# LEFT MARGIN SYNTAX OF KANIEN'KÉHA\*

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**Abstract**: We provide a description and analysis of the left margin syntax of Kanien'kéha (Mohawk; northern Iroquoian) as it relates to clause-typing and propose that there are three C-typing layers. One layer—which we label *c*—is external to the verb complex. The other two layers—which we label C<sub>FORCE</sub> and C<sub>FINITENESS</sub>—are realized as a set of modality and aspect-sensitive mood morphemes called pre-prefixes (Lounsbury 1953, Bonvillain 1989, Hopkins 1988, Mithun 1989). Key to our proposal is evidence from previously unanalyzed paradigmatic gaps and suppletion, which allow us to detect two important features of Kanien'kéha C-typing: (i) it uses scalar logic; and (ii) it is sensitive to the contrast between AFFIRMATIVE and NEGATIVE polarity. Our analysis has implications for linguistic theory (relative to how scales and polarity manifest in natural language) and for language pedagogy (relative to teaching and learning polarity-sensitive C-typing).

#### 1. Research Context and Problem

Kanien'kéha is a polysynthetic northern Iroquoian language with rich agreement and discourse-conditioned word order (Mithun 1987, Chamorro 1992, Decaire et al. 2017).<sup>1</sup> We investigate its left margin syntax, which corresponds to the red-shaded formatives in (1) and includes the particle *tsi* as well as pre-prefixes in the V-complex which interact with C-typing, here contrastive-*th* and optative-*a*. The gloss adopts the convention of enclosing the V-stem in parentheses; this domain (which includes the V-base, pronominal and nominal prefixes, as well as valency and aspectual suffixes) is relevant to our analysis, as it forms not only a morpho-phonological unit (Hopkins 1988) but also a morpho-syntactic unit (AspectP) to which pre-prefixes attach.<sup>2</sup> After showing how Kanien'kéha C-typing relates to the V-complex, we introduce the concept of layered C-typing.

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<sup>&</sup>lt;sup>1</sup> The Kanien'kéha speech community is distributed across eight sites (Six Nations, Wáhta, Tyendinaga, Kanehsatà:ke, Akwesasne, Kahnawà:ke, Ganienkeh, Kanatsioharèk), with about 3,500 speakers, of which 875 are L1 speakers (Green 2018).

<sup>&</sup>lt;sup>2</sup> We use the following conventions:  $1 = 1^{st}$  person,  $2 = 2^{nd}$  person,  $3 = 3^{rd}$  person,  $3N = 3^{rd}$  neutral, AFF = affirmative, AGR = agreement, ALIEN = alienable, CNT = contrastive, CNC = coincidential, CNJ = conjectural, CNT = continuative, D = determiner, DIST = distributive, DPL = duplicative, DU = dual, EP = epenthetic vowel, FCT = factual, FCE = force, FIN = finiteness, FOC = focus, FUT = future, GEN = gender, GF = grammatical function, HAB = habitual, HUM = human, IMVE = imperative, INCH = inchoative, INDF = indefinite, ITR = iterative, JN = joiner vowel, LOC = locative, M/MASC = masculine, NEG = negation, NUM = number, O/OB = object, OPT = optative, PERS = person, PFV = perfective, PNC = punctual, POL = polarity, PRT = partitive, PST = posterior, PL = plural, PXL = proximal, RFL = reflexive, REP = repetitive, REV = reversive, S/SU = subject, SG = singular, TRANS = translocative, ZC = zoic, ZN = zoic neutral. Sources are cited as follows: **F** = Beatrice

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(1) Ne: ki' ni' ni: wàkehre' tóka' ioiánere' FOC actually too 1sg (I.thought) maybe (it.is.good) 'I also thought that maybe it would be good

*tsi* iah ónhka - akò:ren th-a-iakotó:kenhse'. c NEG somebody else CNT-OPT-(it.be.certain.to.one) that no one else would know.' (Mithun 2009, (50))

### 1.1 Clause-typing morphology: particles and pre-prefixes

Given Kanien'kéha's intricate morphology, a question that arises is how C-typing particles and pre-prefixes are integrated into the V-complex.<sup>3</sup> Consider (2), which represents the embedded clause in (1), where *tsi* realizes *c*, and pre-prefixes realize  $C_{FCE}$  and  $C_{FIN}$ .<sup>4</sup> We focus our discussion on particles that precede the V-complex, the mode and aspect preprefixes (Foster 1985, 1986) that precede the V-stem, and the aspectual suffixes that are conditioned by pre-prefixes.<sup>5</sup> Syntactic analyses generally treat *tsi* as a complementizer (Ikeda 1991, Baker 1991, Mithun 2009), but do not discuss how pre-prefixes relate to Ctyping. Using paradigmatic contrasts, we establish the existence of three syntactic C-layers, namely *c* (realized by *tsi*), along with C<sub>FCE</sub> and C<sub>FIN</sub> (realized by pre-prefixes).

Francis, translated from Oneida 1970, *Bear & Fox*, published in Bonvillain & Francis 1980; I = Ikeda 1991; H = Hopkins 1988; CI = Grace Curotte, *Wenhniseri:yo* (*A Beautiful Day*), recorded 1983, published in Hopkins 1988; C2 = Grace Curotte, *Rahon:tsi* (*Blackie*), recorded 1983, published in Hopkins 1988; C3 = Grace Curotte, *Kana'tarokhun:we* (*Cornbread*), recorded 1983, published in Hopkins 1988; Ch = Chamorro 1992; OCG = Ontario Curriculum Guide. If no source is indicated, then we collected the data. Throughout, examples have had their spelling standardized according to the *Mohawk Language Standardization Project* (1993).

<sup>&</sup>lt;sup>3</sup> The Iroquoianist literature treats pre-prefixes as position classes (Bonvillain 1981, Mithun 2000).

<sup>&</sup>lt;sup>4</sup> The structure in (2) assumes a left-to-right parse, with morpheme order mapping directly onto syntactic structure (Chandlee 2017, Miller 2018).

<sup>&</sup>lt;sup>5</sup> The v-stem includes agreement prefixes (Barrie 2003), incorporated Ns (Barrie 2011, Barrie & Mathieu 2016), reflexives and middles (Beatty 1972:91*ff*.), the v-base, and suffixes of valency (Baker 1988) and aspect (Baker & Travis 1998).



