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ÉLECTRODEPOSITION DE PEROVSKITES AUX HALOGENURES DE PLOMB

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PRÉSENTÉ

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DEDICATED

To my beloved family.

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ABBREVIATIONS

MA: methylammonium FA: formamidinium MAI: methylammonium iodide MABr: methylammonium bromide MACl: methylammonium chloride FAI: formamidinium iodide FABr: formamidinium bromide FACl: formamidinium chloride MAPbX3: methylammonium lead halide MAPbI3: methylammonium lead iodide MAPbBr3: methylammonium lead bromide MAPbCl3: methylammonium lead chloride CsPbX3: cesium lead halide CsPb(XY)3: cesium lead mixed-halide perovskites FAPbI3: formamidinium lead iodide FAPbBr3: formamidinium lead bromide FAPbCl3: formamidinium lead chloride DMSO: dimethyl sulfoxide H2O: water FTO: fluorine-doped tin oxide ITO: indium tin oxide OAI: octylammonium iodide HCOO[−] : formate PEAI: phenylethylammonium iodide DCM: Dichloromethane BQ: benzoquinone Fc: ferrocene TBAPF6: tetrabutylammonium hexafluorophosphate TBA⁺ : tetrabutylammonium LHP: lead halide perovskites PCE: power conversion efficiency

- ETL: electron transfer layer
- HTL: hole transport layer
- ETM: electron transport materials
- HTM: hole transport materials
- OER: oxygen evolution reaction
- HER: hydrogen evolution reaction
- ED: Electrodeposition
- CV: cyclic voltammetry
- LSV: linear sweep voltammetry
- EIS: electrochemical impedance spectroscopy
- VB: valence band
- CB: conduction band
- VBM: valence band maximum
- CBM: conduction band minimum
- $E_{(CB)}$: conduction band energy level
- $E_{(VB)}$: valence band energy level
- *I*ph: photocurrent
- XRD: X-ray diffraction
- SEM: scanning electron microscopy
- PL: photoluminescence
- UV: ultraviolet
- e : electron
- h + : hole
- LED: light-emitting diode
- *E*g: band gap

LIST OF SYMBOLS AND UNITS

eV : electron volt

V: voltage (volt)

A: ampere

*μ*A: microampere

t: time

min: minute

s: second

cm: centimetre

cm²: square centimetre

m²: square metre

*μ*m: micrometer

nm: nanometer

pm: picometre

M: molar concentration

mM: millimolar concentration

A: absorbance

a.u.: absorbance unit

°C: degree Celsius

i: current density (A/m²)

E: potential (volt)

C: concentration

*E*c: conduction band energy (eV)

*E*v: valence band energy (eV)

Ω: ohm

RÉSUMÉ

L'essor des pérovskites aux halogénures de plomb (LHP) a suscité de nombreux intérêts dans les applications photovoltaïques et optoélectroniques en raison de leurs propriétés importantes, telles que la mobilité élevée des porteurs de charge et la longue longueur de diffusion des porteurs. Le rendement de conversion d'énergie (PCE) des cellules solaires à pérovskites a été grandement améliorée à 25%, ce qui est compétitif par rapport aux records commerciaux du silicium. Un autre avantage des LHP est leur synthèse facile, et diverses techniques peuvent être utilisées pour synthétiser des matériaux pérovskites, notamment le dépôt par centrifugation, le dépôt sous vide/assisté par vapeur, etc. Même si la synthèse des LHP a fait des progrès au cours des 20 dernières années, les inconvénients des techniques ci-dessus limitent encore leur mise à l'échelle pour des applications commerciales. Ici, nous avons développé une méthode générale pour synthétiser les LHP de manière simple et susceptible d'être étendue à faible coût. Tout d'abord, dioxyde de plomb (PbO2) a été électrodéposé et converti en films d'halogénure de plomb (PbX₂, X = Cl, Br, I) sur un substrat FTO/verre. Deuxièmement, PbX₂ a été plongé dans une solution d'halogénure de méthylammonium (MAX ou CH₃NH₃X) et d'halogénure de césium (CsX) pour être converti en MAPbX₃ (CH₃NH₃PbX₃) et CsPbX₃, respectivement. Pendant ce temps, PbX₂ a également été plongé dans une solution de CsY (Y = Cl, Br, I) pour former une pérovskite inorganique à halogénures mixtes, CsPb(XY)3. La diffraction des rayons X (XRD), la microscopie électronique à balayage (MEB) et la spectroscopie d'absorbance ont utilisées pour confirmer la conversion chimique en deux étapes de PbO₂ en PbX₂ suivie de leur conversion en MAPbX₃, CsPbX₃ et CsPb(XY)₃, respectivement. Il a été constaté que MAPbBr₃, MAPbCl₃, CsPbCl₃ et CsPb(ICl)₃ et CsPb(ClBr)₃ étaient formés en phase cubique, MAPbl₃ en phase tétragonale, CsPbBr₃ CsPbI₃, CsPb(IBr)₃ en phase orthorhombique. Parallèlement, la réponse au photocourant de MAPbX₃ et CsPb(XY)₃ a été déterminée dans une cellule photoélectrochimique à jonction liquide (PEC), et la stabilité électrochimique de CsPbX₃ a également été étudiée et a montré une grande fenêtre de stabilité. En plus de la conversion en deux étapes vers les LHP, une conversion en une étape directement du PbO₂ en MAPbX₃ a été réalisée en utilisant les mêmes conditions de conversion, et les films MAPbX₃ obtenus avaient une grande taille de grain. Pendant ce temps, le film MAPbI³ a montré un photocourant amélioré par rapport à celui synthétisé par conversion en deux étapes, ce qui a fourni une voie plus efficace vers des pérovskites MAPbX₃ de haute qualité.

Ce travail étudie la conversion en deux étapes et en une étape du PbO₂ électrodéposé en MAPbX₃ hybride, et présente le premier exemple de transformation chimique du PbO₂ électrodéposé en PbX₂ pour former des pérovskites inorganiques CsPbX₃ et CsPb (XY)₃ à large bande interdite. Les résultats révèlent leur structure cristalline, leur morphologie de film et leurs propriétés optoélectroniques pour le développement de LHP efficaces et à plus grande échelle.

Mots clés : Électrodéposition, conversion chimique, pérovskites aux halogénures de plomb, pérovskites à halogénures mixtes, cellule photoélectrochimique liquide.

ABSTRACT

The rise of lead halide perovskites (LHPs) has ignited numerous interests in photovoltaic and optoelectronic applications due to their prominent properties, such as high charge carrier mobility and long carrier diffusion length. The power conversion efficiency (PCE) of perovskite solar cells has passed 25%, competitive with silicon commercial records. Another advantage of LHPs is their easy synthesis, and various techniques can be used to synthesize perovskite materials, including spincoating, vacuum/vapor-assisted deposition, etc. Even though the synthesis of LHPs has made progress in the last 20 years, the drawbacks of the above techniques still limit their scale-up for commercial applications. Herein, we developed a general method to synthesize LHPs in a facile way that can be able to scale up at a low cost. First, lead dioxide (PbO₂) was electrodeposited on an FTO/glass substrate and converted to lead halide (PbX₂, X = Cl, Br, I) films in situ. Second, PbX₂ films were dipped in methylammonium halide (MAX or CH3NH3X) and cesium halide (CsX) solutions to convert to MAPbX₃ (CH₃NH₃PbX₃) and CsPbX₃, respectively. Meanwhile, PbX₂ was also dipped in CsY $(Y = CI, Br, I)$ solution to form inorganic mixed-halide perovskite, CsPb(XY)₃. X-ray diffraction (XRD), scanning electron microscopy (SEM) and absorbance spectroscopy were used to confirm the twostep chemical conversion of PbO₂ to PbX₂ then to MAPbX₃, CsPbX₃ and CsPb(XY)₃, respectively. It was found that MAPBr₃, MAPbCl₃, CsPbCl₃, CsPb(ICl)₃ and CsPb(ClBr)₃ adopted a cubic phase, MAPbl₃ in tetragonal phase, CsPbBr3, CsPbI3, and CsPb(IBr)³ in orthorhombic phase. Meanwhile, the photocurrent response of MAPbI₃ and CsPb(XY)₃ films were determined in a liquid junction photoelectrochemical (PEC) cell, and the electrochemical stability of CsPbX₃ was also studied to show a wide stability window. In addition to the two-step conversion toward LHPs, one-step conversion directly from PbO₂ to MAPbX₃ in situ was achieved using the same conversion condition, and the obtained MAPbX₃ films had a large grain size. Meanwhile, MAPbI₃ film showed an improved photocurrent compared with that synthesized by two-step conversion, which provide a more effective route toward high-quality MAPbX₃ perovskites.

This work studies the two-step and one-step conversion of electrodeposited PbO₂ to hybrid MAPbX₃, as well as presents the first example of the chemical transformation of electrodeposited PbO₂ to PbX₂ to form wide bandgap CsPbX₃ and CsPb(XY)₃ inorganic perovskites. The results reveal their crystal structure, film morphology and optoelectronic properties for the development of efficient and scaling-up LHPs.

Keywords: Electrodeposition, Chemical conversion, Lead halide perovskites, mixed-halide perovskites, liquid photoelectrochemical cell.

CHAPTER 1

Lead halide perovskites

As a light absorbing semiconductor, lead halide perovskites (LHPs) were first used in a liquidsolid junction solar cell in 2009 with a power conversion efficiency (PCE) of 3.8%, and the efficiency has jumped to 25.2% recently in a solid-state solar cell as shown in Figure 1.1, which is close to that of the champion silicon solar cells (26.7%) [1, 2]. LHPs have outstanding optoelectronic properties, such as high charge carrier mobility, long carrier diffusion length, high photoluminescence quantum yields as well as simple process for the device fabrication, making it one of the most attracting semiconductors in photovoltaics and optoelectronics in the last decade[3, 4].

Figure 1.1 The progress of efficiency in perovskite solar cells (adapted from ref. [2]).

1.1 Composition and structure of LHP

LHP structure has a general chemical formula of ABX₃, where A is an organic or inorganic cation such as methylammonium (CH₃NH₃⁺, MA⁺), formamidinium (CH(NH₂)⁺, FA⁺) and cesium (Cs⁺), B is a metallic cation such as lead (Pb²⁺) and tin (Sn²⁺), X is a halide anion from chloride (Cl⁻) to

bromide (Br⁻) and iodide (I⁻). The ideal 3D crystal structure of LHP consists of $[BX_6]^{4-}$ octahedron and A at the center of the cube formed by eight $[BX_6]^{4}$ octahedrons, as shown in Figure 1.2. In addition to the 3D structure, LHP also extends to other different dimensions, such as 0D nanoparticles[5], 1D nanowires[6], 2D nanosheets[7], single crystals[8], and thin films[9].

Figure 1.2 3D crystal structure of LHP (adapted from Ref. [10]).

Goldschmidt's tolerance factor and octahedral factor are usually used to predict the stability of a perovskite structure, as shown in equation 1.1 and 1.2.

$$
t = \frac{R_A + R_X}{\sqrt{2(R_B + R_X)}} \qquad (1.1)
$$

$$
\mu = \frac{R_B}{R_X} \qquad (1.2)
$$

where *t* is Goldschmidt's tolerance factor, μ is octahedral factor, R_A , R_B and R_X are the effective radii of the ions A, B and X, respectively. For LHP, *t* ranges from 0.81 to 1.11 while *µ* from 0.44 to 0.90 for a stable perovskite structure, as shown in Figure 1.3[11]. If the size of A (e.g. MA⁺ with a radius of 217 pm) is small, it would make t between 0.89 and 1.0 to form a cubic structure, while the less symmetric tetragonal or orthorhombic structure will be formed with *t* less than 0.89[12]. On the other hand, if the size of A is large (e.g. tetrabutylammonium (TBA⁺) with a radius of 494 pm), *t* would be greater than 1 to form a 1D or 2D structure[13, 14]. Meanwhile, *µ* with a value between 0.44 and 0.90 determines the stability of the $[BX_6]^{4-}$ octahedron and thus the perovskite structure[15]. The size of B (e.g., Pb^{2+} with a radius of 119 pm) usually does not have a significant effect on *t* compared with that of A, while the size of X can effectively affect the crystal structure of perovskites. For example, the crystal structure of $MAPbX₃$ changes from tetragonal for MAPbI₃ (I⁻ with a radius of 220 pm) to cubic for MAPbBr₃ and MAPbCI₃ (Br⁻, Cl⁻

with a radius of 196 pm, 181 pm, respectively)[8]. Due to the high tunability in composition and structure, LHPs show versatile applications beyond photovoltaics, including LEDs, photodetectors and lasers[16-18].

Figure 1.3 Calculated tolerance and octahedral factors for hybrid and inorganic perovskites (adapted from Ref. [11]).

In addition to the ionic radius, temperature is another key factor that affects the perovskite crystal structure. Table 1.1 summarizes the structural transitions of LHPs as a function of temperature. As we can see, LHP crystals adopt a cubic phase at high temperature, which distorts to a tetragonal phase followed by a further change to an orthorhombic phase with decreasing temperature[19-21]. The crystal structure of hybrid perovskites is far more complicated than inorganic perovskite or other conventional semiconductors such as Si, CdTe and GaAs, due to the asymmetric organic cation (MA⁺, FA⁺) with a permanent dipole located in the corner-shared linkage of the $[BX_6]^4$ octahedron cage. The vibration of this linkage as well as the vibration, orientation and rotation of the organic cation render the structure sensitive to

temperature, showing a lower temperature for structural transition[22]. Unlike hybrid perovskite, metallic cation (Cs⁺) doesn't have rotational molecular disorder in the A site, and the structural transition of inorganic perovskite is caused by the flexibility associated with the $[{\sf BX_6}]^{4-}$ octahedra network[23].

1.2 Bandgap energy (*E*g) of LHP

LHPs are, in most case, direct-bandgap semiconductors with an *E*^g spanning across the visible spectrum, and a high photoluminescence quantum yield, e.g. over 90% for surface-modified MAPbI³ thin films. It has been reported that *E*^g decreases with increasing crystal symmetry for the same ABX³ composition, namely orthorhombic > tetragonal > cubic. Meanwhile, *E*^g increases with an increase in the electronegativity of B and X, and there is no obvious trend regarding to the effect of A, as shown in Figure 1.4[24, 25].

Figure 1.4 The calculated E_g of LHP (adapted from Ref. [25]).

For LHPs, the valence band maximum (VBM) consists of the antibonding combination of B's *s* orbitals and X's *p* orbitals, while the conduction band minimum (CBM) is constituted of B's *p* orbitals plus a residual contribution of X's *s* orbitals[26]. A cation can only indirectly affect the frontier orbitals as its molecular orbitals are far away from the VBM. Substituting MA⁺ in cubic MAPbI₃ with larger FA⁺ results in structural distortions to narrow $E_{\rm g}$ by \sim 0.1 eV for FAPbI₃, or with smaller Cs⁺ to increase E_g by ~0.2 eV for CsPbI₃[23, 26]. Substitution of the B site can directly affect the conduction band. For example, the replacement of Pb²⁺ with Sn²⁺ in cubic ABI₃ (A = MA⁺) reduces the band gap by ∼0.3 eV due to a slight downward shift of the CBM due to the *5p* orbital of Sn compared with the *6p* orbital of Pb. Since the X anion dominates the valence bands position, its substitution can drastically affect VBM. With X going from I to Br to Cl, the valence band composition changes from *5p* to *4p* to *3p*, resulting in an increase in *E*g, e.g. 1.5 eV, 2.1 eV, and 2.7 eV for cubic MAPbI₃, MAPbBr₃, and MAPbCI₃, respectively[23, 27].

