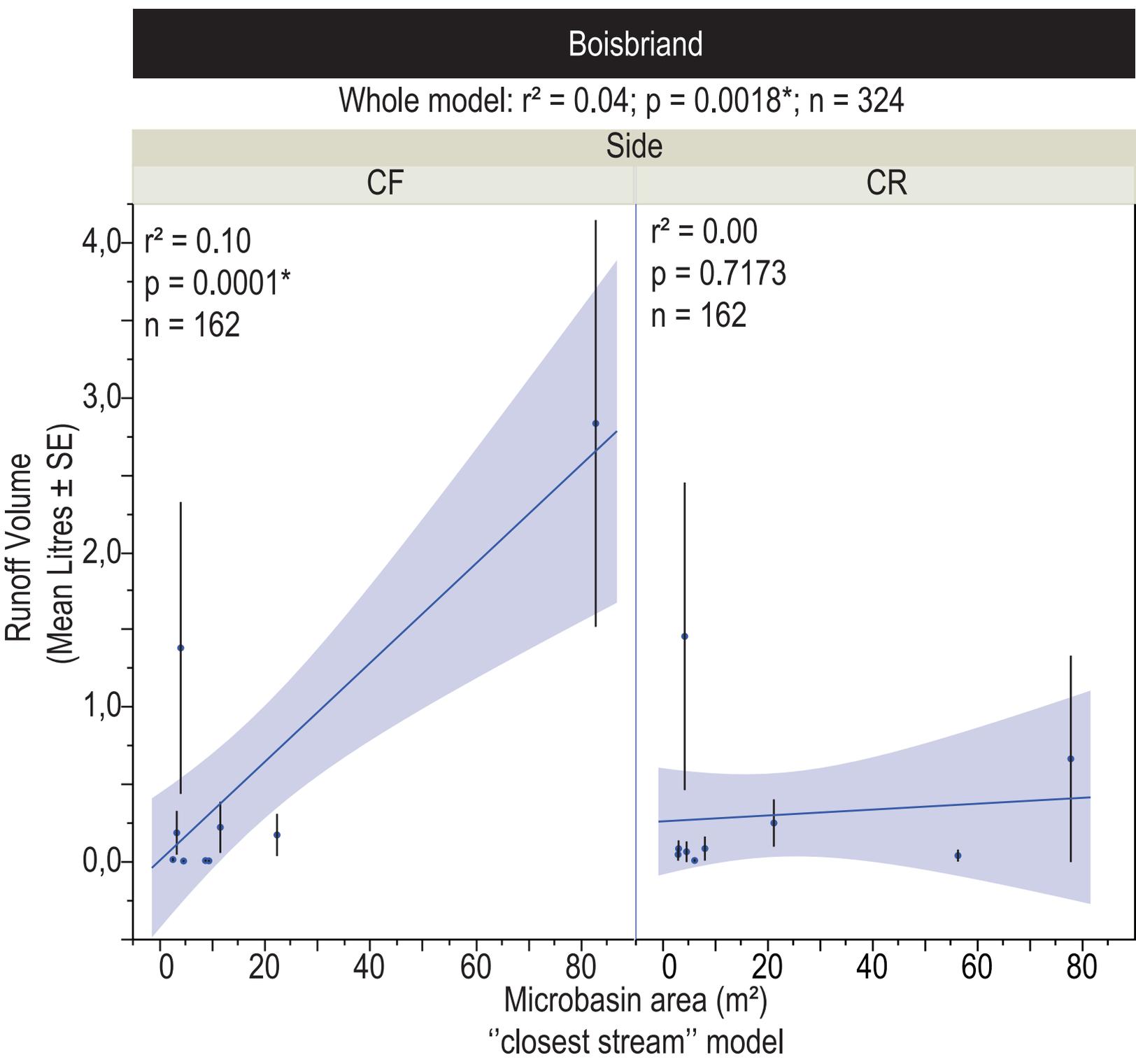


Figure 7a