'...that no one else would know'; lit. 'that it would be certain to no one else'

#### 1.2 Clause-typing syntax: c...CFORCE...CFINITENESS

The idea that C splits into  $C_{FCE}$  and  $C_{FIN}$  (Benincà 1996, Rizzi 1997) is widely adopted in analyses of C-typing. Kanien'kéha language-internal evidence supports positing three Ctyping layers, as in (3). The highest layer (*c*) is external to the V-complex and is realized by *tsi* (and related formatives). The two other layers— $C_{FCE}$  and  $C_{FIN}$ —are realized by preprefixes internal to the V-complex. Although the distribution of pre-prefixes is conditioned by C-typing (Bonvillain 1981:60), syntactic analyses have to date not treated them as part of the C-system. Recognizing the C-status of pre-prefixes affords insight into Kanien'kéha C-typing and reveals the existence of a scalar logic.

(3)  $[_{cP} c \dots [_{CP.FCE} C_{FCE} - [_{CP.FIN} C_{FIN} - \dots [_{AspP} [_{vP} \dots ] - Asp]]$ 

Consider (4), which summarizes the paradigmatic contrasts found for each layer of Ctyping. With *c* (4a), there is a two-way contrast, namely  $\{\emptyset, tsi\}$ . With C<sub>FCE</sub> (4b), we observe a four-way contrast, organized around two scalar dimensions which monitor updates to the Common Ground (more on this below). One dimension pertains to additive affirmative (aff) force, and shows a two-way contrast: zero-marked versus coincidental sh. The other scale pertains to subtractive aff force, and also shows a two-way contrast: contrastive th versus partitive n. With CFIN (4c), again we observe a four-way contrast, also organized around two scalar dimensions which monitor the realis status of the proposition. One dimension tracks a realis scale, contrasting zero-marked with factual-wa'; the other tracks an irrealis scale, contrasting optative-a with future-en. The paradigmatic organization of Kanien'kéha c-typing is not merely morphological: paradigms generate scalar implicatures, with the selection of one form over another regulated by discoursesensitive felicity conditions. This plays a key role in our analysis.



In the paradigmatic approach adopted here, zero-marking entails the absence of dedicated morphology; this is significant. Zero-marked clauses—where c,  $C_{FCE}$ , and  $C_{FIN}$  are phonologically null—are restricted to specific aspectual contexts, typically occurring with habitual (5) or stative (6) aspect. The (b) examples show that in the presence of the NEG particle *iah*, duple-*t* (an exponent of  $C_{FCE}$ ) is obligatory. This establishes that C-typing is polarity-sensitive: we treat AFF polarity first, then NEG polarity.

- (5) a. Ø-*R-atá:wen-s.* C-(M-swim-HAB) 'He swims' (*I-8:1*)
- (6) a. Sak Ø-ra-nòn:we-'s ako-tià:tawi'. Sak C- (M- like -INCH) her-dress 'Sak likes her dress' (I-8:2a)
- b. Iah te-ha-atá:wen-s.
   NEG C.DPL-(M-swim-HAB)
   'He doesn't swim'
- b. *Iah Sak te-ha-nòn:we-'s ako-tià:tawi'*. NEG Sak C.DPL-(M-like-INCH) her-dress 'Sak doesn't like her dress'

# 2. Affirmative clause-typing

We show in turn that AFF contexts have three layers of C-typing, namely c, C<sub>FCE</sub> and C<sub>FIN</sub>.

### 2.1 c paradigm

We introduce the *c* paradigm, and argue it is CP-external. While the *c* layer is simple to describe—consisting of  $\{\emptyset, tsi\}$ —its context-of-use is elusive to circumscribe. Complement clauses can be zero-marked (7a) or introduced by *tsi* (7b), with the latter taken to be a complementizer. Semantically, the Kanien'kéha V-complex is a complete proposition *p*, and so corresponds to a syntactic CP (7a'). If so, *tsi* must be CP-external (7b'), as confirmed by the fact that various constituents can intervene between *tsi* and the V-complex. This includes the NEG particle *iah* (8a), as well as DPs, either indefinite (8b) or definite (8c).

- (7) a. Sak Ø-*i*:-*r*-ehre-' Ø-*ri*-n*ò*n:we-'s. Sak C-EP-(M-think-PNC) C-(M- like -INCH) 'Sak thinks I like him.' (*I*-63:36b)
  - b. Sak Ø-i:-r-ehre-' tsi Ø-ri-nòn:we-'s. Sak C-EP-(M-think-PNC) c C-(M-like-INCH) 'Sak thinks that I like him.' (*I-63:36a*)
- (8) a. Kwah i:ken ki' ni:'i tsi wa'-k-ehná:ten-' very much PXL 1.PRN c FCT-(1-disappoint-PNC)
   'I'm very much disappointed

*tsi iah te-tewa-teriióh-sere-'*. *c* NEG C.DPL-(1IN- fight -go-PNC) <u>that we won't be fighting</u>.' (*F-84*:58)

- b. *Iah te- ho-terièn:tar-e' tsi onhka* Ø-wa-k-he-kòn:rek-e'. NEG C.DPL-(M-know-PNC) c someone C-FCT-(1-F-hit -PNC) 'He does not know that I hit anyone.' (*I-54:28b*)
- c. *Wa-hi-hró:ri-'* tsi Sak Ø-ron-wa-nòn:we-'s. FCT-(1>3-tell-PNC) c Sak C-(M- F- like-INCH) 'I told him that Sak, she likes.' (adapted from *I-13:7a*)

The ubiquity of *tsi*-clauses (Baker 1991:583, *fn*. 4) suggests that *tsi* is a general-purpose C. As such, it often combines with other particles (Kanatawakhon 2009): *ne tsi* in (9a) introduces a root CP; *sók tsi* in (9b) an adjunct CP, and *nek tsi* in (9c) a coordinate CP.<sup>6</sup>