1.3 Stability and degradation of LHP

Based on the ionic composition, LHPs are generally classified as hybrid and all-inorganic perovskites. In hybrid LHPs, the framework structure leads to an intrinsic softness and dynamic

disorder. The A-site organic cation (MA⁺ and FA⁺) is larger than the B-site cation and has the ability to rearrange the crystal structure via van der Waals interactions and hydrogen bonding[5, 6, 28]. As a result, the structural symmetry of the perovskite increases compared with its inorganic counterpart. However, due the volatile nature of the organic cation, the weak linkage between the organic cation and the $[PbX_6]^{4}$ octahedron can induce the decomposition of the perovskite and promote the transition to a non-perovskite structure[29]. Additionally, the relatively weak Pb-X bond forms the perovskite lattice, which can lead structural or compositional changes even due to modest environmental stress. By dissociating the interaction between the organic cation and lead halide framework, moisture and oxygen in air are believed to be the major case of the degradation of hybrid LHPs. Both moisture and oxygen can penetrate through grain boundaries to decompose the entire perovskite crystal in several days under ambient conditions. In addition, heat can also case the self-decomposition of perovskites, and the volatilized organic cation can escape through the grain boundaries. Take the most popular perovskite, MAPbI₃ as an example, which can decompose into PbI₂, CH₃NH₂ and other accompanying products following exposure to moisture, oxygen, heat and light, as shown in Figure 1.5[30]. The intrinsic instability of hybrid perovskites is a major challenge during perovskite fabrication and device operation[31, 32]. Although significant stability improvements have been realized through several strategies such as optimization of film morphology, substitution of the A-site with long-chain organic cations, surface modification and encapsulation, thermal stability still remains a major issue for hybrid perovskite due to the highly volatile nature of organic species at elevated temperature, e.g. 85 °C for MAPbI₃[33]. Thermal stability is critical for perovskite photovoltaics, especially those with intense illumination causing the localized heating of the photoactive film. On the other hand, inorganic perovskites such as CsPbX³ have superior chemical and thermal stability compared to hybrid perovskites due to strong ionic bonding[34]. For example, thermal decomposition of CsPbI³ occurs at a much higher temperature of $450 °C[35]$.

Figure 1.5 The decomposition route of MAPbI₃ perovskite (adapted from Ref. [30]).

Compared to the degradation by other environmental stresses, light-induced degradation under solar irradiation is more severe during perovskite device operation[36]. Photodissociation is closely related with heat induced by solar irradiation. The heat causes expansion and distortion of the lattice to promote ion migration away from their original sites. Ions such as I⁻ in LHP can migrate via structural defects, grain boundaries and interfaces. In addition, heat and light illumination can reduce the ion migration activation energy of the bound ions (e.g., MA⁺ and I⁻) and drive ion migration. Ion migration can also be driven by the built-in electric field due to the accumulated charges (e.g., electrons in the electron transport layer (ETL) and holes in the hole transport layer (HTL)) in the perovskite device[37]. The result of ion migration leads to compositional segregation and decomposition, which reduces the long-term stability of perovskites[8].

1.4 Preparation of LHPs

LHPs have been found to be defect tolerant as shown in Figure 1.6, which enables perovskite to grow by simple solution and vapor-based processes at low temperature (typically 100 - 150 °C) while achieving high efficiency. This tolerance can be attributed to the presence of Pb²⁺ (or Sn²⁺), because of 1) the strong spin-orbital coupling of Pb²⁺ leads to a smaller E_g for shallow trap formation, and 2) the hybridization of the s/p orbitals of Pb²⁺'s with the p orbital of I forms a conductive electronic structure for shallow traps. In addition, the high dielectric constants of LHPs leads to lower capture cross-sections of charged defects. All of these effects together cause low non-radiative recombination rates despite the presence of high densities of defects during perovskite preparation[38].

Figure 1.6 Illustration of the electronic structure of defect sensitive (left) and defect tolerant (right) for LHP (adapted from Ref. [38]).

The two main methods for the preparation of perovskite films are solution processing and vapor-assisted processing, as shown in Figure 1.7. Solution processing is categorized into onestep and two-step deposition, which is a relatively facile approach with a low manufacturing cost. However, the film quality obtained from this approach is typically not satisfactory due to the presence of pinholes across the whole film[39]. Vapor-assisted processes include thermal vapor deposition and vapor-assisted solution deposition. Especially the latter technique slows nucleation and enables vigorous reorganization during film growth, leading to a full-coverage of the perovskite film that contains large grain sizes up to microscale[40, 41]. A brief description of

each deposition method will be discussed in the following subsection.

Figure 1.7 Illustration of perovskite film preparation (a) one-step deposition, (b) two-step deposition, (c) thermal vapor deposition and (d) vapor-assisted solution deposition (adapted from Ref. [42]).

1.4.1 One-step deposition

One-step deposition is commonly used to prepare perovskite films because of the facile and cheap nature, which includes the supersaturation of the precursor solution in polar solvents, the formation of nuclei and crystal growth. The one-step method usually uses a mixture of two precursor components such as MAI and PbI₂ as shown in Fig. 1.7a and equation 1.3, followed by thermal annealing (e.g. 100 \degree C for MAPbI₃) to passivate defects or eliminate surface impurities. This can also be accompanied with the unexpected creation of disconnected grains that impact the efficiency. To address this issue, Qi et al. found that post-annealing with methylamine greatly reduces impurities and promotes continuity between adjacent grains[43-45]. On the other hand, a rapid evaporation of the solvent can be achieved with the assistance of anti-solvents or vacuum, but the fast nucleation rate results in uncontrolled crystallization or small grain size which reduce the efficiency and stability of the perovskite. Though the one-step deposition serves as a feasible fabrication technique, it is difficult to control film properties such as film morphology and uniformity using this method.

 $MAI + PbI₂ \rightarrow MAPbl₃$ (1.3)

1.4.2 Two-step deposition

To further control perovskite film morphology, a two-step deposition process was developed. In two-step deposition, PbX_2 solution is deposited via spin-coating, and then the coated substrate is spin-coated with or immersed in another precursor (e.g. MAI) solution followed by an annealing process, as shown in Fig. 1.7b. Though the two-step method can achieve high-quality perovskite film with full coverage and large grain size, the incomplete conversion to perovskite may be an issue because the converted compact perovskite in the top layer hinders the movement of MAI to bottom PbI₂ layer. Several additives like DMSO or H₂O can lead to an amorphous PbI₂ film due to their coordination effect with Pb²⁺ to slow the crystallization of PbI₂, which accelerates the complete conversion of perovskites.

The one-step and two-step deposition processes usually involve spin-coating, which is not suitable for the large-scale preparation of perovskites. Thus, the above-mentioned methods are used for fundamental investigation of perovskites in the laboratory. Other methods such as electrodeposition and ink-jet printing could be useful for large-scale perovskite fabrication[46, 47].

1.4.3 Thermal vapor deposition

Vapor deposition is generally carried out by a vacuum process. For example, MAX and PbX $_2$ are deposited simultaneously or sequentially through thermal evaporation. Liu et al. used a dualsource simultaneous evaporation system to deposit MAPbI $_3$ film in a nitrogen glove box as shown in Fig. 1.7c, which led to a uniform perovskite film with total coverage of the substrate surface. However, it is difficulty to control the deposition rate using the simultaneous process, and annealing in nitrogen was reported to be a less efficient method to form high quality perovskites than in air[48]. Sequential evaporation of PbI² followed by MAI to avoid crosscontamination can lead to a high-quality perovskite film[49].

Compared with solution deposition, perovskite films prepared by thermal vapor deposition show enhanced photocurrent and open circuit voltage due to fewer impurities that can be introduced by solvents. Overall, thermal evaporation enables control over the film thickness and provides better film uniformity.

1.4.4 Vapor-assisted solution deposition

This method deposits PbI₂ from solution to form a PbI₂ film which is then annealed in a chamber

filled with MAI vapor at 150 \degree C to form a perovskite, as shown in Fig. 1.7d. The obtained perovskite film showed large grain size, negligible surface roughness and complete conversion to perovskite, along with reduced grain boundaries and traps, which led to an enhanced device performance[50].

Vapor-assisted solution deposition using MAI vapor removes the disadvantages of the two-step solution deposition. It is noted that this method could also serve as a post-treatment process to reduce the trap density and improve the perovskite film quality.

The solution-based methods require less instrumental support, which can produce high quality LHPs in a one- or two-step process. Conversely, the vapor-assisted methods require more instrumental support and critical conditions to deliver higher quality LHPs with fewer impurities originating from the solvents. Newly developed methods such as pulsed laser deposition, inkjet printing, doctor-blade coating, slot-die coating, spray-coating and so forth can be roughly categorized into the above two routes[51]. However, in terms of production cost and large-scale compatibility, solution based sequential deposition is preferable[42].

1.5 Composition engineering and surface passivation

LHPs are generally used in optoelectronic devices, where its crystal quality and stability play a crucial role in device performance. Among these, MAPbI₃ with a small E_g of 1.57eV is the most widely investigated perovskite due to its strong light absorption and high efficiency[52]. However, MA-based perovskites are susceptible to degradation under environmental stresses as discussed previously. Its intrinsic thermal instability due to the release of the relatively volatile MA cation during heating is another issue. In order to improve stability and efficiency of perovskites, FA and Cs cations can be used to substitute the MA cation. FAPbI₃ was found to have a smaller E_g of 1.48 eV and better heat resistance than MAPbI₃. But the cubic structure of FAPbI₃ is thermodynamically stable only above 160 °C, and it turns into non perovskite yellow phase at room temperature[53, 54]. Inorganic LHPs like CsPbI₃ have a larger *E_g* of 1.73eV and higher thermal-decomposition temperature, and its photoactive black phase is usually obtained above 300 °C. Similar to FAbI₃, CsPbI₃ adopts a non-photoactive yellow phase at room temperature.

As discussed above, the structural transitions of LHPs are a key factor affecting their optoelectronic properties. To decrease the transition temperature, solid-state alloying has been widely studied to stabilize the black perovskite phase. The mixed-cation and mixed-halide perovskites have shown dramatic improvements in efficiency as well as stability. Jeong et al reported that the addition of MAPbBr₃, MACI and PbI₂ could stabilize the black phase of FAPbI₃ with high crystallinity to achieve the highest efficiency record of 25.2% for a perovskite solar cell[55]. Meanwhile, the incorporation of MA and Br ions improved the long-term stability of FAPbI₃, especially the thermal stability. It should be noted that the anion-vacancy defects at FAPbI₃ grain boundaries were passivated using the anion formate (HCOO⁻) to increase film crystallinity[56, 57]. In this case, both composition engineering and surface passivation were able to achieve superior performance in a LHP.

Considering the importance of MABr and MACl to obtain high efficiency perovskites, Simenas et al. investigated the role of $MAPbBr_3$ in the phase transition of $FAPbBr_3$. The transition temperature of the cubic phase of $FAPbBr₃$ decreased with an increase in MAPbBr₃ content, which reached the lowest temperature at roughly equivalent amounts of MA⁺ and FA⁺, as shown in Fig. 1.8 (left)[58]. This indicates the ability of MAPbBr₃ to lower the transition temperature of the perovskite phase. Meanwhile, Seok et al. dripped MACl on CsPbI³ followed by a second surface passivation with octylammonium iodide (OAI) to prepare a uniform and pinhole-free CsPbI₃ film, and the resulting solar cell gave a high efficiency of 20.4%[59]. It was found that MACI facilitated the removal of solvent to allow a fast crystallization of the CsPbI₃ perovskite and enhanced the stability of the film. OAI, on the other hand, improved the moisture resistance of the perovskite as well as the electron-blocking ability for hole extraction from the perovskite[59]. Different from two-step passivation using MACl and OAI, Meng et al. reported one-step defect passivation using in-situ grown low-dimensional phenyltrimethylammonium iodide-based perovskite (PTAIPbI₃) located at the CsPbI₃ grain boundaries and film surface, to stabilize the black phase of CsPbI₃ and prevent moisture intrusion, as shown in Figure 1.8 (right). As a result, the CsPbI₃ solar cells showed a high efficiency of 21.0% for the inorganic LHP with high stability[60, 61].

Figure 1.8 (left) Temperature−composition phase diagram of mixed MA_{1-x}FA_xPbBr₃ perovskite showing cubic (C), tetragonal (T), and orthorhombic (O) phases. (right) the surface passivation of CsPbI₃ grain boundary by low-dimensional PTAIPbI₃ perovskite (adapted from Ref. [58-61]).

Another strategy to lower the transition temperature is doping with HI and its derivatives. Snaith and co-workers introduced a small amount of HI into the precursor solution to decrease the transition temperature of black phase of CsPbI₃ from 310 °C to 100 °C as shown in Figure 1.9a, and the resulting CsPbI₃ film showed small grains which yielded a PCE of 2.9% in a CsPbI₃ solar cell[62]. Since then, HI has served as an additive in perovskite precursor solutions. Wang et al. added HI and phenylethylammonium iodide (PEAI) additives to a precursor solution to stabilize the black phase of CsPbI₃ as shown in Figure 1.9b. Here, HI induced the formation of a HPbI_{3+x} intermediate, and PEAI regulated the crystallization and blocked the phase transition through steric effects[63, 64]. Soon after, Wang and co-workers used choline iodide (CHI) to fill the pinholes and cracks in CsPbI³ film as shown in Figure 1.9c. CHI treatment improved the interfacial energy-level alignment to achieve a PCE of 18.4% under ambient conditions[65].

Figure 1.9 (a) diagrammatic structural transition of CsPbI³ phases; (b) Schematic illustration of crack-filling CsPbI₃ surface; (c) Schematic for HI/PEAI additive-induced CsPbI₃ crystal growth (adapted from Ref. [63-65]).

CHAPTER 2

Electrodeposition of LHP

2.1 Electrodeposition basics

Electrodeposition (ED) refers to a film growth process though a redox reaction driven electrochemically on a conductive substrate. ED is conducted from a precursor solution, which allows a direct control over nucleation and growth of the deposited film. Figure 2.1 shows the setup for conducting an ED in a three-electrode cell. The potentiostat maintains the potential difference between the working and reference electrodes, and the current flows between working and counter electrodes through the external circuit.

Figure 2.1 Electrochemical cell with three electrodes connected to a potentiostat (adapted from ML Munford, 2006).

ED can be conducted by either voltammetry, chronopotentiometry or chronoamperometry, and the mechanism of ED is generally described as shown in Figure 2.2. First, the solvated ions go through the diffusion layer, transfers electrons with the electrode, adsorbs to form an adatom, and finally incorporates in an energetically favourable site (e.g., surface defect) known as nucleation sites. The nucleation sites allow the formation of nuclei (clusters or islands) which evolve to grains that form deposits that grow on the surface of the electrode.

Figure 2.2 Mechanism of electrodeposition on the surface of electrode (adapted from ML Munford, 2006).

ED is a complicated multistep process involving the diffusion and mass transfer of ions in solution, the removal of the solvation sheath, electron transfer, the formation of surface adsorbed atoms, the clustering of adsorbed atoms, the formation of a crystal nucleus or incorporating into an existing lattice. Figure 2.3 shows the voltammogram and chronoamperogram for the electrodeposition of NiOOH on indium tin oxide (ITO). A nucleation loop in the voltammogram indicates the succession of the oxidative electrodeposition of NiOOH and oxygen evolution reaction (OER), as shown in Figure 2.3a. The loop originates by the increasing electrochemical activity of ITO after NiOOH is deposited, which is reduced to $Ni(OH)₂$ with potential going in the cathodic region. Cyclic voltammetry (CV) identifies the potential window within which ED takes place to conduct chronoamperometry as shown in Figure 2.3b. The chronoamperogram starts with a small current due to the nucleation of NiOOH deposit, then increases as the growth of the deposit until a steady state is reached, as shown in the inset of Figure 2.3b[66, 67].