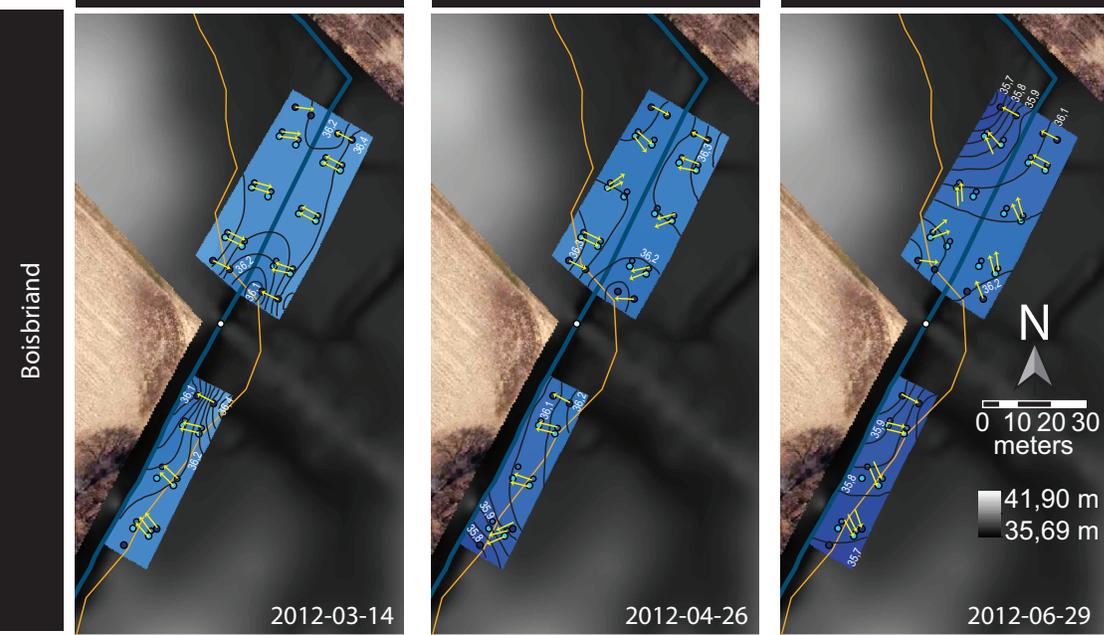
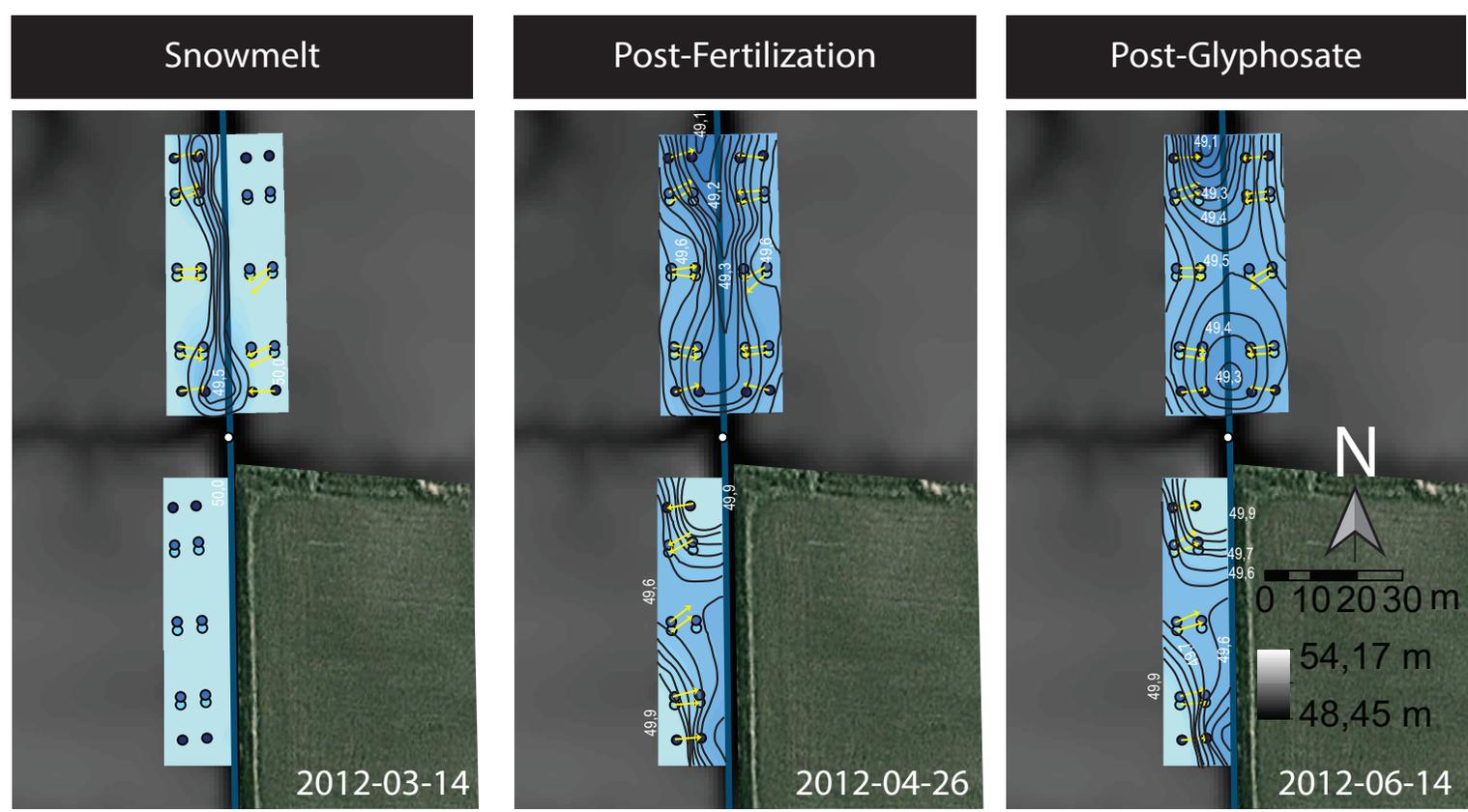