<sup>&</sup>lt;sup>6</sup> (i) illustrates the *c*Ps in (8): (i-a) shows NEG in Spec,CP, (i-b) shows an indefinite in Spec,CP, and (i-c) shows a definite in Spec,CP. (ii) illustrates the *c*Ps in (9), with particle+*tsi* treated as a complex *c*-head. These complementizer complexes could be analyzed as distinct projections; we leave this to future research. (i)  $\begin{bmatrix} c_{\rm P} c & [c_{\rm P} \text{ Spec} & [c_{\rm C} \dots ]] \end{bmatrix}$ 

$\langle \rangle$		Let - Let		
	a.	[ <sub>cP</sub> tsi [ <sub>CP</sub> ia	h [ <sub>C'</sub> te-tewateriióhsere']]]	' that we won't be fighting.'
	b.	[cp <b>tsi</b> [cp <b>0</b> ]	nhka [ <sub>C'</sub> wa-khekòn:reke']]]	'that I hit anyone.'
	c.	[ <sub>cP</sub> tsi [ <sub>CP</sub> S	ak  [C' ronwanon: we's]]	' that Sak, she likes.'
(ii)		$\begin{bmatrix} cP & C \end{bmatrix}$	[CP]]	
	a.	[ <sub>cP</sub> ne tsi	[ <sub>CP</sub> waktsi 'a wa '-onkhináhskon '] ]	'My older sister gave him to us.'
	b.	[ <sub>cP</sub> Sók tsi	[CP ó:nen wa '-akwatiè:nihte '] ]	'When we had enough,'
	c.	[ <sub>cP</sub> nek tsi	[CP só:tsi sénha waké:kahs ne niiohontésha]]	'but I like strawberries more'

- a'. [<sub>*c*P</sub>Ø [<sub>*C*P</sub>*ri*-*nòn*:*we*-'s] ... 'I like him'
- b'. [<sub>*c*P</sub> *tsi* [<sub>*c*P</sub> *ri-nòn:we-'s*]] ... 'that I like him'

- (9) a. *Ne tsi wak-tsi -'a Ø-wa'-on-khi-náhsk-on-'*. FOC C my-older.sib-DIM C-FCT-(F-1PL-animal-give-PNC) 'My older sister gave him to us.' (*C2-302/308/310:2*)
  - b. [*Sók tsi ó:nen wa'-akw-at-iè:ni-ht-e'*] then *c* then FCT-(1PL-SFL-fill-CSV-PNC) '<u>When we had enough</u>,

sók ki t-ont-a- iakwa-títa-'. then PXL DU-CIS-FCT-(1PL-get.in-PNC) we got back on [the tractor platform].' (*C1-295/298/300:14*)

c. *Sewahió:wane* Ø*-wak-é:ka-hs* apple C-(1- like.taste-INCH) 'I like apples,

[*nek tsi* só:tsi sénha  $\emptyset$ -wak-é:ka-hs ne niiohontésha]. but c too.much more C-(1 like.taste-INCH) FOC strawberry but I like strawberries more' (OCG45)

A question that arises for *tsi*-clauses is whether complement and adjunct CPs are structurally distinct; two pieces of evidence indicate that they are. First, complement CPs support co-reference (10a) as well as bound variable anaphora (10b). The latter diagnoses c-command between a higher operator (*ónhka* 'who') and the pronominal variable it scopes over; this is possible if the *tsi*-clause in (10b) is complement-of-V (Ikeda 1991).

- (10) a. Sók ki Ka-ronhí:-yo Ø-wa-h-ate-'nién:t-en [Ø-y- a- ho- ié:na-']. then PXL ZN-sky-good.STV C-FCT-(M-SFL-try-PNC) C-TRN-OPT-(M:M-grasp-PNC) 'Karonhí:yo tried to hold him; lit. K<sub>{1}</sub> tried for him<sub>{1}</sub> to hold him' (C2-306/309/314:29)
  - b. **Ónhka**  $\emptyset$ -wa- s-he-hró:ri-' [tsi  $\emptyset$ -ie-io'ten-hser-í:io]. (bound reading) who C-C.RLS-2-F tell-PNC c C-F- work -NOM-good 'Who{1} did you tell that she{1} is a good worker?'  $(I-15:9a)^7$

The complement/adjunct difference is also supported by Condition C, which prohibits a pronominal from c-commanding and binding an R-expression such as a proper name (Chomsky 1986). A pronoun in a root CP cannot co-refer with an R-expression in a complement CP (11a), as the pronoun c-commands the R-expression. But a pronoun can co-refer with an R-expression in an adjunct clause (11b), as the pronoun does not c-command it.

<sup>7</sup> Example (i) below gives schematic structures of (10). In (i), consistent with cross-clausal temporal dependencies (Baker & Travis 1998), complement *cP* is introduced as sister-to-V, and extraposed via adjunction to AspP. For related discussion, see Mithun (2009) and Koenig & Michelson (2015:29*ff*.).

<sup>(</sup>i)  $[_{CP}Wahihró:ri-<eP>'] [_{cP}tsi S. ronwanon:we's]$ I.told.him $_{*1,2}$  that S. $_{1}$  she.likes.him $_{1}$  b. $[_{CP}Wahi:nha'ne'] [_{eP}ne tsi [S. raio'tenhseri:io]$ I.hired.him $_{1,2}$  because S. $_{1}$  he $_{1}$ .is.a.good.worker

- (11) a. DISJOINT REFERENCE (adapted from Baker 1991:549, (8), *I-13:7a*)
  Ø-Wa-hi-hró:ri-' [tsi Sak Ø-ron-wa-nòn:we-'s].
  C-C.RLS-(1:3M-tell-PNC) c Sak C-(M-F-like.thing-INCH)
  'I told him{\*1,2} that Sak{1}, she likes'
  - b. COREFERENCE (adapted from *I-13:7b*)
    \$\ongle\$-Wa-hi:-nha-'ne-' [ne tsi [Sak \$\ongle\$-ra-io'ten-hser-i:io]\$
    C-C.RLS-(1:3M-hire-INCH-PNC) because c Sak C-(M-work-NOM-good)
    'I hired him{1,2} because Sak{1}, he is a good worker.'

This completes our bird's-eye view of *c*; next is C<sub>FORCE</sub>.