Figure 2.3 (left) Cyclic voltammetry with the nucleation loop at high potential, and (right) current transient recorded during the potentiostatic deposition of NiOOH on ITO (adapted from Ref. [66- 67]).

2.2 ED of PbO₂: thermodynamic and kinetics

Like NiOOH, PbO₂ can be electrodeposited using voltammetry and chronoamperometry onto conductive substrates (e.g., FTO, TiO2) from acidic and basic media where Pb(II) is soluble. In acidic and basic media, the overall electrode reaction can be written as:

$$
Pb^{2+} + 2H_2O \rightarrow PbO_2 + 4H^+ + 2e^-
$$
 (2.1)
HPbO₂⁻ + OH⁻ \rightarrow PbO₂ + H2O + 2e⁻ (2.2)

The equilibrium potential for the $PbO_2/Pb(II)$ couple for equation 2.1 at 298K is given by equation 2.3[68]:

$$
E_e = 1.455 - 0.029 \log a_{Pb}^{2+} - 0.118 \text{ pH}
$$
 (2.3)

Where a_{Pb}^{2+} is the chemical activity of Pb²⁺.

Figure 2.4 shows the equilibrium potential plotted as a function of pH for $[Pb^{2+}][68]$. In acidic and neutral solutions under open circuit, $PbO₂$ is metastable because it can be dissolved by reacting with water to yield oxygen and Pb²⁺. On the other hand, in basic solutions PbO₂ is thermodynamically stable. If a potential positive to the equilibrium potential is applied, the PbO₂ coating will be formed or protected from corrosion. However, if a significant negative potential is applied relative to the equilibrium potential, it will induce a cathodic reduction and dissolution of the PbO₂ coating. It was reported that there are two phases of PbO₂, α -PbO₂ and β-PbO₂, and the phase has little effect on the thermodynamics of $PbO₂$ since their equilibrium potentials differ by ~10 mV.

Figure 2.4 The equilibrium potential plotted as a function of pH for 10^{-6} M and 1M of $[Pb^{2+}]$ (adapted from Ref. [68]).

Figure 2.5 shows a CV for PbO₂ deposition and dissolution in an acidic solution [69]. On the first scan (Scan 1) the deposition doesn't begin until the positive limit of 1.90 V and the anodic current density increases to a maximum at 1.80 V upon reversing the sweep derection. On the fifth scan (Scan 5) the deposition starts at a lower potential of 1.63 V, and the anodic current density is much higher and tending toward a mass transport-controlled plateau beyond 1.70 V. The reduction of PbO₂ to Pb²⁺ shows a sharp cathodic current peak below 1.20 V. With potential cycling, the deposition reaction involving nucleation and growth of $PbO₂$ on a working electrode leads to a thick $PbO₂$ coating.

Even though the deposition and reduction of $PbO₂$ on most electrodes and in many media are similar to that shown in Figure 2.5, their mechanisms are complex and multistep (see later), which requires further work to be able to discuss their quantitative kinetics. Importantly, figure 2.5 provides a useful guide to understand the behavior of $PbO₂$ electrodeposition in practice.

Figure 2.5 CV recorded in a solution of Pb²⁺ (300 mM) in aqueous methanesulfonic acid (2 M) (adapted from Ref. [69]).

2.3 ED of LHP

As discussed in the previous chapter, deposition techniques to grow perovskite films have been studied over the years to improve their surface quality. Film surfaces with low roughness, free from pinholes and large grain sizes dramatically improve the interfacial charge transfer to improve the efficiency of perovskite devices[70-73]. Meanwhile, the scalability of LHP is another challenge towards its commercial realization. The most used solution deposition technique is spin-coating, either one-step or two step, is limited by the formation of uncontrolled film morphology. Vacuum deposition has restrictions on high temperature and is not suitable for flexible materials. In 2015, Chen and co-workers first reported the electrodeposition of PbO₂ on $TiO₂$ followed by its conversion to MAPbI₃ as shown in Figure 2.6. A 4 cm² perovskite solar cell was fabricated to render a PCE improvement of 36.3% over the spin-coated counterpart[47]. Since then, electrodeposition has emerged as a scalable, cost-effective and environmentally friendly technique for perovskite synthesis.

Figure 2.6 Schematic illustrating the fabrication processes of MAPbI₃ on TiO₂ scaffold (adapted from Ref. [47]).

The main challenge in ED of LHP is its solubility in water and polar solvents commonly used in electrochemistry. Dichloromethane (DCM) is the only solvent that has been identified to be suitable for electrochemical measurements on LHP[74, 75], which limits the direct ED of LHP due to the poor solubility of inorganic perovskite precursors in organic solvents. Alternatively, several methods to conduct part of LHP synthesis using ED have been developed, which may be combined with other scalable techniques such as chemical conversion, as shown in Figure 2.7[76].

Figure 2.7 Electrodeposition for synthesis of LHP solar cells (adapted from Ref. [76]).

The ED process of LHP films typically consists of three steps. The first step is the ED of a precursor, in which $PbO₂$ is the most extensively studied due to its favorable LHP formation characteristics. The second step involves converting the precursor into a LHP film through a one or two-step chemical conversion using different methods like solution or vapor-based process. For large-scale applications, solution-based conversion is favorable as it enables the reuse of the conversion solutions and is easier to implement, providing both economic and environmental advantages. Three routes have been reported based on electrodeposited intermediates: lead chalcogen, lead halide, and metallic lead. For the lead chalcogen route, the first attempt used Pb^{2+} as the electroactive species to deposit a $PbO₂$ film, which is converted to MAPbI₃ by successively dipping in a HI and a MAI solution, as shown in Figure 2.6 and equation 2.4 to 2.6. The process involves the iodination of the deposited PbO₂ followed by an inter-diffusion reaction with MAI. The size of the PbI₂ crystal increased with decreasing HI concentration, and the larger PbI₂ crystals gave larger MAPbI₃ crystals. This established a versatile method to fabricate LHP films on large-scale, which readily control the morphology and quality of the perovskite and thus improve the PCE of perovskite devices[47].

Pb2+ (aq) + 2H2O (l) → PbO² (s) + 4H⁺ (aq) +2e- (2.4) PbO² (s) + 4HI (aq) → PbI² (s) + 2H2O (l) + I² (s) (2.5) PbI² (s) + MAI (aq) → MAPbI³ (s) (2.6)

Popov et al. electrodeposited a PbO₂ film and then sequentially exposed it to HI and MAI vapors for the conversion to MAPbI₃ (equation 2.7 to 2.13)[77]. The vapor exposure allows grain growth and mitigates void formations to improve the quality of the final MAPbI₃ film. Furthermore, the crystal phase of the MAPbI³ and grain size can be adjusted by controlling the duration of the exposure to MAI vapor.

OH⁻ - e⁻
$$
\rightarrow
$$
 OH_{ads} (2.7)
\n
$$
Pb^{2+} + OH_{ads} + OH^{-} - e^{-} \rightarrow Pb(OH)_{2}^{2+}
$$
 (2.8)
\n
$$
Pb(OH)_{2}^{2+} \rightarrow PbO_{2} + 2H^{+}
$$
 (2.9)
\n
$$
PbO_{2}(s) + 4HI(g) \rightarrow PbI_{2}(s) + I_{2}(g) + H_{2}O(g)
$$
 (2.10)
\n
$$
PbO_{2}(s) + 3HI(g) \rightarrow PbI(OH) (s) + I_{2}(g) + H_{2}O(g)
$$
 (2.11)
\n
$$
PbI(OH) (s) + HI(g) \rightarrow PbI_{2}(s) + H_{2}O(g)
$$
 (2.12)
\n
$$
PbI_{2}(s) + MAI(g) \rightarrow MAPbI_{3}(s)
$$
 (2.13)

Pan et al. electrodeposited PbO₂ in a concentrated basic solution on ITO using cyclic voltammetry, and directly converted PbO₂ to MAPbI₃ by dipping it in a MAI solution in a one-

step conversion process (equation 2.14 to 2.15)[78]. The density gradient of MAPbI $_3$ crystal particle was observed as a function of the electrochemical potential, where a high-potential led to high number of nucleation sites to form a high-density MAPbI₃ film.

$$
Pb^{4+} + 2l^- \rightarrow Pb^{2+} + l_2
$$
 (2.14)

$$
Pbl_2 + MAI \rightarrow MAPbl_3
$$
 (2.15)

Another approach is to electrodeposit PbO followed by converting it to MAPbI₃ through solidsolid interdiffusion reaction with MAI at 150 °C, as shown in Figure 2.8 and equation 2.16 to 2.20. The mechanism of formation of PbO originates from the interaction of Pb²⁺ in solution with OH⁻ generated at the cathode, and eventually the generation of $Pb(OH)_2$ that is dehydrated to form PbO with thermal annealing at $200 °C[79]$.

Figure 2.8 Schematic illustration of PbO electrodeposition and subsequent reaction with MAI (adapted from Ref. [79]).

 $Pb^{2+} + 2OH^- \rightarrow Pb(OH)_2$ (2.16) $Pb(OH)_2 \to PbO + H_2O$ (2.17) $MAI \rightarrow CH_3NH_2 + HI$ (2.18) $PbO + 2HI \rightarrow PbI_2 + H_2O$ (2.19) $Pbl_2 + MAI \rightarrow MAPbl_3$ (2.20)

In another report, lead sulfide (PbS) was electrodeposited by cyclic voltammetry in aa acidic (pH 2) solution, which was then used as a seeding layer for subsequent solution conversion to PbI $_2$ and MAPbI₃, as shown in equation 2.21 to 2.23[80].

$$
S_2O_3^{2}
$$
 + 2H⁺ \rightarrow S + SO₂ + H₂O (2.21)
\n $S_{adsorbed}$ + 2H⁺ + 2e⁻ \rightarrow H₂S (2.22)
\n $Pb^{2+} + S + 2e^- \rightarrow PbS$ (2.23)

The lead halide route was reported in 2018, Li et al. directly electrodeposited PbI₂ on TiO₂ layer using cyclic voltammetry, followed by converting to MAPbI₃ in a MAI solution[81]. The possible reaction mechanism was described as shown in equation 2.21 to 2.23. However, the electrochemical solution contained Pb²⁺ and I_2 with the pH adjusted by nitric acid, which makes the electrolyte composition complicated.

$$
Pb2+ + 2e− → Pb
$$
 (2.21)

$$
I2 + 2e− → 2I− (2.22)
$$

$$
2Pb2+ + 2I− → 2Pbl2
$$
 (2.23)

The metallic lead route was developed in 2020, Wang et al. carried out the ED of Pb onto TiO₂/FTO with 0.02M PbI₂ isopropanol solution, followed by converting Pb into CsPbBr₃ under a positive bias in a CsBr methanol solution, as shown in Figure 2.9[82]. The film coverage of $CsPbBr₃$ was almost 100% after conversion due to the volume expansion of Pb to $CsPbBr₃$. The thickness and grain size of $CsPbBr₃$ can be controlled by simply tuning the parameters such as current, voltage and time. Furthermore, the as-fabricated perovskite cell still maintained ∼99.7% of its original PCE even after 150 days of exposure to air, showing a robust stability against environmental conditions.

In addition to the one-step ED of Pb, a two-step ED has been reported to synthesize MAPbI $_3$ [83].

The two-step ED process involves the deposition of Pb, followed by ED of MAI on Pb film to convert it to MAPbI₃. The best PCE of the prepared PSC was 16.7%.

Recently, mixed-halide perovskites prepared by ED have been explored. Heydari et al. synthesized MAPbI_xBr_(3-x) through exposure of the electrodeposited PbO₂ to HI/HBr and MAI/MABr vapors subsequently[84]. Later, they showed that the direct conversion of PbO₂ to MAPbI_xBr($3-x$) led to a uniform layer with superior performance [85]. Li et al, sequentially spincoated FAI/MACI or FAI/MABr solutions on the electrodeposited PbO₂ film to prepare a FAPbI₃ film. Compared with MACl, the addition of MABr suppressed the formation of the nonperovskite δ-FAPbI₃ phase and improved the film coverage of the α -FAPbI₃ perovskite phase. The MABr-doped FAPbI₃ film exhibited about 20 times higher PCE than that of PbI₂-based LHP under the same conditions[86].

ED is a bottom-up scalable approach that is used for the deposition of thin films, allowing the formation of various intermediates to form uniform LHP films. This provides a versatile tool to prepare high quality perovskite with controlled film morphology even despite the solubility issues of perovskites in polar solvents[75]. Besides, ED can be also used to prepare inorganic or organic (polymeric) contacts in perovskite devices such as the hole transport layer (HTL) and the electron transfer layer (ETL) in LHP solar cells, which can facilitate the fabrication process.

2.4 X-Ray Diffraction (XRD)

XRD is a non-destructive method for the determination of crystal structure, orientation, size, and phase identification. XRD peaks are produced by constructive interference of a monochromatic beam of X-rays that diffracts at specific angles from each set of lattice planes of a crystal sample. The peak intensity can be determined from the atomic positions within the lattice planes. Herein, the crystallinity and structure of a perovskite film was measured using XRD. By this method, it is also possible to identify the orientation and size of the perovskite grains. XRD provides a method to monitor the perovskite thin films' internal structure changes over time. For example, XRD was used to monitor the change of crystalline phases of $MAPb(ICI)_3$ in real time during its preparation by vacuum-based coevaporation (Figure 2.10), and confirmed a recrystallization process and preferential orientation effects as well as the decomposition temperature during its post deposition annealing[87].

Figure 2.10 Color-coded representation of the diffracted intensity measured during MAPb(ICI) $_3$ formation. Three different crystalline phases A, B, and C can be detected in different growth regimes (adapted from Ref. [87]).

2.5 Scanning Electron Microscope (SEM)

SEM is a powerful technique used for the visualization and characterization of surfaces. In SEM, an electron beam with low energy is radiated onto the material and scans the surface of the sample, which leads to the emission of photons and electrons from the sample surface. In order to generate an image, the receiving signals produced from the electron–sample interactions are detected with a detector and analyzed by the software. SEM has been widely used to image perovskite film surface coverage, grain size and film thickness, which are key characteristics for the performance of perovskite devices. There is no metallization needed for sample preparation as perovskite films are typically deposited on conductive substrates, such as Indium Thin Oxide (ITO) and fluorine-doped tin oxide (FTO). It is difficult to obtain clear cross-section images because a perfect cut is needed to avoid charging issue. Therefore, metallization with gold or platinum is need to address this issue[88].

The first common use for SEM is to improve the surface coverage of perovskite film. Several

solution and vacuum-assisted depositions were optimized by analyzing SEM images to improve the performance of perovskite devices. Huang, et al., reported that the use of a gas flow during the spin-coating process produced a uniform MAPbI₃ film fully covered by densely packed single crystalline grains, which led to a high PCE of 15.7% for MAPbI₃ solar cell[89]. Another common use for SEM is to identify the perovskite grains. Chen, et al. determined the grain size and film morphology of MAPbI₃ for the optimization of photovoltaic properties of PSC[90]. You et al. confirmed the location of 2D flakes at perovskite grain boundaries, which conducted holes from the grain boundaries to the HTL in PSCs, thereby inducing a more pronounced performance enhancement of the devices, as shown in Figure 2.11[91].