Figure 7b

Saint-Roch-de-l'Achigan



- Legend:**
- Flow paths
 - Streams
 - Streams (1930)
 - 35 cm lysimeters
 - 70 cm lysimeters
 - 200 cm piezometers
 - Stream level stations

- Water table z (m)**
- >49,25 - 49,31
 - >49,37 - 49,43
 - >49,49 - 49,55
 - >49,62 - 49,68
 - >49,74 - 49,80
 - >49,86 - 49,92

Supplementary Figure S1

[Click here to download Supplementary material for on-line publication only: Figure S1 Photo_aerienneBB_1930 vs 2013_1-3000_](#)

Supplementary Figure S2

[Click here to download Supplementary material for on-line publication only: Figure S2 RBS scales diagram.eps](#)

Supplementary Figure S3

[Click here to download Supplementary material for on-line publication only: Figure S3 Drainage lines at 3 different scales super](#)

Supplementary Figure S4

[Click here to download Supplementary material for on-line publication only: Figure S4 Drainage microbasins 4 models.eps](#)

Supplementary Figure S5

[Click here to download Supplementary material for on-line publication only: Figure S5 BB SR 3D stratigraphy.eps](#)

1 **Illustration captions**

2 **Figure 1:** (a) Location maps, (b) water sampling equipment of the Boisbriand (BB; left) and Saint-Roch-de-
3 l'Achigan (SR; right) sites in Quebec, Canada. (c) Satellite images showing the landscape. The stream
4 flows south-west in BB and east in SR.

5 **Figure 2:** Methodology for calculating the incidence angle of incoming runoff on the edge of field (CF) and
6 the edge of stream (CR).

7 Mean angle relative to buffer strip for all drainage lines is marked as θ_{side} . Mean deviation from
8 perpendicular for local drainage lines ($\theta_{\perp\text{side}}$). The CF or CR RBS edges were used to calculate the angle of
9 incidence (θ) or the angle relative to a perpendicular flow line crossing the buffer strip θ_{\perp}

10 **Figure 3:** Distribution of slopes for (a) Boisbriand and (b) Saint-Roch-de-l'Achigan.

11 For each site, 54 transects (4 m) were measured for slope both across the buffer strip and just before the
12 buffer strip. Slopes greater than 5% at Saint-Roch-de-l'Achigan suggest preferential runoff flow paths (scale
13 1:1000).