# 2.2 CFORCE

 $C_{FCE}$  exponents are a position class at the left margin of the V-complex (Hopkins 1988): in addition to zero-marked  $C_{FCE}$ , there is coincidental *sh*, partitive *n*, and contrastive *th*. As discussed above, zero-marked CPs are found with root and complement CPs, as shown in (12). Overt exponents of  $C_{FCE}$  all have double lives, in that they are also lexically conditioned by specific verbs. Coincidental *sh* is selected by predicates of similarity or sameness (13a); when *sh* realizes  $C_{FCE}$ , it introduces adjunct *when*-clauses (13b). Partitive *n* is selected by the enumerative verb *-ake-* 'be in the quantity of' (14a); when *n* realizes  $C_{FCE}$ , it often introduces relative clauses (14b). Contrastive *th* is selected by predicates of difference (15a); when *th* realizes  $C_{FCE}$ , it denotes focal contrast (15b).

- (12) a. *Énska* Ø-wake-náhskw-a-ien. one C-(1- animal-JN-lie.STV) '<u>I have one animal</u> (pet).' (*H*-270:4.63)
  - b. *Ó:nen* Ø-*t yo-atáhsawe* **onkwehón:we** Ø-*ron-ahtenti-es.* now C-LOC-(NT-start.STV) original/authentic.people C-(M.PL-leave-HAB) 'It started now <u>that Indians travel</u>' (*I-65:39a*)<sup>8</sup>
- (13) a. Sh-a'-te-teni-'nikonhr-ò:ten'.
   CNC-FCT-(DPL-1&2-mind-type.of)
   <u>'We two are of the same mind</u>.'
  - b. *Io-wisto* shi-io-hrhén-'ke sh-a'-k-at-kétsko-'. ZC-(cold.STV) CNC-(ZN-be.day-on) CNC-FCT-(1-SRF-raise.up-PNC) 'It was cold when I got up this morning.' (*H-131:3.7*)

<sup>&</sup>lt;sup>8</sup> XPs to the left of the v-complex are in [Spec,CP]: in (i), this is énska; in (ii), it is onkwehón:we 'Indians'.

- (14) a. Wisk ni-ka-hwist-ake.
  five PRT-(NZ-dollar-be.quantity.STV)
  'five dollars; lit. 'Five the dollars are so in number' (OCG100)
  - b. Wak-ateryèn:tare tsi nón:we ní-hs-e' -s
    (1- know.STV) c where C.PRT-(2- about-HAB)
    'I know where you are.; lit. 'I know the place where you are'
- (15) a. *Thi-ká:-te.* CNT-(NZ-be)
  'It is different; 'It is a different one' (*OCG97*)
  - b. <CONTEXT: extraordinary in some way> SET OF FOCUS ALTERNATIVES {<u>STRANGE</u> things are happening..., <u>UNUSUAL</u> things are happening..., GOOD things are happening... }

Shon'k thi-i-á:wen-'s ne ka-ná:t-akon. strange C.CNT-(NZ-happen-HAB) D (NZ-town-inside) '[FOC STRANGE] things are happening in town'

Our layered-C analysis predicts  $C_{FCE}$  will co-occur with *tsi*, and this is so: *tsi* combines with coincidental *sh* (16a), partitive *n* (16b), and contrastive *th* (16c).

- (16) a. *tsi ni-ió:-re-'* s- a- hó-hsere-'...
  c PRT-(NZ-be.distance) CNC-FCT-(M:M-chase-PNC)
  'While he was chasing him, ...' (*F-85:68*)
  - b. tánon' tsi na-hi-ate-'nikonhr-ó:ri-' and c PRT-(M.DU-SRF-mind-operate-PNC)
    '...and they had a great time' (trans. adapted from C1:294/297/299f.:10)
  - c. ...tsi iahónhka -- akò:ren th-a-iakotó:kenhse'.
    c nobody else CNT-OPT-(3.be.certain)
    ...that no one else would know.' (*Mithun 2009, (50*))

Having established the syntactic distribution of  $C_{FCE}$ , we now consider its assertive strength and how it updates the *Common Ground* (*CG*); see Table 1.

form	CP-type	update	as	sertive scale
Ø	root, complement	С.р	+2	unn ou hound
coincidental sh	temporal adjunct	C. <b>p</b> ∩q	+1	upper bound
partitive <i>n</i>	relative adjunct	C. <b>p</b> ⊂ Q	-1	lower bound
contrastive <i>th</i>	focus-alternative	С. <b>р</b> ₿ ¬Q	-2	iower bound

**Table 1.** Assertive scale of affirmative C<sub>FORCE</sub> paradigm

The illocutionary act of assertion is standardly defined as adding p to the CG, with the latter defined as the set of ps that both *Speaker* and *Addressee* are committed to (Portner, Pak & Zanuttini 2019). We suggest that Kanien'kéha C-typing tracks the addition/subtraction of

*p* to/from the *CG*: while additive AFF force enlarges the set of *p*'s in *CG*, subtractive AFF force reduces the set of *p*'s in *CG*. In addition, additive and subtractive  $C_{FCE}$  differ in assertive strength, with the upper bound being +2 (realized by zero-marked  $C_{FCE}$ ) and the lower bound being -2 (realized by contrastive *th*). With this in mind, we illustrate how exponents of  $C_{FCE}$  modulate *CG* in a scalar fashion. As in many languages, Kanien'kéha zero-marked clauses are felicitous in contexts where *p* is added to *CG*; we annotate this as C.p and take it to be the upper bound of assertive strength. Coincidental *sh* is likewise additive, but requires that the target *p* be added to *CG* conjointly with a co-temporaneous proposition *q*; we annotate this as  $C.p \cap q$ . The contrast between zero-marked and *sh*-marked CPs is consistent with observations concerning how assertive strength maps onto C-type: while root clauses are (by default) strongly assertive, adjunct clauses are weakly assertive (Munaro 2020). Interestingly, Kanien'kéha temporal *when*-adjuncts are marked by  $C_{FCE}$  *sh*, while rationale *because*-adjuncts are marked by *c*. This is consistent with the finding that coordinative and rationale clauses are more independent than temporal *when*-clauses (Bache 2015). In terms of assertive strength, this yields the ranking in (17).