Figure 2.11 Morphology of black phosphorus (BP)-modified MAPbI₃ perovskite films. SEM images of the perovskite films without and with 1 - 3 coats of BP flakes. The scale bar is 500 nm (adapted from Ref. [89]).

2.6 Linear sweep voltammetry (LSV) and Cyclic voltammetry (CV)

In LSV a linear potential ramp is applied to the electrode as an excitation signal and the current is recorded in response to the change in potential. As shown in Figure 2.12, the scan begins from V_1 where no current flows. As the potential is swept to V_2 , a current starts to flow and then reaches a peak at E_p^c . The Nernst equation (equation 2.24) is used to relate the potential and concentration of the redox species at the electrode surface.

Figure 2.12 linear potential ramp as an excitation signal (left). The resulting voltammogram (right) (adapted from Scholz F., 2015).

$$
E = E^{0} + \frac{RT}{nF} \ln \frac{(0x)}{(Red)}
$$
 (2.24)

where E is the applied potential, E° is the standard reduction potential of the species, R is the gas constant, *T* is the temperature, *n* is the number of electrons exchanged, *F* is Faraday's constant, (*Ox*) and (*Red*) are the relative activities of the oxidized and reduced species. The current rises as the potential is swept from V_1 to V_2 . At some point the diffusion layer grows sufficiently large at the electrode surface, and the flux of reactant to the electrode is not fast enough to reach the electrode surface, the current begins to drop.

In LSV, the potential is scanned in one direction, either to positive potentials or to negative potentials. In CV, the potential is scanned in both directions. Figure 2.13a shows a typical potential-excitation signal^[92]. In the forward scan from E_1 to E_2 , Fc⁺ is depleted and reduced to Fc which results in a cathodic current and depletion of Fc^+ at the electrode surface (equation 2.25). The cathodic peak current $(i_{P,C})$ at C is caused by the diffusion of additional Fc⁺ from bulk solution, and then the current decreases with the mass transport of Fc⁺ to the electrode slowing down due to the increasing diffusion layer containing Fc at the electrode surface. The scan direction is reversed at D to the positive potential, G. Fc at the electrode surface is depleted by oxidizing to Fc⁺ as the applicated potential goes more positive (equation 2.26), which leads to an anodic current. B and E indicate the equal concentration of Fc^+ and Fc^- at the electrode surface, following Nernst equation as $E = E_{1/2} = \frac{E_{p,c} + E_{p,a}}{2}$ $\frac{L_{p,a}}{2}$. This halfway potential between cathodic and

anodic peaks is used to estimate the standard potential of a species (*E* 0).

Figure 2.13 (a) Applied potential as a function of time for a CV with the initial, switching, and end potentials represented (A, D, and G, respectively); (b) Voltammogram of the reversible reduction of a 1 mM Fc⁺ solution at 100 mV/s (adapted from Ref. [90]).

 $Fc^+ + e \rightarrow Fc$ (2.25) $Fc \to FC^+ + e$ (2.26)

The cathodic and anodic current peaks are separated because of the diffusion of species to and from the electrode surface, which follows the Randles-Sevcik equation:

$$
i_p = \left(2.69 \times 10^5\right) n^{3/2} A D^{1/2} \nu^{1/2} C_A \qquad (2.27)
$$

where *n* is the number of electrons in the redox reaction, *A* is the area of the working electrode, *D* is the diffusion coefficient for the electroactive species, *ν* is the scan rate, and *C*_A is the concentration of the electroactive species at the electrode.

2.7 Ultraviolet-Visible (UV-Vis) spectroscopy to determine bandgap (*E*g)

In UV-Vis spectroscopy, the light absorption is measured as function of wavelength, which provides the information about electronic transitions occurring in the light absorber. The Beer-Lambert law states that the light absorption through a medium is proportional to the optical properties of the light absorber (ε), the concentration of the light absorber (*C*), and the light pathlength (*l*) in the medium, as shown in equation 2.28[93].

$$
A_{\lambda} = \epsilon_{\lambda} * C^* \qquad (2.28)
$$

Where A_{λ} is the absorbance at a given wavelength λ , and ε_{λ} is the molar absorptivity of the absorber at *λ*. Rewriting equation 2.28 to include the light transmitted (*I*T) over the incident intensity (*I*₀) gives equation 2.29:

$$
A_{\lambda} = \varepsilon_{\lambda}^* C^* \mathsf{I} = -\log_{10}(\frac{\mathsf{I}_{\mathrm{T}}}{\mathsf{I}_{\mathrm{0}}}) \tag{2.29}
$$

The determination of *E*^g for a semiconductor can be determined from a UV-Vis absorption spectrum, which refers to the energy difference between the top of valence band (VB) and the bottom of conduction band (CB) of a semiconductor (see Figure 2.14). In order to promote electrons from the VB to the CB, a minimum energy is required for this transition, *E*g. Since the absorption spectra are reported in units corresponding to light wavelength rather than energy, the conversion between wavelength (nm) and energy (eV) is given by equation 2.30:

Figure 2.14 Schematic illustration of bandgap (adapted from Seema M., 2023).

hv (eV) =
$$
\frac{hc}{\lambda} = \frac{1239.8 \text{ (eV} \times \text{nm})}{\lambda \text{ (nm)}}
$$
 (2.30)

 $E_{\rm g}$ in an absorption spectrum corresponds to the point at which the absorption starts to increase, indicating the minimum energy required for a photon to excite electrons from the VB to the CB. A typical *E*^g analysis involves plotting and fitting the absorption data for direct and indirect bandgap semiconductors, known as a Tauc plot. The absorbance is first normalized to the light pathlength to give the absorption coefficient (α) by equation 2.31:

$$
\alpha \text{(cm}^{-1}) = \frac{\ln(10) * A}{l (cm)} = \ln(10) * \epsilon * C \quad (2.31)
$$

For α values greater than 10000 cm⁻¹, it usually obeys the following relation [94, 95]:

$$
\alpha h v \propto (h v - E_g)^n \quad (2.32)
$$

where n is 1/2, 3/2, 2, or 3, corresponding to direct (allowed), direct (forbidden), indirect

(allowed), or indirect (forbidden) transitions, respectively. A Tauc plot of $(\alpha hv)^{1/n}$ vs. hv gives the value of E_g when extrapolating to the baseline. For α less than 10000 cm⁻¹, a Tauc plot is no longer applicable.

For semiconductors, UV-Vis spectroscopy provides a convenient method to estimate their optical bandgap. Duha et al. synthesized MAPbI₃ perovskite films using two-step and one-step spin-coating approaches, and determined their E_g by UV-Vis absorption and Tauc plot, as shown in Figure 2.15[96]. Kuan et al. co-doped lead and tin to synthesize inorganic Sn-Pb mixed perovskites, and calculated their E_g using UV-Vis absorption and Tauc plot (see Figure 2.16)[97].

Figure 2.15 MAPbI₃ perovskite synthesized by two-step and one-step spin-coating approaches; (a) UV-Vis absorption spectra, (b) Tauc plots (adapted from Ref. [92]).

Figure 2.16 (left) The absorption spectra of CsPb_xSn_{1-x}I₃ synthesized by the antisolvent washing method. (right) Tauc plot of CsPb_xSn_{1-x}I₃ inorganic perovskites (adapted from Ref. [93]).

2.8 Photoluminescence (PL)

PL is a process in which a sample is irradiated with photons of a higher energy than its bandgap, and later this energy is dissipated by the emission of light[98]. Figure 2.17 schematically illustrates the mechanism of PL in semiconductors, which plots the kinetic energy (E) vs. wave vector (or momentum vector, *k*) for direct and indirect bandgap semiconductors. The difference between direct and indirect bandgap semiconductors is the relative position of the VBM and the CBM. In a direct bandgap semiconductor, both VBM and CBM occur at the zone center, *k* = 0. In an indirect bandgap semiconductor, CBM shift from *k* = 0 to other values of *k*. Upon absorption of an ultraviolet or visible photon with an energy (*hω*) higher than *E*^g of semiconductor, an electron-hole (e-h⁺) pair is generated and ab e is excited to the CB. In contrast to a direct bandgap semiconductor without the assistance of a phonon during its absorption, the photon absorption process for an indirect semiconductor is assisted by either emitting or absorbing a phonon due to the different k values for the VBM and the CBM. The excited e⁻ will rapidly relax from its initial excited state to the lowest excited state (CBM) by emitting phonons, following recombining with h⁺ radiatively with emission of a photon or transferring its energy to defects or impurities nonradiatively. Since electrons and holes accumulate at the CBM and the VBM respectively prior to recombination, the energy difference between electrons and holes approximately equals *E*g. Therefore, PL occurs at an energy close to *E*^g of the semiconductor.

Figure 2.17 Schematic photoluminescence process in direct (left) and indirect (right) bandgap semiconductors. The blue dots at the bottom of the conduction band and the void at the top of the valence band represent the electrons and holes created by the absorption energy > *E*^g (adapted from Manal A-B., 2006).

PL is widely used to characterize the optoelectronic properties of LHPs, such as bandgap[99-102], charge carrier mobilities and lifetimes[103-108], and phase segregation[109-111]. Meanwhile, PL is an effective approach to pinpoint the defect and impurity states of LHPs which are observed at photon energies below $E_g[112]$. If these states are radiative, they will generate unique PL peaks. In addition to identifying the radiative states, PL peak position is also useful to evaluate the composition-dependent bandgap for analyzing the phase segregation of LHP[113]. Under light illumination, the I/Br-mixed perovskite decomposed to I-rich and Br-rich regions due to photo-induced phase segregation, as shown in Figure 2.18[114]. Such phase segregation can be investigated by PL mapping in which the PL spectrum from different regions of a perovskite film can be recorded and the fingerprints of I-rich and Br-rich regions can be detected[115]. A further study showed that this type of phase segregation was reversible after keeping the perovskite film in the dark[116, 117]. In addition to mixed-halide perovskites, for pure MAPbI₃ it was reported that I can diffuse towards the GB under light illumination and then return after storing in the dark[118].

Figure 2.18 Schematic Photo-induced segregation of mixed-halide perovskites (adapted from Ref. [110]).

PL mapping traditionally uses a point-by-point scanning approach, which takes a relatively long time as well as compromise the spatial resolution and measurement repeatability due to mechanical transition. However, by using a hyperspectral imaging approach, the measurement number and mechanical transition can be significantly reduced by simultaneously sampling the whole area of interest, which makes it popular in recent years for the simultaneous imaging of perovskite materials. Stranks et al. studied the fundamental properties of $(Cs_{0.06}MA_{0.15}FA_{0.79})Pb(Br_{0.4}I_{0.6})$ ₃ film by means of hyperspectral PL imaging, as shown in Figure 2.19 [119]. it was found that Illumination led to the formation of I-rich sites on the surface of perovskite film, revealing the impact of photo-induced phase segregation in mixed-halide perovskites.

Figure 2.19 In situ hyperspectral PL maps for wide-bandgap (a-d) and low-bandgap peaks (e-h) in $(Cs_{0.06}MA_{0.15}FA_{0.79})Pb(Br_{0.4}I_{0.6})$ ₃ film over time under white-light illumination with an intensity of 290 mW/cm² for the sample before treatment (t = 0), during the treatment (10 and 30 min), and once the emission intensity reaches stabilized emission (180 min) (adapted from Ref. [115]).

CHAPTER 3

Chemical conversion of electrodeposited PbO² to the all-inorganic cesium lead halide perovskites CsPbBr³ and CsPbCl³

Abstract**:**

There is increasing interest in the development of stable, wide bandgap lead halide perovskites for application as photoelectrodes, light emitting diodes and photosensors. In this work, lead dioxide was electrodeposited from an aqueous electrochemical bath and transformed by a twostep chemical conversion process to CsPbI₃, CsPbBr₃ and CsPbCI₃. X-ray diffraction and scanning electron microscopy measurements confirmed the two-step chemical conversion of PbO₂ to PbBr₂ or PbCl₂ followed by their conversion to the all-inorganic lead halide perovskites CsPbBr₃ or CsPbCl₃. It was found that chemical conversion of PbO₂ to PbI₂ and then CsPbI₃ led to the nonperovskite δ-CsPbI3. This work presents the first example of the chemical transformation of electrodeposited PbO₂ to PbBr₂ and PbCl₂ to form wide bandgap CsPbBr₃ and CsPbCl₃ perovskites. Absorbance spectroscopy measurements confirmed the optical gap of the wide bandgap $CsPbBr₃$ and $CsPbCl₃$ materials while linear sweep voltammetry measurements demonstrated that CsPbCl₃ has a larger electrochemical stability window than CsPbBr₃.

Keywords**:** Electrodeposition, Perovskite, Photovoltaic, Corrosion, Stability, Mixed halide.

3.1. Introduction

Lead halide perovskites APbX₃, where A is an organic (or inorganic) cation and X is a halide anion, are being rapidly developed following the demonstration of high efficiency photovoltaic devices based on methyl ammonium lead iodide (MAPbI3) [120-122]. These materials can be prepared using mild synthesis conditions and exhibit a long charge carrier diffusion length, high quantum efficiency and a high absorption coefficient [123]. Through substitution at the X-site it is possible to tune the perovskite bandgap enabling a variety of applications such as lasers, light-emitting diodes and photodetectors, which have been further expanded through substitution with the inorganic cation cesium at the A site to form an all-inorganic counterpart [124]. A limitation in the commercialization of lead halide perovskite devices is the development of large area coating methods [125]. Due to the mild conditions required for their preparation, electrodeposition from an aqueous solution stands out as a promising method for the sustainable fabrication of large area thin film perovskites [47, 77].

The use of electrodeposition to prepare lead halide perovskite thin films has focused primarily on the hybrid organic–inorganic perovskite MAPbI₃ using electrodeposited PbO₂ for photovoltaic

applications [47]. The electrodeposited PbO₂ layer can be chemically converted to MAPbI₃ in a one-step chemical conversion process [126], or by a two-step chemical conversion to PbI₂ and then MAPbI₃ [47]. Alternatively, PbI₂ can be electrochemically deposited directly and then chemically converted to MAPbI₃ [127, 128]. More recently, a few examples of the electrodeposition of $CsPbI₃$ and $CsPbBr₃$ inorganic lead halide perovskites have appeared without any examples of the electrodeposition of CsPbCl₃. In one example, aragonite crystallites (CaCO3) were electrodeposited followed by a two-step chemical conversion process to form surface-confined CsPbBr₃ perovskite nanocrystals on a PbCO₃ scaffold [129]. In the other examples, a two-step chemical conversion of electrodeposited PbO₂ to PbI₂ was used to form CsPbBr₃ or CsPbI₃ or the mixed halide CsPbI₂Br [82, 130, 131]. However, the use of iodide during chemical conversion could be problematic for long-term stability as the photoactive perovskite α -CsPbI₃ is unstable at room temperature and transforms into the non-functional δ-CsPbI₃. In the case of electrodeposited CsPbI3, an innovative approach was used to form the perovskite inside a TiO₂ host matrix to avoid this transformation. However, for thin film devices based on bromide or chloride lead halide perovskites, the uncontrolled presence of unreacted PbI₂ could potentially negatively affect the optoelectronic properties and long-term stability due to the presence of a secondary phase or alternatively through photo-induced phase segregation that can occur when a mixed halide material is formed [132]. Here we show that electrodeposited PbO₂ can be chemically converted directly to either PbBr₂ or PbCl₂ to form thin films of the allinorganic cesium lead halide perovskites CsPbBr₃ and CsPbCl₃, respectively.