14 **Figure 4:** Surface runoff incidence angle in Boisbriand and Saint-Roch-de-l'Achigan based on the proximal
15 field scale drainage lines. No significant difference in runoff incidence angle for side (CF vs CR), treatment
16 (CX, 3X, 5X), geographic quadrant (NE, SE, SW) or site (BB, SR) for mean θ but θ_{\perp} varies for each parcel.
17 There was no significant difference (ANOVA) between side and treatment on the overall runoff incidence
18 angle (θ) (histograms), and though there is local variability in each parcel relative to the perpendicular
19 transects across the buffer strip (θ_{\perp}) (needle diagram), there was no significant difference which could be
20 linked with side or treatment (testing for ranks on paired data). This means that globally, the incoming
21 runoff crosses the buffer strip in a perpendicular fashion ($\sim 90^\circ$), but on a local scale incoming (CF) and
22 exiting (CR) preferential surface flows may enter and exit the buffer test parcels at variable angles.

23 **Figure 5:** Average runoff volume collected in 2011 on two sites (BB vs SR), two sides (CF vs CR) and
24 three treatments (CX, 3X, 5X).

25 **Figure 6:** Average runoff collected in 2011 in Boisbriand, before (CF) or after (CR) the buffer strip, in
26 relation to the size of the source microbasin area calculated from the "closest stream" model.

27 **Figure 7:** (a) Boisbriand: Water table altitude (blue scale) during characteristic agricultural sampling periods
28 within the contextual field surface elevation (black and white scale).

29 The water table is highest at snowmelt on both sites, and lowest post-glyphosate. Amplitude of the phreatic
30 water table vertical movement is approximately 85 cm in BB and 75cm in SR from the spring to summer. In
31 BB, spring water table flows towards the stream, and resurgence zones were observed east of the stream
32 water level station. In dryer months, there is a reversal of groundwater flows and the stream appears to
33 feed the phreatic water table with water flowing towards the north for the eastern parcels and flowing
34 towards the east in the south-western parcels. In these moments, water seems to deviate from the current
35 stream position, perhaps under the geological influence of the stream bed prior to linearization (1930). In
36 SR, the groundwater appears disconnected from the stream, and no flow reversal occur in the dryer
37 months. Furthermore, note that the ground appears totally saturated with water in the spring and no flow
38 direction could be discerned in half of the stations based on water table altitude isobars (water assumed to
39 flow perpendicularly to them).

40 **Figure 7:** (b) Saint-Roch-de-l'Achigan: Water table altitude (blue scale) during characteristic agricultural
41 sampling periods within the contextual field surface elevation (black and white scale).

42 **Figure S1:** Important landmarks in Boisbriand during the experimental time versus their historical
43 positioning in 1930.

44 **Figure S2:** RBS scales and sub-scales.

45 **Figure S3:** Drainage lines in Boisbriand (left) and Saint-Roch-de-l'Achigan (right) at three different scales:
46 RBS (green), proximal (purple) and regional or field scale (blue). Drainage lines nearly overlap at the three

47 scales, though smaller unconnected lines are visible at the RBS scale and only major drainage lines are
48 visible at the field scale. For further analysis, only the proximal scale is used.

49 **Figure S4:** Drainage basin surface area models schematic representations. (1) Basins (Catchment) in light
50 blue; (2) Nearest stream (drainage points is black dot placed on closest drainage line); (3) Affiliated basins
51 (BB Only, CF in dark blue and CR in light blue) and (4) Drainage points to nearest rock chute (SR Only,
52 small black dots). Figures are presented side by side to avoid overcrowding of information.

53 **Figure S5:** Three dimensional stratigraphic models of Boisbriand (top) and Saint-Roch-de-l'Achigan
54 (bottom).

55 Transects G-G' and J-J' are located on the edge-of-field; transects H-H' and I-I' are located on the edge-of-
56 stream, and both sets of transects are separated by 3 m. Transects A-A', B-B' and C-C'; as well as D-D', E-
57 E' and F-F' are separated by 17 m and are located at mid-point of each riparian buffer treatment parcels.
58 The 0, 35 and 70 cm water sampling equipment is situated near the intersection of perpendicular transects.
59 Note that depth (Z axis) is magnified by a factor of 10X to facilitate discernment of stratigraphic layers.

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