(17)	RANKING IN TERMS OF ASSERTIVE STRENGTH									
	MAIN	>	COORDINATIVE	>	BECAUSE	>	WHEN	>	RELATIVE	
	CLAUSE		CLAUSE	USE CLAUSE			CLAUSE		CLAUSE	
							$C_{FCE} \leftrightarrow s$	h —	$C_{FCE} \leftrightarrow n$ –	

Some C-types are subtractive in that they remove p from CG; this arises if p is selected from a superset of propositions Q. Arguably, this is lexicalized by partitive n, whose prototypical use is to mark relativization (OCG:58). We understand a relative clause to be subtractive in relation to a given set of propositions Q, and annotate this as  $C.p \subset Q$ . In (14b) above, Q is the set of propositions that include 'I am out and about', and the relative clause picks out a specific location yielding 'the place where I am out and about'. The lower bound of assertion is where only one p is entertained; for reasons that will become clear when we consider negative polarity, we annotate this as  $C.pB \neg Q$  (p but-not Q); this is what focus does (Rooth 1992). Consider the set of focus alternatives for (15b): contrastive th eliminates all but one p, yielding '[FoC STRANGE] things are happening in town.' Having explored the logic of  $C_{FCE}$ , we turn to  $C_{FIN}$ .<sup>9</sup>

#### **2.3** CFINITENESS

The C<sub>FIN</sub> exponents are organized along a REALIS dimension: null C<sub>FIN</sub> and factual-*wa*' are REALIS; future-*en* and optative-*a* are IRREALIS. The selection of REALIS C<sub>FIN</sub> is conditioned by grammatical aspect. As discussed above, stative or habitual verbs are zero-marked for C<sub>FCE</sub> and they are also zero-marked for C<sub>FIN</sub>: this is exemplified with a root CP in (18a), and a complement CP in (18b). With punctual aspect, the factual REALIS pre-prefix *wa*' is selected: (19a) is a root CP; (19b) is a complement CP. As for IRREALIS clauses, they are marked by *en*- (20) or *a*- (21) and occur with both root and complement CPs.

<sup>&</sup>lt;sup>9</sup> Partitive *n* has an assertive value of [-1], and contrastive *th* has a value of [-2], but these negative values do not imply negative assertion. Rather, all  $C_{FCE}$  exponents are assertive but differ in strength. In our analysis, *n* and *th* are weakly assertive by virtue of their subtractive updates.

- (18) a. [DP Sewahió:wane] Ø-Ø-wak-é:ka-hs apple C-C.RLS-(1- like.STV-INCH)
  'I like apples'(adapted from OCG45)
  - b. *Thetén:re' Sak Ø-wa-ha-k-hró:ri-'* yesterday Sak C-FCT-(M-1-tell-PNC) 'Yesterday Sak told me

*tsi Tyler* (ó:*ia shi-thetén:re'*) [Ø-Ø-*ro-tora-ón-hne'* c Tyler the CNC-(be.day) C-C.RLS-(M-hunt-STV-PST) <u>that Tyler had hunted</u> (the other day)' (*B&T1997:262, (65)*)

- (19) a. Sók [PP r-ate-tsèn:ts-hne] · Ø-y- a'-shakwa-ia't-enhaw-e'.
  then (M-SFL-cure-place) C-TRN-FCT -(1PL-body-carry-PNC)
  'Then we took him to the doctor's' (C2-307/310/315:34)
  - b. *Ki'ohkwá:ri kwah óksa'k* t-a-ha-táhsawen-' Ø-wa-ha-non'ké:ra-'... this bear very immediately DPL-FCT-(M-start-PNC) C-FCT-(M-nurse-PNC) 'The bear immediately started to nurse ...' (F78:8)
- (20) a. Sók Ø-en-hse-nenst-a-rón:ko-'. then C-FUT-(2-corn-JN-take.off-PNC)
  'Then you will take the corn off the cob.' (C3-316/319/321:7)
  - b. Wa-hi-hró:ri-' tsi Ø-en-s-k-eks-oharé-nion-'.
    FCT-M-tell-PNC c C-FUT-ITR-(1-dish-JN-wash-DIST-PNC)
    'I told him that I'm going to rewash dishes.' (trans. adapted from OCG46)
- (21) a. *A-há:-rahst-e'*. OPT-(M-draw-PNC) 'He should draw it' (*B&T1997:215, (1c)*)
  - b. *tánon'* Ø-wa'-onkhi-hró:ri-' Ø-a-onsa-shakwa-hsen-a-té:ni-'. and C-FCT-(F:1PL- tell-PNC) C-OPT-REP-(1PL-name-JN-change-PNC) 'And she told us that we should change his name.' (*C2-302/308/310:3*)

Table 2 summarizes the distribution of  $C_{FIN}$  and introduces the idea that it tracks a realis scale that monitors the speaker's epistemic commitment to *p*.

form	syn	update	speaker's commitment to p			is scale
Ø	СР	С. <b>р</b> <sup>w</sup>	∃w	<b>un</b> committed to <b>p</b> in <b>an</b> actual w	+2	upper
Factual wa'	СР	С. <b>р</b> <sup>w</sup>	1 W	committed to <b>p</b> in <b>the</b> actual w	+1	bound
Optative <i>a</i>	СР	С. <b>р</b> <sup>w'</sup>	∃w'	<i>un</i> committed to <i>p</i> in <i>a possible w</i>	-1	lower
Future en	СР	С. <b>р</b> <sup>w'</sup>	1 <i>w'</i>	committed to <b>p</b> in <b>the</b> possible w	-2	bound

Table 2. Realis scale of CFINITNESS paradigm

When the speaker is committed to p then: (i) if p holds in <u>the</u> actual world (iw), then REALIS (w)a' is selected; (ii) if p is realizable in <u>the</u> immediately accessible world (iw'), then IRREALIS (definite) future-en is selected.<sup>10</sup> When the speaker is uncommitted to p then: (i) if p holds in <u>an</u> actual world ( $\exists w$ ), then zero-marked REALIS is selected; (ii) if p is realizable in <u>an</u> immediately accessible world ( $\exists w'$ ), then IRREALIS optative-a is selected. If C<sub>FIN</sub> tracks epistemic commitment this predicts that, in the presence of NEG, only a p with associated a weak epistemic commitment—namely Ø-REALIS and a-IRREALIS—will be felicitous. This is what we look at next.