3.2. Materials and methods

3.2.1. Chemicals and materials

Methanol (HPLC, ≥ 99.9%), ethanol (anhydrous, ≥ 99.5%), acetone (ACS reagent, ≥ 99.8%), acetic acid (ACS reagent, ≥ 99.7%), hydrochloric acid (ACS reagent, 37%), hydrobromic acid (ACS reagent, 48%), hydroiodic acid (ACS reagent, 55%), sodium acetate trihydrate (ACS reagent, ≥ 99%), lead acetate trihydrate (ACS reagent, ≥ 99%), cesium chloride (99.9%), cesium bromide (99.9%) and cesium iodide (99.9%) were purchased from Sigma-Aldrich. All chemicals were used as received without further purification. Fluorine-doped tin oxide coated glass substrates (FTO, 6–9 Ω/square) were purchased from Delta Technologies, Inc. Water was purified using a Millipore system (18.2 MΩ cm).

3.2.2. Electrodeposition

Fluorine-doped tin oxide glass slides (0.5 cm \times 2.5 cm) were cleaned successively for 20 min in

acetone, methanol and water followed by drying with compressed air. The electrodeposition bath was an aqueous solution (pH 5.5) containing 1.0 M sodium acetate and 0.1 M lead (II) acetate. Concentrated acetic acid was used to adjust the pH. Electrodeposition was carried out according to the method of Popov et al. [77] using a current density of 0.25 mA⋅cm⁻² for 900 s using a potentiostat (Solartron 1470) under ambient conditions in a three-electrode cell using a FTO slide as working electrode (area 1 cm²), a platinum gauze counter electrode and an Ag wire quasi-reference electrode. The resulting $PbO₂$ film on FTO was rinsed with water and annealed at 100 ◦C in air for 10 min.

3.2.3. Two-step chemical conversion of $PbO₂$ to Cs $PbX₃$

Conversion of the as-deposited PbO₂ to PbX₂ was carried out in ethanol containing 50 mM of the corresponding hydrohalic acid, using a conversion time of 6 min for PbI₂, 4 h for PbBr₂ and 40 min for PbCl₂ at room temperature. The subsequent conversion of PbX₂ to CsPbX₃ was achieved by immersing the PbX₂ thin films in methanol containing 20 mM CsX for 60 min at room temperature, followed by thermal annealing at 100 ◦C for 10 min.

3.2.4. Characterization

UV–Vis absorption spectra were obtained with a UV–VIS-NIR spectrophotometer (Lambda 750, Perkin-Elmer). X-ray diffraction patterns were obtained using a Bruker D8 Advance diffractometer with a Cu-Kα radiation source. Images of the surface morphology of the perovskite films were obtained using a scanning electron microscope (SEM) (JEOL JSM7600F).

3.2.5. Electrochemical measurements

Electrochemical measurements were carried out in a sealed electrochemical cell using a potentiostat (Solartron 1470). CsPbX₃ films with \sim 0.2 cm² area exposed to the electrolyte functioned as the working electrode, a Pt wire as the counter electrode, and an Ag wire as a quasi-reference electrode. The Ag wire quasi-reference electrode was stored in a supporting electrolyte of 0.1 M tetrabutylammonium hexafluorophosphate (TBAPF₆) in dichloromethane and the stability of its potential was verified at the end of each voltammetric measurement using the formal potential for the oxidation/reduction of the ferrocene/ ferrocenium redox couple. The supporting electrolyte used for all electrochemical measurements was 0.1 M TBAPF $_6$ in dichloromethane. The solution was purged by argon bubbling for 10 min before measurement.

3.3. Results and discussion

Lead halide perovskites were prepared by the electrodeposition of $PbO₂$ followed by a two-step chemical conversion process. In each step of the chemical conversion process, the solvent used was selected based on its ability to dissolve the hydrohalic acid or cesium salt while avoiding the dissolution of the newly formed film, either lead halide or cesium lead halide, respectively. For these reasons, ethanol was used in the first step while methanol was used in the second step. The chemical conversion of the electrodeposited PbO₂ to PbX₂ (X represents the halide) was carried out in ethanol containing 50 mM of the corresponding hydrohalic acid. The hydrohalic acid concentration has a large effect on film morphology during the chemical conversion of $PbO₂$ to PbI₂, with high HI concentrations (6.25 M) leading to an accelerated PbI₂ dissolution whereas lower concentrations (50 mM) yield larger PbI₂ crystals [47]. In this work, a lower concentration of the hydrohalic acid (50 mM HX) was used at room temperature to avoid dissolution during the first chemical conversion step. A color change of the original $PbO₂$ film (orange-red) to the corresponding lead halide, PbI₂ (yellow), PbBr₂ (white) or PbCI₂ (white), was used to determine total conversion time. Porous films with high surface coverage were obtained in 6 min for PbI₂ using 50 mM HI and in 40 min for PbC l_2 using 50 mM HCl. The use of 50 mM HBr required a longer time of 4 h for the chemical conversion of $PbO₂$ to $PbBr₂$. The electrodeposited PbO₂ layer (Fig. 3.1a) is composed of submicron agglomerates of PbO₂ grains that are converted to PbX₂ films with distinctly different morphologies following the first chemical conversion step. For example, PbI₂ (Fig. 3.1b), adopts the crystal habit of its hexagonal crystal structure forming twodimensional platelets that are oriented perpendicular to the underlying FTO substrate. The PbBr₂ film (Fig. 3.1c) presents some preferential growth in one direction, however it is less pronounced and may be affected by the longer conversion time required, which could also lead to its dissolution. On the other hand, $PbCl₂$ (Fig. 3.1d) forms a needle-like structure that is attributed to preferential growth in one direction that can occur in the presence of low concentrations of HCl [133].

Figure 3.1 SEM images of (a) electrodeposited $PbO₂$ and chemically converted lead halide films (b) Pbl_2 , (c) $PbBr_2$ and (d) $PbCl_2$ on a FTO substrate.

The second chemical conversion step was carried out in methanol containing 20 mM CsX (X represents the halide) for 60 min to form the corresponding lead halide perovskite CsPbX₃. The concentration of CsX used during the second chemical conversion step was 20 mM due to its limited solubility in methanol. Following chemical conversion, the substrates were annealed at 100 ℃ for 10 min. A needle-like structure is observed for CsPbI₃ (Fig. 3.2a) due to the formation of one-dimensional chains of Pb-I octahedra, which is characteristic of the non-perovskite δ-CsPbI₃ [134]. In this work, it was not possible to obtain the y-CsPbI₃ perovskite, which exhibits ideal physical properties for photovoltaic applications. Instead, the non-perovskite δ -CsPbI₃, which is thermodynamically stable at room temperature, was formed. Both CsPbBr₃ (Fig. 3.2b) and CsPbCl₃ (Fig. 3.2c) showed a cubic morphology consistent with the perovskite structure of these materials. The crystal structure of each film was acquired by X-ray diffraction (XRD) following each chemical conversion step. Fig. 3.3 shows the XRD patterns for each lead halide film along with the powder diffraction file (PDF) for PbI₂ (PDF No.: 00-073-1752), PbBr₂ (PDF No.: 01-085-1089) and PbCl₂ (PDF No.: 01-074-1803). The crystal structures of the lead halide films were indexed to the hexagonal polymorph for PbI₂ and orthorhombic polymorph for PbBr₂ and PbCl₂. Also presented in Fig. 3.3 are the XRD patterns for each CsPbX₃ film, which are indexed to the orthorhombic polymorph for CsPbI₃ (PDF No.: 00-074-1970) and CsPbBr₃ (PDF No.: 01-072-7929), and cubic polymorph for CsPbCl₃ (PDF No.: 00-018-0366).

Figure 3.2 SEM images of (a) CsPbI₃, (b) CsPbBr₃ and (c) CsPbCI₃ thin films following chemical conversion of the corresponding lead halide on a FTO substrate.

Figure 3.3 XRD patterns of (a) PbI₂ and CsPbI₃, (b) PbBr₂ and CsPbBr₃ and (c) PbCI₂ and CsPbCI₃ films. Asterisks represent the FTO substrate.

A combination of absorbance and voltammetric measurements were used to determine the optical bandgap and electrochemical stability window of the electrodeposited perovskites. Substitution of Br with Cl leads to an increase in the optical bandgap of the cesium lead halide perovskites [135]. The optical bandgap (E_g) of CsPbBr₃ (2.30 eV) and CsPbCl₃ (2.93 eV) was determined from a Tauc plot of the absorbance data (Fig. 3.4a) and the measured values are consistent with reported values for CsPbX₃ perovskites prepared via other methods [136-139]. Recently, linear sweep voltammetry (LSV) has been used to estimate the frontier energy levels as well as the onset of perovskite corrosion [74, 140]. Linear sweep voltammetry was carried out in dichloromethane (100 mM TBAPF $_6$) under an Ar atmosphere. Fig. 3.4b and 3.4c present LSVs of $CsPbBr₃$ and $CsPbCl₃$ films scanned in an anodic or a cathodic direction starting from the open-

circuit potential. A new film was used for the anodic and cathodic scan as the anodic and cathodic currents are attributed to irreversible oxidation and reduction processes, respectively. For the anodic scan of CsPbBr₃, two oxidation waves were observed, beginning at 0.50 V (vs. Agwire quasi-reference electrode) and at 1.10 V (vs. Ag wire quasi-reference electrode). For $CsPbBr₃$ films scanned in the cathodic direction, a single reduction wave was observed, beginning at -1.20 V (vs. Ag wire quasi-reference electrode). The electrochemical window of approximately 1.70 V is smaller than the optical bandgap of 2.30 eV, indicating that corrosion processes are likely occurring. Samu et al. [74] have recently carried out a detailed analysis of the surface chemistry of CsPbBr₃ under electrochemical control in dichloromethane and have proposed that the anodic and cathodic currents are due to the electrochemical corrosion of CsPbBr³ through the following electrochemical reactions:

> Oxidation: $CsPbBr_3 \rightarrow PbBr_2 + Cs++1/2Br_2 + e^-$ (3.1)

$$
PbBr_2 \to Pb^{2+} + Br_2 + 2e^{-}
$$
 (3.2)

Figure 3. 4 (a) Tauc plot of CsPbBr₃ and CsPbCl₃. Linear sweep voltammograms of (b) CsPbBr₃ and (c) CsPbCl₃ in 0.1 M TBAPF₆ using a Pt counter electrode and Ag wire quasi-reference electrode. The scan rate was 20 mV s^{-1} .

The anodic LSV of CsPbCl₃ (Fig. 3.4c) also showed two oxidation waves, beginning at 0.75 V (vs. Ag wire quasi-reference electrode), which were anodically shifted relative to CsPbBr₃, while the onset for the reduction wave was the same at -1.20 V (vs. Ag wire quasi-reference electrode). The electrochemical window for CsPbCl₃ was 1.95 V and is smaller than the optical bandgap of 2.93 eV, which suggests that the anodic and cathodic currents are also related to corrosion processes. The widening of the electrochemical window of CsPbCl₃ by 0.25 V relative to CsPbBr₃ demonstrates an improvement in the corrosion resistance of chloride-based perovskites, which could assist in improving the long-term stability of lead halide perovskite materials and devices.

3.4. Conclusions

In this work, it was demonstrated, for the first time, that electrodeposited PbO₂ could be chemically converted to $PbBr₂$ and $PbCl₂$ to enable the fabrication of the all-inorganic perovskites CsPbB r_3 and CsPbCl₃. The perovskite thin films are grown directly on a conducting FTO substrate which can enable the preparation of large area thin-film devices for a variety of applications. For example, through optimization of the current density and electrochemical cell bath geometry, electrodeposited PbO₂ was recently used to create MAPbI₃ perovskite thin films with a surface area of 10 cm² [77]. In addition to the enhanced thermal and moisture stability of cesium lead halide perovskites, it was demonstrated here that the wide bandgap $CsPbCl₃$ perovskite exhibited a larger corrosion resistance than CsPbBr₃. This may explain, in part, the role of chloride in enhancing the stability of perovskite solar cells as was recently observed for an electrodeposited hybrid-organic MAPbB r_3 solar cell containing chloride [141]. It should also be noted that the two-step methodology presented here could also be used for the fabrication of hybrid-organic lead halide perovskite materials, where either PbBr₂ or PbCl₂ are required for their fabrication. Beyond photovoltaic devices, wide bandgap cesium lead halide perovskites are finding increasing applications as photoelectrodes, light emitting diodes and photodetectors where electrodeposition can be a valuable approach for their fabrication.

CHAPTER 4

Comparison of Two-Step and One-Step Chemical Conversion for the Electrodeposition of Hybrid Organic-Inorganic Lead Halide Perovskites

Abstract

The rise of perovskites has ignited a wave of excitement in materials physics and chemistry due to their prominent photovoltaic properties and easy synthesis. The commonly used spin-coating and vacuum/vapor-assisted deposition techniques produce perovskite devices with high efficiency exceeding 20% in the laboratory but suffer from their suitability for large-scale photovoltaic applications at low cost. We address this limitation by employing an electrochemical/chemical route applicable to large substrate areas in ambient atmosphere. Up to now, no comparative study between two-step and one-step chemical conversion has been carried out. Here we studied the crystal structure and film morphology of MAPb X_3 perovskite obtained using electrodeposition combined with one-step or two-step chemical conversion. In this work, lead dioxide (PbO2) was electrodeposited and subsequently converted *in situ* to the organic-inorganic hybrid perovskites, methylammonium lead halide (MAPbX₃, X=Cl, Br, I), by using a solution-based two-step chemical conversion. The as-prepared MAPbX $_3$ films showed a stable output of cathodic photocurrent in a liquid junction electrochemical cell using benzoquinone (BQ/BQ⁻) as a redox mediator. In addition, the direct *in situ* conversion of PbO₂ to $MAPbX₃$ using a solution-based one-step chemical conversion was also achieved, and the asformed MAPbX₃ film exhibited larger grain size and photocurrent. As inherently scalable and low-cost techniques, electrodeposition combined with chemical conversion provides a general route toward hybrid perovskites, enabling the tunability of optoelectronic structure and film morphology of MAPbX₃ through managing chemical composition and conversion process. This work demonstrates a competitive solution fabrication process for the commercialization of perovskites, which is expected to be a template method for the fabrication of perovskites to expand their optoelectronic applications such as in photovoltaics and photoelectrocatalysis*.*

Keywords**:** perovskites, electrodepositon, chemical conversion, solar cells, photovoltaics, photoelectrocatalysis.

4.1 Introduction

Within the last 65 years, solar cells (SCs) have emerged to provide large amounts of clean and renewable energy, and currently contribute more than 1% to worldwide electricity generation [142]. It is estimated that photovoltaics could provide over 20% of the world's energy needs by 2050 [143]. Although silicon solar cells (SSCs) with a maximum efficiency of 27% in laboratory account for *ca.* 90% of the photovoltaic market share, the disadvantage of silicon is high cost because crystalline silicon has to be very pure to make efficient solar cells [142]. Among the cheap alternatives to crystalline silicon, perovskites are a rising star due to their easy processing, broad absorption spectrum and exceptional efficiency exceeding 23% in the laboratory [144- 147]. Different from silicon process requiring extraordinary purity and high temperature, perovskites are easy to prepare using wet chemistry involving facile solvent and vapor deposition. Another interesting feature of perovskites is their tunable chemical composition and crystal structure, offering a wide variety of choices in terms of perovskite design and fabrication. Such versatility allows easy tailoring of optoelectronic structure of perovskites for numerous applications in photovoltaics, LEDs, photodetectors, and more [11, 148, 149].

Perovskites are a wide-ranging family of materials sharing a crystal structure of ABX_3 . In this structure, monovalent cation A and bivalent metal cation B coordinate with 12 and 6 X anions to construct cuboctahedral and octahedral geometries, respectively (Figure 4.1). The most successful perovskites are variations on methylammonium lead halide perovskite (LHP), MAPb X_3 $(A = MA^+, B = Pb^{2+}, X = Cl^-, Br^-, I^).$ As a photovoltaic material LHP exhibit several key properties for optimal solar energy conversion such as small bandgap (*E*g), strong absorption in the visible region, large photoexcited charge carrier diffusion lengths and long excited state lifetimes [150- 153]. However, the majority of reported efficiencies are based on small active-area perovskites (~0.1 cm²) [154]. Another challenge is the aspect of stability of perovskites [155, 156], which limits their widescale use. Therefore, the development of protocols to improve the scalability and stability of perovskites is of paramount importance.

Figure 4.1 Schematic ABX₃ perovskite structure (adapted from Fabio G-S., 2017).

Spin-coating is the most commonly used solution method in the preparation of perovskite thin films due to its operational simplicity and high efficiency output, but it usually needs to be carried out in a N₂-filled glove-box and wastes a lot of perovskite material. Vacuum/vaporassisted deposition are other methods which could lead to high-efficiency perovskite thin films, however it can also increase the total cost due to the high complexity of this method [157]. Recently Yang *et al.* reported for the first time a solution process based on PbO₂ electrodeposition and sequential two-step chemical conversion process to prepare MAPbI₃ perovskite thin film scaling up to 4 cm², which demonstrated a high PCE exceeding 10% [47]. Soon after, Switzer *et al.* converted electrodeposited PbO₂ to MAPbI₃ on an Au substrate using one-step chemical conversion [126]. However, the authors did not report on any photovoltaic applications of the as-prepared materials. A handful of other reports on the electrodeposition of LHP have appeared, all of which have focused on the preparation of the iodide-based organicinorganic hybrid perovskite MAPbI₃. Another interesting application of LHPs are as a light absorber for photoelectrocatalytic applications, either coupled with an electrolyzer or in a liquid junction cell [158]. However, intrinsic limitations of MAPbI₃ perovskites such as instability in an ambient environment, non-optimal band edge potentials and a small *E*^g of 1.53 eV limit its photoelectrocatalytic applications for important reactions such as $CO₂$ reduction reaction. It is known that a given chemical reaction has a specific photoreduction or photooxidation potential, thus both band-edge-potentials and the *E*^g of the photoelectrocatalysts must satisfy specific thermodynamic conditions in order to be considered in photoelectrocatalytic applications. For $CO₂$ reduction to hydrocarbons at neutral pH and room temperature, the required maximum photoreduction potential is -0.67 V, which is smaller than the conduction-band-edge potential $(E_{CB} = -0.52 \text{ V})$ of MAPbI₃ (Figure 4.2) [159]. Thus, perovskites with a lower E_{CB} are needed such as MAPbBr₃ (E_{CB} = -1.0 V, E_g = 2.22 eV) [160]. As well, a broad E_g of 1.75 eV is considered as the optimum value for perovskite/silicon tandem solar cells, which can be achieved by varying both cation and halides composition in LHP [161]. Therefore, there is an emerging interest in tuning the electronic structure (valence and conduction bands, *E*g) as well as the stability of perovskites. Indeed, electrodeposition combined with chemical conversion is a scalable deposition method with low cost and high compatibility with complex shaped substrates [162-164]. Moreover, all solutions employed can be reused to reduce the pollution to the environment.

Figure 4.2 Bandgap of MAPbX $_3$ and energy levels of selected electrocatalytic reactions.

Herein, inspired by electrodeposition of the organic-inorganic hybrid MAPbI₃ perovskite, we combine electrodeposition with chemical conversion toward the preparation of the organicinorganic hybrid MAPbX₃ family $(X = I, Br, Cl)$. A comparative study of electrodeposition combined with two-step and one-step chemical conversion for the synthesis of a family of MAPbX₃ perovskite is reported, and their film morphology and photoresponse are evaluated. The present work demonstrates that electrodeposition combined with chemical conversion can be used as a general route for the preparation of a wide variety of organic-inorganic hybrid perovskites.

4.2 Materials and methods**:**

4.2.1 Materials:

Methanol, ethanol, isopropanol, acetone, hydrochloric acid, hydrobromic acid, hydriodic acid, methylammonium chloride, methylammonium bromide, methylammonium iodide, sodium acetate trihydrate and lead acetate trihydrate were purchased from Sigma-Aldrich. All chemicals were used as received without further purification. Fluorine-doped tin dioxide-coated glass substrate (FTO) was purchased from Sigma-Aldrich. The water used through all experiments was purified through a Millipore system (18.2 MΩ).

4.2.2 Substrate preparation:

FTO slides were cut into small pieces, the edges were etched using zinc powder and 2 M hydrochloric acid. After that FTO was cleaned in acetone, methanol and water by ultrasonic washing with each for 20 min, followed by drying with compressed air.

4.2.3 PbO₂ Electrodeposition:

An aqueous solution containing 1.0 M sodium acetate and 0.1 M lead (II) acetate adjusted to pH 5.5 with concentrated acetic acid served as the electrodeposition bath. Electrodeposition was performed in a three-electrode cell using a multipotentiostat (Solartron 1470) under ambient atmosphere. A FTO slide acted as working electrode, platinum and Ag wire were used as counter electrode and reference electrode, respectively. Electrodeposition was carried out at a constant current density of 0.25 mA/cm² for a duration of 900 s.

4.2.4 Two-step chemical conversion from $PbO₂$ to MAPbX₃:

Conversion of the as-deposited PbO₂ to PbX₂ was conducted in a solution containing 0.05 M HX in ethanol, and the conversion time varied according to the hydrogen halide, as shown in Table 4.1. The subsequent conversion of PbX_2 to MAPbX₃ was carried out in a solution containing 0.05 M MAX in isopropanol. Finally, the as-prepared MAPbX $_3$ was annealed at 100 °C for 10 min.

4.2.5 One-step chemical conversion from $PbO₂$ to MAPbX₃:

Conversion of as-deposited PbO₂ to MAPbX₃ was conducted in a solution containing 0.05 M MAX in isopropanol, and the conversion time varied according to the methylammonium halide, as shown in Table 4.2. Finally, the as-prepared MAPb X_3 was annealed at 100 °C for 10 min.

Conversion	Conversion time Color variation	
$PbO2$ to MAPbCl ₃	7 days	red to white
$PbO2$ to MAPbBr ₃	15 hours	red to orange
$PbO2$ to MAPbl ₃	1 hour	red to black

Table 4.2 Conversion time for the chemical conversion of $PbO₂$ to MAPbX₃

4.2.6 Characterization:

The morphology of the perovskite films was studied by SEM using a Hitachi S-4700 SEM instrument. The UV-Vis absorption spectra were measured with a UV-VIS-NIR spectrophotometer (Lambda 750, Perkin-Elmer). The crystalline phase was identified by X-ray diffraction (XRD) using Bruker D8-Advance diffractometer with a Cu-K_α radiation.

4.2.7 Photoelectrochemical characterization:

Electrochemical measurements were carried out in an electrochemical cell using a potentiostat (BioLogic SP-300). Perovskite films on a FTO substrate functioned as the working electrode exposed to electrolyte, a Pt wire as the counter electrode, and an Ag wire as the quasi-reference electrode. A white light LED was used to provide an irradiance of 10 mW/cm² at the surface of the perovskite films. The supporting electrolyte was 0.05 M TBAPF₆ in CH₂Cl₂, and 2 mM p benzoquinone (BQ/BQ⁻) redox couple was used as the redox mediator. The scan rate was 10 mV/s, and the solution was degassed by argon bubbling during the measurement.

4.3 Results and discussion:

The mechanism of PbO₂ electrodeposition and chemical conversion to MAPbX₃ are presented in the following equations [47, 77, 126, 165, 166]:

$$
PbO2 electrodeposition: Pb2+ + 2H2O \rightarrow PbO2 + 4H+ + 2e-
$$
 (4.1)

Two-step conversion:
$$
PbO_2 + 4HX \rightarrow PbX_2 + X_2 + 2H_2O
$$
 (4.2)

$$
PbX_2 + MAX \rightarrow MAPbX_3 \tag{4.3}
$$

One-step conversion: $PbO_2 + 5MAX \rightarrow MAPbX_3 + 4MA + 2H_2O + X_2$ (4.4)

Figure 4.3 Schematic illustrating the solution processes of lead halide perovskites based on electrodeposition. PbO₂ film was electrodeposited followed by two-step chemical conversion to convert to MAPbX₃ (a), by one-step chemical conversion to convert to MAPbX₃ (b)

Figure 4.3a shows the solution process combining $PbO₂$ electrodeposition and sequential twostep conversion to MAPbX₃ in ambient atmosphere. PbO₂ was deposited galvanostatically on a FTO substrate, and the electrochemical oxidation of Pb^{2+} was controlled by an anodic current density of 0.25 mA/ $cm²$ using a three-electrode system (Equation 4.1). The low current density with a low growth rate led to a compact and uniform $PbO₂$ film with full coverage on a millimeter scale (Figure 4.4a), which is important to form uniform perovskite films through the next two-step chemical conversion. Figure 4.5a shows the XRD patterns of an as-deposited PbO₂ film, with the four diffraction peaks at 25.5°, 32.5°, 36.5° and 49.5° corresponding to (110), (101), (200) and (211) crystal planes of tetragonal *β*-PbO₂, respectively. The optical *E*g of *β*-PbO₂ was determined to be 1.60 eV by UV-Vis absorption spectra followed by calculation using Tauc plot (Figure 4.6a, Figure 4.7a), which matches literature values [167]. The PbO₂ film was converted *in* situ to PbX₂ by chemical conversion in a HX ethanol solution. During the conversion, the reaction advanced from the edges and top of $PbO₂$ film toward the center and bottom, which involves PbO₂ reduction by the halide (Eq.4.2). The time period for chemical conversion of PbO₂ was

determined by the complete color change of the red $PbO₂$ films (Table.4.1). After the conversion, PbI² film displays a strong yellow coloration and an optical *E*^g of 2.4 eV, as the absorption onset blue shifts to 575 nm (Figure 4.6, Figure 4.7b). PbCl₂ and PbBr₂ films are white, and they barely have absorption in the visible region (Figure 4.6). XRD patterns of the converted PbX₂ films lack PbO₂ reflection peaks, and the new diffraction peaks are readily detected, which match well with that of PbX₂ (Figure 4.5a). The volume of PbO₂ grains may expand upon chemical conversion as PbX₂ is less dense than PbO₂ (Figure 4.4b-d) [77], e.g., 6.16 g/cm³ for PbI₂ vs. 9.38 g/cm³ for PbO₂. The as-prepared PbX₂ was converted to MAPbX₃ by interdiffusion reaction with MAX, followed by the thermal annealing at 100 \degree C for 10 min to improve the perovskite crystallization. After conversion to MAPbX₃, color evolution occurs depending on the original PbX₂ films, and the absorption spectrum of MAPbX₃ is in the visible region with the optical E_g shifting from 2.99 eV to 2.37 eV and 1.57 eV going from Cl to Br and I, respectively (Figure 4.6, Figure 4.7c-e). It is noted that it took 5 days to completely convert PbCl₂ to MAPbCl₃ without color variation (see Table 4.1), and the conversion process was monitored by XRD. Figure 4.5b shows the XRD patterns of the MAPbX₃ films, where a new set of diffraction peaks appear, with no lead halide detected. The characteristic diffraction peaks at 14.5° , 24.8° , 32.3° are ascribed to the (110), (220), (114) crystal planes of tetragonal MAPbI₃, 15.4°, 21.7°, 30.7°, 34.3° are ascribed to the (100), (110) (200), (210) crystal planes of cubic MAPbBr₃, and 16.0°, 22.5°, 31.9°, 35.7° are ascribed to the (100), (110) (200), (210) crystal planes of cubic MAPbCl₃, respectively [168, 169]. MAPbI₃ exhibits a compact and uniform morphology with crystal grains ranging from 0.5 to 1 μ m (Figure 4.4g). MAPbBr₃ presents a compact morphology with large grain size distribution ranging from sub-micrometer to several micrometers. MAPbCl₃ shows a compact morphology with irregular shaped grains of sub-micrometer size.

Figure 4.4 Top-view SEM images of PbO₂, PbX₂ and MAPbX₃ films. (a) PbO₂, (b) PbI₂, (c) PbBr₂, (d) PbCl₂, (e) MAPbCl₃, (f) MAPbBr₃, (g) MAPbl₃.

Figure 4.5 XRD patterns of PbO₂, PbX₂ (a) and MAPbX₃ (b), asterisks represent the FTO substrate.

Figure 4.6 UV-Vis absorption spectra of PbO₂, PbX₂ (a) and MAPbX₃ (b) films.

Figure 4.7 Tauc plot for the calculation of E_g of PbO₂(a), PbI₂(b), MAPbX₃ (c-e) films.

Depending on the solution techniques and chemical composition employed during the perovskite preparation, the morphology of the resulting perovskite films may vary significantly, which subsequently affect the efficiency and stability of perovskite devices. The direct *in situ*

conversion of PbO₂ to MAPbX₃ was also achieved by using one-step chemical conversion, as shown in Figure 3b. Both XRD patterns and optical E_g of MAPbX₃ are in good agreement with that obtained using two-step chemical conversion (Figure 4.8, Figure 4.9). Meanwhile, SEM images show improved morphology with a larger grain size (ca. 1 *µ*m or larger) for one-step chemical conversion (Figure 4.10). Grain size is one of the key factors to optimize the performance of perovskite devices, and large grain size has contributed to high stability and efficiency perovskites due to the reduced charge recombination sites in perovskite film. This suggests that electrodeposition combined with one-step chemical conversion may lead to highquality hybrid organic-inorganic perovskite film.

Figure 4.8 XRD patterns of MAPbX₃ obtained by PbO₂ electrodeposition combined with one-step chemical conversion, asterisks represent the FTO substrate.

Figure 4.9 UV-Vis absorption (top) and Tauc plot (bottom) of MAPbX₃ films obtained by one-step chemical conversion.

Figure 4.10 Top-view SEM images of MAPbX₃ film obtained by PbO₂ electrodeposition combined with one-step chemical conversion. a: MAPbCl₃, b: MAPbBr₃, c: MAPbI₃.

Even though one-step conversion showed advantages on the grain size and film uniformity, the conversion from PbO₂ to MAPbX₃ take much more time than that to PbX₂ then to MAPbX₃, as shown in Table 4.1 – 4.2. For example, it takes 1 h to convert PbO₂ to MAPbI₃, and the activation energy for this conversion was determined to be 38.3 KJ/mol [126]. On the other hand, the activation energy from PbO₂ to PbI₂ in acidic solution is 18.4 KJ/mol [170], which leads to a fast conversion in 6 minutes. For the second conversion from PbX₂ to MAPbX₃, the activation energy for vacancy-mediated ion migration increases from $X = I$ to Br and to CI due to the presence of water in the conversion bath [171], which results in a fast conversion from PbI₂ to MAPbI₃ in 3 minutes, and slower conversion from PbCl₂ to MAPbCl₃ in 5 days. Figure 4.11 shows the XRD pattern of MAPbI₃ films obtained through one-step and two-step conversion. MAPbI₃ showed a strong preferential orientation along the (110) plane, MAPbCl₃ and MAPbBr₃ had a strong preferential orientation along the (100) plane. Meanwhile, two-step MAPbI₃ showed orientation along the (112) plane similar to the one-step MAPbI₃. Two-step MAPbCI₃ and MAPbBr₃ had

preferred orientation along (110) plane over their one-step counterparts, as shown in Table 4.3. It has been reported that the crystallographic orientation does not govern the optoelectronic quality of MAPbI₃ thin films [172], which may also have an insignificant effect on MAPbCI₃ and MAPbBr₃ films for their optoelectronic applications.