# 3. Negative clause-typing

The 4-way contrast found with AFF collapses to a 2-way contrast with NEG; see (22).

AFFIRMATIVE PARADIGMS	Ø ADD.	'contr.' <i>th</i> SUB.	ØRLS	'opt.' <i>a</i> IRRLS
	sh	n	w	<i>en</i>
	'coinc.'	'part.'	'fact.'	'fut.'
NEGATIVE	t	<i>th</i>	Ø	<i>a</i>
PARADIGMS	'dupl.'	'contr.'		'opt.'

(22) KANIEN'KÉHA POLARITY-SENSITIVE CLAUSE-TYPING

With  $C_{FCE}$ , NEG polarity conditions the appearance of duple-*t* or contrastive-*th*, which we treat as polarity-sensitive conjunctive operators. We propose that duple-*t* is a bifocal *and*-*not* operator (&¬), and contrastive *th* is a unifocal *but-not* operator ( $B^{-}$ ). Consistent with

<sup>&</sup>lt;sup>10</sup> Future-*en* has a varied nomenclature: 'certain future' (Brinklow 2017:27), 'definite future' (Baker & Travis 1997), or 'intentive' (Kanatawakhon 2005).

the fact that conjunction is often category-neutral and type-neutral (Partee & Rooth 1983/2002), these operators restrict constants of any type, including entities (type e), predicates (type  $\langle e,t \rangle$ ) and propositions (type t). As summarized in Table 3, the genius of Kanien'kéha lies in how it harnesses the implicatures that arise with  $\& \neg$  (duple-t) versus  $B \neg$  (contrastive th).

	bifocal	and-not (& $\neg$ )	unifocal but-not $(B\neg)$		
scope	duple-t	construal	contrastive-th	construal	
• individual	<i>x</i> & ¬ <i>y</i>	'two-ish'  2	х В ¬у	'one-ish'  1	
• predicate	$e_1 \& \neg e_2$	change-of-state	$e_1 B \neg e_2$	dissimilarity	
• proposition	$p \& \neg q$	additive NEG	$p B \neg q$	subtractive NEG	

 Table 3. Additive versus subtractive conjunction

The examples in (23) illustrate the multi-functionality of duple-t:

(23) a.	<i>Te-te-ni-hna 'neta-s</i> . DPL <sub>e</sub> -(DPL <sub>x</sub> -DU-duplicate-HAB) 'We two <sub>(you&amp;me)</sub> are duplicating it'	<i>Te-te-wa</i> -hna'neta-s. DPL <sub>e</sub> -(DPL <sub>x</sub> -PL-duplicate-HAB) 'We all <sub>(you&amp;us)</sub> are duplicating it'
b.	<i>Wa'-t-ha-tí:-ien.</i> FCT-DPL <sub>e</sub> -(M-PL-put.down) 'They gambled.'	<i>Wa-ha-tí:-ien</i> . FCT-PL-(M-PL-put.down) 'They put it down'
c.	<i>Iah te-ke-khón:ni-s.</i> NEG DPL <sub>p</sub> -(1-cook-HAB) 'I do not cook'	<i>Ke-khón:ni-s.</i> (1-cook-HAB) 'I cook'

Following Brinklow et al. (2020), we treat duple-*t* as a two-ish operator. When it scopes over an entity this is construed as a cardinality of |2|, as reflected in by its interaction with semantically dual forms (23a). We have deliberately selected a V-base (*-hna'neta-*'duplicate') that lexically conditions duple-*t*, as this confirms that duple stacking is possible; we annotate this as DPL<sub>e</sub> combining with DPL<sub>x</sub>. This leads to (23b), where duple-*t* denotes a two-ish event, implicating a CHANGE-OF-STATE ( $e_1 \& \neg e_2$ ).<sup>11</sup> And in (23c), duple-*t* takes propositional scope, implicating a change in truth-value ( $p\& \neg q$ ); this usage is polarity-sensitive, and emerges in the context of NEG. Kanien'kéha also exploits monofocal contrastive *th*; the examples in (24) illustrate its multi-functionality:

- (24) a. *Thi-ion-t-awè:tonte ne ennitskwá:ra.* \**Ion-t-awè:tonte ne ennitskwá:ra.* CNT<sub>x</sub>-(NZ-REFL-be.shaky) FOC chair (NZ-REFL-be.rickety) FOC chair 'The chair is rickety' ['This chair, and no other, is rickety']
  - b. *Thi-ká:-te*.
    CNT<sub>e</sub>-(NZ-be)
    'It is different/a different one' (*OCG97*)

<sup>&</sup>lt;sup>11</sup> Duple-*t* combines with entities, locations, changes of state, but not dynamic events. The latter combine with iterative *s*. This blocking effect is not well understood.

c.	Iah t <mark>h</mark> -a'-te-wak-atonhwentsi-ó:ni	Te-wak-atonhwentsi-ó:ni.
	NEG CNT <sub>p</sub> -FCT-DPL-(1-want-STV)	$DPL_e$ -(1-want-STV)
	'I do not want (it)' (OCG98)	'I want (it)

If contrastive *th* scopes over an entity, it denotes a focus construal (24a), equivalent to picking out a set whose cardinality is |1|. If it scopes over a predicate, as in (24b), it "*is used to express the idea of contrast or difference*" (*OCG*:97). When contrastive *th* scopes over *p*, as in (24c), this arises when the verb lexically selects for duple-*t*. We understand this as a blocking effect: lexically conditioned duple-*t* cannot also function as a propositional restrictor, and contrastive *th* is recruited instead. The idea that Kanien'kéha distinguishes additive and subtractive conjunction has consequences that go well beyond C-typing, but our present goal is modest: to establish language-internal criteria for why duple-*t* and contrastive *th* are polarity-sensitive. With this in place, consider Table 4, which summarizes the syntactic distribution of polarity-sensitive C<sub>FCE</sub>. Working through the syntactic contexts that condition the selection of C<sub>FCE</sub> with AFF polarity, we see that with NEG polarity a different set of allomorphs is selected, namely {*t*, *th*}.