Figure 4.11 Normalized XRD patterns of MAPbX₃ films obtained through one-step and two-step conversion, asterisks represent the FTO substrate.

As shown in Table 4.3, the FWHM of 3 main diffraction peaks showed a bigger average crystal size for MAPbCl₃ film obtained through one-step conversion than that of two-step conversion, which was consistent with SEM. It has been demonstrated that perovskite films with larger crystal grain sizes (e.g., micron-size) are favorable for charge carrier transport [173].

MAPbl ₃	two-step		one-step	
plane	intensity	FWHM	intensity	FWHM
(110)	100	0.24	100	0.21
(112)	20	0.17	6.5	0.16
(220)	43	0.18	47	0.2

Table 4.3 Normalized Intensity and full-width at half maximum (FWHM) of the densest diffraction peaks for MAPbX₃ films

In polycrystalline perovskite films, defects and impurities were found at GB and film surfaces. Non-radiative recombination at GBs and film surface severely limit perovskite device performance. It has been reported that large grains can efficiently reduce the influence of defective GBs by decreasing defects associated with the GB, leading to a significantly improved performance together with longer charge-carrier lifetimes [174]. In this study, the photoresponse of two-step and one-step MAPbI₃ perovskite films with different grain size was investigated in a photoelectrochemical cell, using linear sweep voltammetry (LSV) and BQ/BQ- as redox mediator. To find the electrochemical satiability window for MAPbI₃, LSV measurements were measured for potentials between + 0.40 V and − 0.20 V vs. Ag/Ag⁺ at a scan rate of 10 mV/s. Figure 4.12 shows voltammograms recorded for two-step MAPbI₃. The cathodic current in dark and under illumination increased with the applied potential sweeping toward − 0.20 V, without

showing oxidation or reduction peaks. The photocurrent under illumination was larger than the dark one, and was ca. 4 times higher at 0.0 V as compared in the dark. This enhancement in the current can be correlated with the energy levels of the WE, MAPbI₃/FTO, at the electrolyte interface, as shown in Figure 4.13. In the dark condition, electrons were injected into the WE when the potential was swept more negative, which were transferred to the electrode/electrolyte interface to reduce BQ in electrolyte resulting in the increase of the cathodic current. While under light illumination, e was excited from VB to CB of MAPbI₃, and holes (h⁺) were generated at the low energy VB. On applying a bias potential to MAPbI₃/FTO electrode, both h⁺ and e⁻ moved in opposite directions and electrons were transferred to the MAPbI3/electrolyte interface to reduce BQ to generate a cathodic photocurrent, which increased with the applied potential being swept toward more negative values.

Figure 4.12 Photocurrent-potential curves of 2-step prepared MAPbI₃ in 0.05 M TBAPF₆ electrolyte at the scan rate of 10 mV/s.

Figure 4.13 Schematic diagram of the energy levels at MAPbI₃/electrolyte interface. CB and VB denote the conduction band and valence band of MAPbI3.

.

Compared with two-step MAPbI3, one-step MAPbI3, showed a large dark current and photocurrent as shown in Figure 4.14. The photocurrent under illumination gradually increased and was ca. 3 times higher at 0.0 V as compared in the dark. As mentioned above, the one-step conversion directly from PbO₂ to MAPbI₃ resulted in a film morphology with large grains, which can significantly improve the photovoltaic performance of perovskite device [174]. Meanwhile, one-step MAPbI₃ had a dominate (110)-preferred orientation (ca. 65%), higher than that for its two-step counterpart (ca. 61%). The (110) orientation has been reported to contribute to the superior PCE and stability for MAPbI $_3$ device [175]. To summarize, one-step MAPbI $_3$ showed larger grain size and photocurrent, which provides a useful method to obtain highly-oriented and efficient perovskites.

Figure 4.14 Photocurrent-potential curves of 1-step prepared MAPbI₃ in 0.05 M TBAPF₆ electrolyte at the scan rate of 10 mV/s.

Figure 4.15 shows LSV curves measured under light on/off cycles, for both two-step and onestep MAPbI₃ films with the onset at about 0.40 V vs. Ag/Ag⁺. The photocurrent increased and decreased rapidly when the light was switched on and off, and there was no significant transient decay. A decay of photocurrent suggests a recombination of the photogenerated carriers and indicates that not all photogenerated e are transported to reduce BQ. We can conclude that the two-step and one-step MAPbI₃ prepared by ED were high-quality perovskites with minor defects at GB and film surfaces.

Figure 4. 15 Photocurrent-potential curves of MAPbI₃ in 0.05 M TBAPF6 electrolyte at the scan rate of 10 mV/s.

4.4. Conclusion

Herein, we reported PbO₂ electrodeposition combined with one-step and two-step chemical conversion as a general route toward hybrid $MAPbX₃$ perovskites in ambient atmosphere, and their crystal structure, film morphology and photoresponse were evaluated. MAPbI₃ perovskites prepared by electrodeposition combined with one-step chemical conversion shows larger grain sizes and photocurrents, which could be a more economical and effective route toward highquality MAPbX₃ perovskite films. This scalable solution process provides a facile method to tune the optoelectronic properties of perovskites by managing the chemical composition and conversion process. All these works are expected to provide a strategy for the improvement of the optoelectronic properties of perovskites as a function of chemical, structural and morphological variations.

Chapter 5

Electrodeposition of inorganic cesium lead mixed-halide perovskites

Abstract

Inorganic cesium lead mixed-halide (CsPb(XY)₃, X, Y = I, Br, Cl) perovskites have recently attracted enormous interest for stable and efficient optoelectronic applications. While several techniques have been developed for the preparation of $CsPb(XY)_{3}$, there is still a need for a facile and scalable method to synthesize CsPb(XY)₃. Herein, starting from electrodeposited PbO₂, we developed a two-step solution deposition to synthesize a series of CsPb(XY)₃ films. Their crystal structure was determined by XRD to identify the perovskite structure of CsPb(ICI)₃, CsPb(IBr)₃ and CsPb(ClBr)₃. Further study indicates that CsPb(ICl)₃ and CsPb(ClBr)₃ adopted a cubic phase with an *E_g* of 2.92 eV and 2.39 eV, respectively, and CsPb(IBr)₃ an orthorhombic phase with *E_g* of 2.23 eV. Photoluminescence (PL) mapping showed a red-shift at grain boundaries (GB) due to phase segregation for CsPb(IBr) $_3$, but no emission shift was observed for CsPb(ICI) $_3$ or CsPb(ClBr)3. Their photocurrent (Iph) response was also studied to evaluate the performance of the perovskite films in a liquid junction photoelectrochemical (PEC) cell. This work provides a facile and scalable approach towards the preparation of inorganic CsPb(XY)₃ mixed halide perovskites, and reveals their potential for optoelectronic applications using large area coating methods.

Keywords

Cesium lead mixed-halide, Electrodeposited $PbO₂$, Two-step solution deposition, Photoluminescence mapping, Grain boundaries, Inorganic perovskites.

5.1 Introduction

Organic-inorganic hybrid lead halide perovskites have experienced extraordinary progress in photovoltaic applications due to their unique optoelectronic properties, such as strong optical absorption, small exciton binding energy, and large diffusion length. However, the volatile and thermally unstable nature of the organic components (e.g., CH₃NH₃⁺, CH₃(NH₂)₂⁺) has been a challenge for their large-scale applications [176-179]. Alternatively, inorganic cesium lead halide perovskites (CsPbX3), with high stability against thermal stress, light soaking and moisture, have received considerable attention [180]. Various deposition techniques have been developed to synthesize CsPbX₃, such as spin-coating, vapor deposition and spray-assisted deposition [181-185]. Recently, we reported a facile and scalable technique using electrodeposition followed by a two-step solution conversion to synthesize CsPbX₃ films on a FTO/glass substrate [186]. Inspired by this work, we extended this technique to the synthesis of inorganic mixed-halide $CsPb(XY)$ ₃ films in the present study. Compared with $CsPbX₃$, mixed-halide perovskites provide a tunable *E*^g which makes them one of the most promising materials for optoelectronic devices [187]. One of the most studied CsPb(XY)₃ is CsPbI₂Br perovskite with a small *E_g* of 1.90 eV, which has been synthesized by spin-coating and showed a device efficiency of 16.58% recently [180, 183, 188-190]. Moreover, with increasing I content, a smaller *E*^g of 1.70 eV was reached for $CsPb1_{2.85}Br_{0.15}$, which exhibited excellent thermal and compositional stability, and a high device efficiency of 17.17% due to Br induced suppression of bulk trap-assisted nonradiative recombination and lattice strain relaxation [179]. In addition to mixing Br and I, CsPbCl_{3-x}Br_x was also synthesized using a chemical vapor anion exchange method, and the light emission was controlled in the 421 - 504 nm spectral range by the reaction of the solid CsPbBr₃ with HCl vapor. In spite of the tunable E_g and high efficiency, CsPb(XY)₃ conveniently suffer from illumination or bias-induced phase segregation when mixing halides in the perovskite structure. Phase segregation was first reported for MAPb $(BrI)_3$ perovskites, then a similar observation was found in $CsPb(Br1)_3$ perovskites . During phase segregation, the excited charge carriers drive the separation of halide species to form heterogeneous regions, with the formation of I-rich regions located along the GB, which results in low- *E*^g domains causing a red-shift emission [111, 191]. It was believed that the segregated I-rich phases had a funneling effect leading to a loss in opencircuit voltage [192]. However, a recent study revealed that the I-rich phase supported ionic migration, which was beneficial for the performance of perovskite devices [110]. Herein, we evaluated the phase segregation of as-prepared perovskites using PL mapping. CsPb(ICI)3 showed a homogenous PL spectrum at GI and GB. CsPb(IBr)₃ and CsPb(CIBr)₃ exhibited a redshift emission at GB, probably due to the absence the segregated I-rich and Br-rich phases, respectively. We also studied the photoactivity of CsPb(ICl)₃, CsPb(IBr)₃ and CsPb(ClBr)₃ films in a liquid PEC cell to evaluate their performance, and all of them showed a stable Iph response under chipped illumination. Compared with CsPb(IBr)₃ and CsPb(ClBr)₃, CsPb(ICl)₃ exhibited a Iph of \sim 16 μ A/cm² at OCP. In this work, we established a facile and scalable method to synthesize CsPb(XY)₃ family, and studied their crystal structure and optoelectronic properties, providing a prospect on the development and commercialization of $C_SPb(XY)$ ₃ perovskites.

5.2 Materials and methods

5.2.1 Chemicals and materials

Methanol (HPLC, ≥ 99.9%), ethanol (anhydrous, ≥ 99.5%), acetone (ACS reagent, ≥ 99.8%), acetic acid (ACS reagent, ≥ 99.7%), hydrochloric acid (ACS reagent, 37%), hydrobromic acid (ACS reagent, 48%), hydroiodic acid (ACS reagent, 55%), sodium acetate trihydrate (ACS reagent, ≥ 99%), lead acetate trihydrate (ACS reagent, ≥ 99%), tetrabutylammonium hexafluorophosphate (TBAPF6), dichloromethane, benzoquinone (BQ), cesium chloride (99.9%), cesium bromide (99.9%) and cesium iodide (99.9%) were purchased from Sigma-Aldrich. All chemicals were used as received without further purification. Fluorine-doped tin oxide coated glass substrates (FTO, 6–9 Ω/square) were purchased from Delta Technologies, Inc. Water was purified using a Millipore system (18.2 MΩ cm).

5.2.2 Electrodeposition

FTO slides (0.5 cm \times 2.5 cm) were cleaned successively for 20 min in acetone, methanol and water followed by drying with compressed air. The electrodeposition bath was an aqueous solution (pH 5.5) containing 1.0 M sodium acetate and 0.1 M lead (II) acetate. Concentrated acetic acid was used to adjust the pH. Electrodeposition was carried out according to the method of Popov et al. [8] using a current density of 0.25 mA cm⁻² for 900 s using a potentiostat (Solartron 1470) under ambient conditions in a three-electrode cell using a FTO slide as working electrode (area = 1 cm^2), a platinum gauze counter electrode and an Ag wire quasi-reference electrode. The resulting PbO₂ film on FTO was rinsed with water and annealed at 100 °C in air for 10 min.

5.2.3 Two-step chemical conversion of PbO₂ to CsPb(XY)₃

Conversion of the as-deposited PbO₂ to PbX₂ was carried out in ethanol containing 50 mM of the corresponding hydrohalic acid, using a conversion time of 6 min for PbI₂, 4 h for PbBr₂ and 40 min for PbCl₂ at room temperature. The subsequent conversion of PbX₂ to CsPb(XY)₃ was achieved by immersing the PbX₂ films in methanol containing 20 mM CsY for 35 min for $CsPb(ICI)_3$ and $CsPb(ClBr)_3$, and $CsPb(IBr)_3$ for 65 min at room temperature, followed by thermal

annealing at 400 ◦C for 30 min.

5.2.4 Characterization

UV–Vis absorption spectra were obtained with a UV–VIS-NIR spectrophotometer (Lambda 750, Perkin-Elmer). X-ray diffraction patterns were obtained using a Bruker D8 Advance diffractometer with a Cu-K α radiation source. Images of the surface morphology of the perovskite films were obtained using a scanning electron microscope (SEM) (JEOL JSM7600F). Photoluminescence (PL) mapping was measured using a hyperspectral microscope (Photon etc). The laser excitation at 405nm with intensity comparable with 100 sun flux was used.

5.2.5 Electrochemical measurements

The photoactivity of perovskite films was measured in a photolelctrochemical cell using a Potentiostat (BioLogic SP-300). CsPb(XY)₃ films with \sim 0.07 cm² area exposed to the electrolyte functioned as the working electrode, a Pt wire as the counter electrode, and an Ag wire as a quasi-reference electrode. The supporting electrolyte was 0.1 M TBAPF $_6$ in dichloromethane, and 2 mM BQ was used as the redox mediator. The solution was purged by argon bubbling for 10 min before measurement. The light source was a blue LED with an illumination intensity of 50 $mW/m²$ at 405 nm.