 Table 4. Polarity-sensitive CFORCE

	AFF <i>polarity</i>			NEG <i>polarity</i>		
CP-type	update	form		update	form	
root, complement	С.р	$\leftrightarrow 0$				
temporal adjunct	C. <b>p</b> ∩q	$\leftrightarrow sh$		C. <b>&amp;</b> ¬ <b>p</b>	$\leftrightarrow t$	
relative adjunct	C. <b>p</b> ⊂Q	$\leftrightarrow n$				
focus-alternative	С. <b>р</b> <i>В</i> ¬q	$\leftrightarrow$ <i>th</i>		С. <i>В</i> ¬ <b>р</b>	$\leftrightarrow$ th	

In contexts where  $C_{FCE}$  would be zero-marked with AFF polarity, the counterpart NEG polarity selects duple-*t* (25).<sup>12</sup> With overt  $C_{FCE}$ , duple-*t* substitutes for coincidental *sh* (26), partitive *n* (27), and contrastive *th* (28). For this reason, we treat polarity-sensitive duple-*t* as an exponent of  $C_{FCE}$ .

(i) a. Nék tsi iah ki te- sha-kwa-rihw-á:wi-s. but c NEG PXL C.DPL-(M- 1PL- matter-give-HAB)
'But we do not allow him.' (C2-305/309/313:21)

<sup>&</sup>lt;sup>12</sup> When NEG *iah* occurs with *tsi*, the order is [*tsi iah*] indicating NEG is in Spec, CP<sub>FCE</sub>:

b. Kwah i:ken ki' ni:'i tsi wa'-k-ehná:ten-' tsi iah te- te-wa-teriióh-sere-'. very much PXL 1.PRN c FCT-(1-disappoint-PNC) c NEG C.DPL-(DU-PL-fight-go-PNC) 'I'm very much disappointed that we won't be fighting.' (F-84:58)

c. tsi ni-ió:-re tsi iah skén:nen té:ken te-ha-nonhtón-nion.
 c PRT-(NZ-be.distance) c NEG peace DPL.exist C.DPL-(M-thinking-DIST)
 'But his thoughts were not at peace; he was dissatisfied.' (C2-306/309/313f.:26; trans. adapted)

- (25) a. Ø-Ionkwá:yen ne ka-nekó:t-a. C-(1PL-lie-STV) FOC NZ-ladder-NS 'We have a ladder.'
  - b. *Iah te-ionkwá:-ien ne ka-nekó:t-a.* NEG C-(1PL-lie-STV) FOC NZ-ladder-NS 'We don't have a ladder.' (*C1-295/297/300:12*)
- (26) a. *Tiohtyà:ke sha'-k-e'-skwe...* Montreal CNC-(1S-about-HAB.PST) 'when I was in Montreal...'
  - b. *Tiohtià:ke iah te-k-e'-skwe...*Montreal NEG C-(1S-about-HAB.PST)
    'when I wasn't in Montreal...'
- (27) a. wak-ateryèn:tar-e tsi nón:we ní-hs-e'-s.
  1- know-ASP c where PRT-(2-about-HAB)
  'I know where you are.' lit. 'I know the place where you are'
  - b. wak-ateryèn:tar-e tsi nón:we iah te-hs-e'-s.
    1- know-ASP c where NEG C-(2-about-HAB)
    'I know where you're not.' lit. 'I know the place where you are not'
- (28) a. Shon'k th-y-á:wen-'s ne ka-ná:t-akon. strange CNT-(NZ-happen-HAB) FOC NZ-town-inside 'Strange things are happening in town'
  - b. *Iah shon'k te-y-á:wen-'s ne ka-ná:t-akon.* NEG strange C-(NZ-happen-HAB) FOC NZ-town-inside 'No strange things are happening in town'

Polarity-sensitive  $C_{FCE}$  can be treated as a form of agreement, where a polarity operator in Spec, CP probes for an agreeing  $C_{FCE}$ , as in (29), where AFF agrees with AFF (29a), and NEG agrees with NEG (29b).

(29) a	l.	[cp <b><i>OP</i></b> <u>Aff</u>	[C' [C.FCE Ø	AFF	]	[AspP]]]
		[cp <b><i>OP</i></b> <u>Aff</u>	[C' [C.FCE <b>sh</b>	AFF.CNC	]	[AspP]]]
		[cp <b><i>OP</i></b> <u>Aff</u>	[C' [C.FCE <b>n</b>	<u>AFF</u> .PRT	]	[AspP]]]
		[CP <b>OP</b> <u>AFF</u>	[C <sup>,</sup> [C.FCE <i>th</i>	AFF.X bNEG.Y	]	[AspP]]]
1		F • 7	гг <i>.</i>		7	r 111
b	).	CP iah <sub>NEG</sub>	C' C.FCE <b>t</b>	p& <u>NEG</u> .q		[AspP]]]
		CP <i>iah</i> neg	[C' [C.FCE <b>th</b>	AFF.X <b>b</b> <u>NEG</u> .Y	]	[AspP]]]

With AFF polarity, a phonologically null operator (Gleitman 1966, Laka 1990) in Spec,CP is compatible with any one of four exponents  $\{\emptyset, sh, n, th\}$ , (29a). A subset of these, namely  $\{\emptyset, sh, n\}$  are restricted to AFF contexts, indicating they are specified for AFF polarity. As for contrastive *th*, it is the only C<sub>FCE</sub> prefix compatible with AFF and NEG polarity. (More on this below.) In (29b), with NEG polarity, a NEG operator occupies Spec,CP (*iah*) and selects for a polarity-compatible C<sub>FCE</sub>. This accounts for why C<sub>FCE.AFF</sub> exponents can't co-

occur with NEG. The compatibility of duple-*t* with C<sub>FCE.NEG</sub> follows from its status as an *and-not* operator. Note that our analysis (correctly) predicts that polarity-sensitive C<sub>FCE</sub> (realized by *and-not* duple-*t*) will select for polarity-compatible C<sub>FIN</sub>. A hallmark property of NEG is its interaction with polarity-sensitive indefinites (Tovena 2020). This is relevant for C<sub>FIN</sub>: as discussed above, earlier descriptions characterize optative-*a* as an indefinite future (Lounsbury 1949:71), and Baker & Travis (1997; B&T) reframe this insight into an (in)definiteness account of IRREALIS. Their analysis differs from ours, as they do not consider zero-marked clauses that lack an overt phonological exponent of C<sub>FIN</sub>. Once this step is taken, an (in)definiteness analysis can account for the (IR)REALIS paradigm as a whole, as well as its interaction with NEG. Consider Table 5, which shows how a speaker's epistemic commitment to *p* with the world value (POSSIBLE world *w'* versus ACTUAL utterance world *w*) cross-classifies with world (in)definiteness, where an indefinite world is indicated by the existential operator ( $\exists$ ), and a definite world by the iota operator (t).

form	neg?	update	speak	er's commitment to p	realis	s scale
Ø	Yes	С. <b>р</b> <sup>w</sup>	∃w	<b>un</b> committed to <b>p</b> in <b>an</b> actual world	+2	upper
Factual-wa'	No	С. <b>р</b> <sup>w</sup>	ιw	committed to <b>p</b> in <b>the</b> actual world	+1	bound
Optative-a	Yes	С. <b>р</b> <sup>w'</sup>	∃ <i>w</i> '	<b>un</b> committed to <b>p</b> in <b>a</b> possible world	-1	lower
Future-en	No	С. <b>р</b> <sup>w'</sup>	1 w'	committed to <b>p</b> in <b>the possible world</b>	-2	bound

Table 5. Interaction of negation with realis scale of CFINITENESS paradigm

The (in)definiteness contrast postulated for entities can also apply to worlds (Baker & Travis 1997): an indefinite world is unknown (to speaker and hearer); a definite world is familiar/unique (to speaker and hearer). B&T argue that optative-*a* can be viewed as an indefinite IRREALIS.<sup>13</sup> We take their proposal a step further and suggest that REALIS can likewise be indefinite (zero-marking) or definite (factual-*wa'*). This (in)definiteness account (correctly) predicts that NEG will be compatible with INDEFINITE C<sub>FIN</sub> (Ø-REALIS, *a*-IRREALIS), but incompatible with DEFINITE C<sub>FIN</sub> (*wa*-REALIS, *en*-IRREALIS). Example (30) shows that AFF polarity is associated with a four-way C<sub>FIN</sub> contrast, with two values for REALIS (30a-b), and two values for IRREALIS (30c-d).

(30)	a.	Ø - <mark>Ø</mark> -	Ion-	'ther-a-hní:non-s.	'She buys baskets'
	b.	Ø <i>-Wa</i> '-	on-	'ther-a-hní:non-'.	'She bought a basket'
	c.	Ø- <u>A</u> -	yon-	'ther-a-hní:non-'.	'She might/should buy a basket
	d.	Ø - <u>En</u> -	yon-	'ther-a-hní:non-'.	'She'll buy a basket'
		C-C.FIN	(FEM-	basket-JN-buy -ASP)	-

Example (31) shows that NEG polarity selects for an agreeing  $C_{FCE}$ , which in turn selects (indefinite)  $C_{FIN}$ , thereby severely constraining  $C_{FCE}$ - $C_{FIN}$  stacking: duple-*t* can combine

<sup>&</sup>lt;sup>13</sup> Baker & Travis (1997:249) treat future-*en* as an allomorph of optative-*a*. Our analysis treats them as contrasting in definiteness: optative-*a* is an indefinite IRREALIS, future-*en* is a definite IRREALIS.

with INDEFINITE  $\emptyset$ -REALIS (31a); contrastive *th* can combine with INDEFINITE *a*-IRREALIS (31c).

(31)	a.	Iah te-Ø-	ion-'ther-a-hni:non-s. 'She doesn't buy baskets'
	b.*	Iah t- a'-	yon-'ther-a-hni:non-'. ['She didn't buy a basket']
	c.	Iah th-a-	yon-'ther-a-hni:non-'. 'She might/should/will not buy a basket
	d.*	Iah th- en-	yon-'ther-a-hni:non-'. ['She won't buy a basket']
		NEG C.DPL-C.FIN (FEM-basket-JN-buy-ASP)	

#### 4. Implications

Our analysis generates two novel findings. First, we capture the stacking of c, C<sub>FCE</sub> and C<sub>FIN</sub>, something which previous analyses fall silent on. Second, we detect the scalar logic of C<sub>FCE</sub> and C<sub>FIN</sub> by using paradigm gaps (Sims 2009) and morpho-semantic suppletion (Bobaljik 2015), leading us to posit the paradigms in (32b-c).



 $C_{FCE}$  contrasts ADDITIVE versus SUBTRACTIVE illocutionary force (32b).  $C_{FIN}$  contrasts REALIS versus IRREALIS propositions (32c). Both  $C_{FCE}$  and  $C_{FIN}$  use a scalar logic (Bowler & Gluckman 2021); an open question is whether a scalar logic is also found with *c* (32a) and Aspect (32d). Investigation of *c* is in its preliminary stages, so we refrain from offering premature conclusions. As for Aspect, in our analysis, AspP is selected by  $C_{FIN}$ , capturing the fact that that  $C_{FIN}$  (IR)REALIS prefixes select for aspectual suffixes. There is abundant discussion and analysis of Kanien'kéha aspect, for which there are several competing views, which we do not rehearse here.<sup>14</sup> Most closely aligned with our approach is that of Hopkins (1988:161), who proposes that the conjugation class of a verb "*can be predicted* [...] *on the basis of the phonological shape of the verb base*". She posits a four-way partition organized around two aspectual dimensions: an IMPERATIVE/PUNCTUAL dimension and a HABITUAL/STATIVE dimension. On a speculative note, we suggest that these dimensions are not only phonological, but also semantic, and that they track (non)-durativity (Smith 1997), as in (35d). We are currently exploring the implications of such a partition.

<sup>&</sup>lt;sup>14</sup> For Beatty (1972), each V-stem is listed in the lexicon; for Lounsbury (1953) STATIVE is basic; for Michelson (1975) HABITUAL is basic.

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