5.3 Results and discussion

 $CsPb(XY)$ ₃ films were prepared by electrodeposition of $PbO₂$ followed by a two-step chemical conversion process under open-air conditions [47, 186]. The first conversion of the electrodeposited PbO₂ on FTO to PbX₂ was carried out in ethanol containing 50 mM of HX. The second conversion was carried out in methanol containing 20 mM CsY to form the corresponding $CsPb(XY)_{3}$, as shown in equation 5.1 - 5.6. Following chemical conversion, CsPb(XY)₃ films were annealed at 400 \circ C for 30 min, and their crystal structures were determined by XRD, as shown in Fig. 5.1. Fig. 5.1(a) represents the conversion of PbI₂ film to $CsPb(ICI)_3$ after dipping in a CsCl solution for 35 minutes, which was indexed to the cubic phase of CsPbCl₃ (PDF No.: 00-018-0366). However, the dipping of the PbCl₂ film in CsI solution gave a non-perovskite structure, as shown in Fig. 5.1(b). The dipping of a PbI² film in CsBr solution for 65 minutes yielded the orthorhombic phase of CsPbBr₃ (PDF No.: 00-018-0364), and the dipping of PbBr₂ film in a CsI solution gave a non-perovskite structure, as shown in Fig. 5.1(c, d). The dipping of a PbCl₂ film in CsBr solution yielded a cubic phase of CsPbCl₃ doped with Br⁻, which

shows a Br-shift of about 2° , and the dipping of a PbBr₂ film in a CsCl solution gave a nonperovskite structure, as shown in Fig. 5.1(e, f). It is noted that even CsPb(ICI)₃ and CsPb(IBr)₃ didn't show any I-shift in their XRD diffractogram, it is still possible that both are still I-doped [193, 194]. These results indicate that the conversion sequence plays an important role in the formation of the final perovskite crystal structure for CsPb(XY)₃. We are more interested in CsPb(ICI)₃, CsPb(IBr)₃, CsPb(CIBr)₃, and the following discussion will focus on these 3 perovskite structures.

- Pbl_2 (s) + CsCl (aqueous) \rightarrow CsPb(ICl)₃ (s) (5.1)
- PbI₂ (s) + CsBr (aqueous) \rightarrow CsPb(IBr)₃ (s) (5.2)
- PbCl₂ (s) + CsBr (aqueous) \rightarrow CsPb(ClBr)₃ (s) (5.3)
- $PbCl₂(s) + CsI (aqueous) \rightarrow CsPb(Cl1)₃(s) (5.4)$
- $PbBr_2(s) + CsI (aqueous) \rightarrow CsPb(BrI)_3(s)$ (5.5)
- $PbBr_2(s) + CsCl$ (aqueous) $\rightarrow CsPb(BrCl)_3(s)$ (5.6)

Figure 5.1 Screening of CsPb(XY)₃ perovskite structure by XRD. (a) CsPb(ICl)₃, (b) CsPb(IBr)₃, (c) CsPb(ClBr)₃, (d) CsPb(ClI)₃, (e) CsPb(BrI)₃, (f) CsPb(BrCl)₃.

To further understand the transition dynamics of PbX₂ films to CsPb(XY)₃, the conversion process was monitored by XRD and SEM as shown in Fig. 5.2. Fig. 5.2(a) shows the crystal structure transition of a PbI₂ film dipped in a CsCl solution for 1, 4, 7, and 35 minutes without annealing, and then annealed at 350 \degree C for 30 min. The conversion began in less than 1 minute, as shown by indexing at 16° for 100 peak of cubic CsPbCl₃. The corresponding SEM image shows that the film morphology changes from need-shaped to cubic with increasing conversion time (Fig. 5.2(ad)). Up to 35 min, the crystal structure completely transfers to cubic CsPbCl₃, as well as the film morphology changes to cubic (Fig. 5.2(e-f)). Annealing improved the crystal quality by removing solvent in the final film, and led to film coalescence. For the crystal structure transition of a PbI₂ film dipped in CsBr solution, XRD indicates that there is no structure change even after 65minconversion (Fig. 5.3(a)), as well as the needle-shape film morphology doesn't show any change (Fig. 5.3(b-e). However, annealing led to the transition to orthorhombic CsPbBr₃ with a cubic film morphology (Fig. 5.3(f)). These results indicate that CsCl in solution drives the transition of the PbI₂ film to cubic CsPbCI₃ without annealing in a short time. However, CsBr in solution requires the annealing to achieve the transition of PbI₂ to the orthorhombic CsPbBr₃ perovskite.

The conversion of a PbCl₂ film dipped in a CsBr solution shows an instant crystal structure transition in 1 min as indicated by XRD (Fig. 5.4(a)). SEM images also show a constant cubic film morphology from 1 to 35 min (Fig. 5.4(b-f)). Compared with CsPb(ICl)₃ and CsPb(IBr)₃, Br- can easily prompt the transition from PbCl₂ to CsPb(ClBr)₃ with a 2° Br-shift from cubic CsPbCl₃.

Figure 5.2 Monitoring of the conversion to CsPb(ICI)₃ by XRD and SEM in function of dipping time. (a) Crystal structure, (b) dipping for 1 min, (c) dipping for 4 min, (d) dipping for 7 min, (e) dipping for 35 min, (f) annealing after dipping for 35 min.

Figure 5.3 Monitoring of the conversion to CsPb(IBr)₃ by XRD and SEM in function of dipping time. (a) Crystal structure, (b) dipping for 1 min, (c) dipping for 4 min, (d) dipping for 7 min, (e) dipping for 65 min, (f) annealing after dipping for 65 min.

Figure 5.4 Monitoring of the conversion to CsPb(ClBr)₃ by XRD and SEM in function of dipping time. (a) Crystal structure, (b) dipping for 1 min, (c) dipping for 4 min, (d) dipping for 7 min, (e) dipping for 35 min, (f) annealing after dipping for 35 min.

The UV-Vis absorbance of CsPb(XY)₃ films on FTO/glass substrates are reported in Fig. 5.5.

 $CsPb(ICI)_3$ and $CsPb(CIBr)_3$, which adopt a cubic phase, show an absorption onset at 425 nm (2.92 eV) and 520nm (2.39 eV), respectively. CsPb(ICI) $_3$ is determined to be an almost pure cubic CsPbCl₃ perovskite (Fig. 5.1(a)), and CsPb(CIBr)₃ is a Br-doped cubic CsPbCl₃ perovskite (Fig. 5.1(c)). As expected, Br doping significantly decreases the E_g of the CsPbCl₃ perovskite. CsPb(IBr)₃ adopts an almost pure orthorhombic CsPbBr₃ perovskite, which shows an absorption onset at 558 nm, corresponding to an optical *E*^g of 2.23 eV.

Figure 5. 5 UV-Vis absorption spectra of CsPb(ICI)₃, CsPb(IBr)₃, CsPb(ClBr)₃ films.

The photoluminescence (PL) of perovskite originates from the radiative recombination of photoexcited electrons (e⁻) and holes (h⁺), which is useful to evaluate the optoelectronic properties such as the band structure, as well as the presence of defects and impurities [195]. Hoke et al. first reported the reversible photoinduced halide segregation through the PL spectra of MAPb(BrI)₃ thin films [117]. It was found that the initial perovskite phase with homogeneous halide composition could transfer to I/Br-rich heterogeneous phases after a certain dosage of light soaking, and there was a halide-remixing process once the light excitation was removed. The photogenerated I/Br-rich domains could attract photoexcited carriers from adjacent phases due to energetic confinement, and then dominate the (non-)radiative recombination. Fig. 5.6

shows the PL mapping of CsPb(ICI)₃ that was performed with a 405nm-laser excitation at 100 sun illumination. Both the grain interior (GI) and grain boundary (GB) show a PL peak at \sim 421nm (2.95 eV), in good agreement with the optical *E*^g determined from UV-vis absorption spectra, which indicates the chemical homogeneity between GB and GI for I/Cl-mixed cesium lead perovskite under high light intensity. Different from CsPb(ICI)₃, CsPb(IBr)₃ shows a red-shift from 543nm (2.29 eV) for the GI to 550nm (2.26 eV) for the GB due to the formation of I⁻ rich domains for the I/Br-mixed cesium lead perovskite (see Fig. 5.7). The halide segregation at GBs leads to a PL and *E_g* shift. Similar with CsPb(ICl)₃, CsPb(ClBr)₃ shows the same PL peak at 500nm (2.48eV) for the GI and the GB (see Fig. 5.8), which proves the chemical homogeneity at high light intensity between GB and GI for Br/Cl-mixed cesium lead perovskites. We can see, I/Cl and Cl/Brmixed cesium lead perovskites have a highly stable chemical composition, and halide segregation happens for I/Br-mixed cesium lead perovskites under light which results in the heterogeneous halide rich regions and lower Eg. On the other hand, the formation of this local heterostructure may enhance defect tolerance for the final perovskites [196, 197].

Figure 5.6 (Top) hyperspectral PL mapping of CsPb(ICI)₃ film at emission of 421nm, map was taken with 405 nm laser excitation with 100 sun intensity. (Bottom) PL extracted for GI and GB.

Figure 5.7 (Top) hyperspectral PL mapping of CsPb(IBr)₃ film at emission of 553nm, map was taken with 405 nm laser excitation with 100 sun intensity. (Bottom) PL extracted for GI and GB.

Figure 5.8 (Top) hyperspectral PL mapping of CsPb(ClBr)₃ film at emission of 501nm, map was taken with 405 nm laser excitation with 100 sun intensity. (Bottom) PL extracted for GI and GB.

Fig. 5.9 shows the normalized PL intensity for GI and GB of CsPb(ICI)₃, CsPb(CIBr)₃, CsPb(IBr)₃ films. As discussed previously, CsPb(ICl)₃ adopts an almost pure cubic phase of CsPbCl₃, and CsPb(ClBr)³ adopts in Br/Cl-mixed cubic phase, which lead to a PL red-shift and lower *E*^g in comparison with cubic CsPb(ICl)₃. CsPb(IBr)₃ adopts an almost pure orthorhombic phase of CsPbBr³ with a PL red-shift and lower *E*^g compared with CsPb(ClBr)3. Meanwhile, the relative intensity of the GB to the GI is lower for CsPb(IBr)₃ than that of CsPb(ICI)₃ and CsPb(CIBr)₃ which indicates a stronger non-radiative recombination of charge carriers at I⁻ rich domains. I-rich

segregated domains act as recombination centers for photogenerated charge carriers by trapping of carriers and hindering e extraction, and finally impact negatively on the performance of mixed halide perovskites [198, 199].

Figure 5.9 Normalized PL intensity for GI and GB of CsPb(ICI)₃ CsPb(ClBr)₃ CsPb(IBr)₃ films.

To further investigate the as-prepared perovskite films, the *I*ph was measured in a liquid junction photoelectrochemical solar cell using BQ as redox mediator. As shown in Fig. 5.10, CsPb(XY)₃ films at open circuit potential (OCP) show a sharp increase of the cathodic current when the light is turned on due to the fast light-induced generation of e-h⁺ pair, followed by a slow current decay. This decay may be attributed to the trap-assisted recombination at GB or interface of perovskite films. The negative I_{ph} indicates the transfer of e⁻ from FTO/perovskite film to electrolyte for the reduction of BQ. CsPb(ICI)₃ displays the largest I_{ph} of \sim 16 μ A/cm² followed by CsPb(ClBr)₃ of \sim 6 μ A/cm² and CsPb(IBr)₃ of \sim 4 μ A/cm². When the light is turned off, the current comes back to its dark level at ~ 0 µA/cm² . Meanwhile, the *I*ph at - 0.10 V *vs.* OCP was measured for CsPb(XY)₃ films, which shows an increase of 25% of its initial Iph for CsPb(ICI)₃, 17% for CsPb(ClBr)₃ and 200% for CsPb(IBr)₃. Furthermore, the perovskite films exhibit stable photocurrent response under chopped illumination.

Figure 5.10 Iph response of CsPb(XY)₃ films in 0.05M TBAPF₆ in DCM with 2mM BQ.

5.4. Conclusions

In summary, we demonstrated a facile and scalable deposition technique for the synthesis of a series of CsPb(XY)₃ films on a FTO/glass substrate. Their crystal structure was screened by XRD to identify three perovskites CsPb(ICl)₃, CsPb(IBr)₃, and CsPb(ClBr)₃. CsPb(IBr)₃ showed a red-shift PL at GB probably due to phase segregation. However, CsPb(ICI)₃ and CsPb(ClBr)₃ didn't show PL shift which conform the compositional homogeneity between GB and GI. All of the obtained mixed-halide perovskites exhibited a stable photoresponse under chopped illumination in a liquid PEC cell, and CsPb(ICl)₃ had the largest I_{ph} of \sim 16 μ A/cm². These results pave the way for the development of efficient and scaling-up mixed-halide perovskites.

CONCLUSION AND PERSPECTIVES

In this work, we demonstrated the electrodeposition of $PbO₂$ onto an FTO substrate followed by either a two-step or one-step chemical conversion used to synthesize MAPbX $_3$ films in an ambient atmosphere. Their crystal structure, film morphology, electronic structure and photoresponse were studied. The MAPbX³ films obtained by one-step conversion showed a large grain size and an improved photocurrent compared with that synthesized by two-step conversion, which enables the one-step conversion a more economical and effective route toward high-quality $MAPbX₃$ perovskite films. These results show that ED represents one of the most versatile techniques for the deposition of LHP films with the desirable characteristics.

Meanwhile, the organic component in hybrid MAPbX₃ perovskites, MA⁺, was replaced by Cs⁺ to form the more thermal stable inorganic CsPbX₃ perovskites using PbO₂ electrodeposition followed by the two-step conversion. This is the first example of growing $CsPbX₃$ perovskite films in a solution from the electrodeposited $PbO₂$ in an ambient atmosphere. The wide bandgap $CsPbCl₃$ perovskite exhibited a larger corrosion resistance than $CsPbBr₃$ due to the role of chloride in enhancing the stability of LHP. Furthermore, wide bandgap $CsPbX₃$ perovskites are finding increasing applications in photoelectrodes, LED and photodetectors where electrodeposition can be a valuable approach for their fabrication. Finally, a series of mixedhalide CsPb(XY)₃ films were prepared by modifying the chemical composition of the conversion bath during the two-step conversion. Their crystal structures were screened by XRD to identify three perovskites, CsPb(ICl)₃, CsPb(IBr)₃ and CsPb(CIBr)₃, which exhibited stable photoresponse under chopped illumination in a liquid PEC cell. CsPb(IBr) $_3$ showed a red-shift PL at GB probably due to phase segregation. However, CsPb(ICl)₃ and CsPb(ClBr)₃ didn't show PL shift which can confirm the compositional homogeneity between GB and GI. The pathway from $CsPbX_3$ to $CsPb(XY)$ ₃ perovskites provides a facile strategy to adjust the optoelectronic properties (crystal structure, *E*g, stability, photoactivity, etc.) of inorganic perovskites. These results may pave the way for the development of efficient and scaling-up mixed-halide perovskites.

In summary, we represented a facile and scalable solution deposition technique for the synthesis of hybrid MAPbX₃ perovskite films and inorganic CsPbX₃, CsPb(XY)₃ perovskite films. Their crystal structure, film morphology, electronic structure and photoactivity are studied to understand their optoelectronic properties towards highly efficient and stable perovskites.

One of the key factors deciding LHP devices is their architecture, which can be optimized by electrodepositing transport layers (ETL, HTL) to avoid short circuits in the devices. TiO₂ and poly(3,4-ethylenedioxythiophene) (PEDOT) have been successfully electrodeposited to form ETL and HTL to prepare a FTO/TiO2/MAPbI3/PEDOT solar cell, which opens a new opportunity to employ electrochemistry to assemble complex architectures of perovskite devices. Several materials could be electrodeposited, such as $SnO₂$, CuSCN, poly[bis(4-phenyl)(2,4,6trimethylphenyl)amine] (PTAA) and poly(3-hexylthiophene-2,5-diyl) (P3HT), rather than using spin-coated which is non-applicable on a large surface. The main challenge is to find an applicable non-aqueous solution to electrodeposit LHP. Meanwhile, the *E_g* engineering of CsPbX₃ through mixing halide offers great versatility in promoting the photoelectronic and photocatalytic performance of inorganic perovskites, which is useful in $CO₂$ reduction and H₂ generation.

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